



**UPU** | UNIVERSAL  
POSTAL  
UNION

---

# Regulations to the Convention

## Final Protocol

---

Berne 2017

**Note concerning the printing of the Regulations to the Convention and Final Protocol**

Bold type appearing in the texts indicates amendments with respect to the text of the recast Regulations to the Convention and Final Protocol approved by the 2016 Istanbul Congress. These amendments were adopted by the POC, and the Regulations to the Convention and Final Protocol were signed in plenary session after the Istanbul Congress.

## Regulations to the Convention

### Table of contents

Volume I		
Rules in common		18
Section I		
Common rules applicable to the international postal service		18
1	Definitions	
01-001	Definitions	18
2	Designation of the entity or entities responsible for fulfilling the obligations arising from adherence to the Convention	
02-001	Mandatory notification of operational information	18
3	Universal postal service	
4	Freedom of transit	
04-001	Application of freedom of transit	18
04-002	Failure to give freedom of transit	19
5	Ownership of postal items. Withdrawal from the post. Alteration or correction of address <b>and/or name of the addressee (name of the legal person, or family name, given name or patronymic (if any))</b> . Redirection. Return to sender of undeliverable items	
6	Postage stamps	
06-001	Prepayment. Methods of denoting prepayment	19
06-002	Postage stamps. Notification of issues and exchange between designated operators	19
06-003	Characteristics of postage stamps and postal prepayment or franking impressions	19
06-004	Suspected fraudulent use of postage stamps or postal prepayment or franking impressions	20
06-005	Application of the date-stamp	21
06-006	Unpaid or underpaid items	21
06-007	Prepayment and stamping of items posted on board ship	23

7	Sustainable development	
07-001	Environmental aspects	23
8	Postal security	
08-001	Postal security	23
08-002	Implementing provisions for providing electronic advance data	24
<b>08-002bis</b>	<b>Consignment security declaration</b>	<b>24</b>
9	Violations	
10	Processing of personal data	
11	Exchange of closed mails with military units	
12	Posting abroad of letter-post items	
<b>13</b>	<b>Use of UPU forms</b>	
<b>13-001</b>	<b>International mail processing centres (IMPCs)</b>	<b>25</b>
<b>13-002</b>	<b>Forms</b>	<b>26</b>
<b>13-003</b>	<b>Forms for the use of the public</b>	<b>27</b>
Section II		
Quality of service standards and targets		27
14	Quality of service standards and targets	
14-001	Quality of service targets	27
Section III		
Charges, surcharges and exemption from postal charges		28
15	Charges	
16	Exemption from postal charges	
16-001	Exemption from postal charges on postal service items	28
16-002	Marking of items sent free of postal charges	28
16-003	Application of exemption from postal charges to bodies concerned with prisoners of war and civilian internees	28
Section IV		
Basic and supplementary services		29
17	Basic services	
17-001	Monetary unit	29
17-002	Equivalents	29
17-003	Information to be supplied by designated operators	29
17-004	International Bureau publications	30
17-005	Period of retention of documents	32
17-006	<b>(Deleted.)</b>	32

<b>17-007</b>	<b>(Deleted.)</b>	32
<b>17-008</b>	Application of standards	32
<b>18</b>	Supplementary services	
<b>18-001</b>	Insured items	33
<b>18-002</b>	Cash-on-delivery items	36
<b>18-003</b>	Advice of delivery for letter-post items and parcels	37
<b>18-004</b>	Items for delivery free of charges and fees	39
<b>18-005</b>	Consignment service	41
<b>Section V</b>		
<b>Prohibitions and customs matters</b>		<b>42</b>
<b>19</b>	Items not admitted. Prohibitions	
<b>19-001</b>	Dangerous goods admitted exceptionally	42
<b>19-002</b>	Controlling the introduction of dangerous goods	42
<b>19-003</b>	Admissible radioactive materials, infectious substances, and lithium cells and lithium batteries	42
<b>19-004</b>	Conditions of acceptance and marking of items containing exempt patient specimens (human or animal)	43
<b>19-005</b>	Conditions of acceptance and marking of items containing infectious substances	44
<b>19-006</b>	Conditions of acceptance and marking of items containing radioactive materials	47
<b>19-007</b>	Conditions of acceptance of letter-post items <b>and parcels</b> containing lithium cells and batteries installed in equipment	47
<b>19-008</b>	Dangerous goods prohibited from insertion in letter-post items and parcels	47
<b>19-009</b>	Exceptions to prohibitions in parcels	48
<b>20</b>	Customs control. Customs duty and other fees	
<b>20-001</b>	Items subject to customs control	48
<b>20-002</b>	Presentation-to-Customs charge	49
<b>20-003</b>	Cancellation of customs duty and other fees	50
<b>Section VI</b>		
<b>Liability</b>		<b>50</b>
<b>21</b>	Inquiries	
<b>21-001</b>	Inquiries. General principles	50
<b>21-002</b>	Inquiries when using a CN 08 form	50
<b>21-003</b>	Inquiries when using IBIS	52
<b>22</b>	Liability of designated operators. Indemnities	
<b>22-001</b>	Application of the liability of designated operators	54
<b>23</b>	Non-liability of member countries and designated operators	
<b>23-001</b>	Delivery of a rifled or damaged registered or insured item or parcel	55
<b>24</b>	Sender's liability	
<b>24-001</b>	Establishment of sender's liability	56

<b>25</b>	Payment of indemnity	
<b>25-001</b>	Payment of indemnity	56
<b>25-002</b>	Period for payment of indemnity	57
<b>25-003</b>	Automatic payment of indemnity	57
<b>25-004</b>	Determination of liability between designated operators	57
<b>25-005</b>	Procedures for determining the liability of designated operators	58
<b>26</b>	Possible recovery of the indemnity from the sender or the addressee	
<b>26-001</b>	Recovery from air carriers of indemnities paid	59
<b>26-002</b>	Reimbursement of the indemnity to the paying designated operator	59
<b>26-003</b>	Settlement of indemnities between designated operators	60
<b>26-004</b>	Accounting for amounts due in respect of indemnity	60
Section VII		
Remuneration		61
<b>34-001</b>	<b>Transfer and acceptance of statements and accounts</b>	61
<b>34-002</b>	<b>Settlement of accounts: general rules</b>	61
<b>34-003</b>	<b>Payment of accounts through the UPU clearing system</b>	62
<b>34-004</b>	<b>Payment of accounts not settled through the UPU clearing system</b>	62
<b>34-005</b>	<b>Payment of debts expressed in SDRs. General provisions</b>	63
<b>34-006</b>	<b>Letter mail: preparation, transmission and acceptance of sampling statements</b>	64
<b>34-007</b>	<b>Letter mail: preparation, transmission and acceptance of CN 55, CN 56 and CN 69 statements</b>	65
<b>34-008</b>	<b>Letter mail: accounting for bulk mail</b>	66
<b>34-009</b>	<b>Letter mail: accounting for direct access mail</b>	66
<b>34-010</b>	<b>Letter mail: preparation, transmission and acceptance of transit charges and terminal dues detailed accounts</b>	67
<b>34-011</b>	<b>Letter mail: provisional payments of terminal dues</b>	67
<b>34-012</b>	<b>Letter mail: preparation of final accounts</b>	68
<b>34-013</b>	<b>Parcel mail: preparation of accounts</b>	69
Section VIII		
Optional services		70
<b>36</b>	EMS and integrated logistics	
<b>36-001</b>	EMS	70
<b>36-002</b>	Operation of EMS	71
<b>36-003</b>	Integrated logistics service	71
<b>37</b>	Electronic postal services	
<b>37-001</b>	Hybrid mail	71
<b>37-002</b>	Facsimile-based services	72
<b>37-003</b>	Text-based services	72
<b>37-004</b>	Electronic postal certification mark	72
<b>37-005</b>	Postal registered electronic mail	73
<b>37-006</b>	Postal electronic mailbox	73
<b>37-007</b>	Telematic links. General provisions	74
<b>37-008</b>	Special provisions concerning telematic links	74
<b>37-009</b>	Rules for payment for telematic links	74

Section IX		
Final provisions		75
<b>38</b>	Conditions of approval of proposals concerning the Convention and the Regulations	
<b>39</b>	Reservations at Congress	
<b>40</b>	Entry into force and duration of the Convention	
<b>40-001</b>	Entry into force and duration of the Regulations	75
Volume II		
Letter Post Regulations		75
Section I		
Common rules applicable to the international postal service		75
<b>11</b>	Exchange of closed mails with military units	
<b>11-101</b>	Mails exchanged with military units	75
<b>15</b>	Charges	
<b>15-101</b>	<b>(Deleted.)</b>	76
<b>15-102</b>	Special charges	76
<b>15-103</b>	Conditions of application of postage charges	76
<b>15-104</b>	<b>(Deleted.)</b>	77
<b>17</b>	Basic services	
<b>17-101</b>	Basic services	77
<b>17-102</b>	Classification of letter-post items based on their formats <b>and content</b>	78
<b>17-103</b>	Particulars relating to limits of weight	78
<b>17-104</b>	Limits of size	79
<b>17-105</b>	Limits of size and weight for small letters (P) and large letters (G)	79
<b>17-106</b>	Conditions of acceptance of items. Make-up. Packing	80
<b>17-107</b>	Special provisions applicable to each category of items	82
<b>17-108</b>	Marking of priority or mode of transportation	85
<b>17-109</b>	Special packing	80
<b>17-110</b>	Items in panel envelopes	86
<b>17-111</b>	Standardized items	87
<b>17-112</b>	Exchange of items	89
<b>17-113</b>	Priority treatment of priority items and airmail items	89
<b>17-114</b>	Mails	90
<b>17-115</b>	Exchange in closed mails	90
<b>17-116</b>	Exchange of format-separated mails	91
<b>17-117</b>	Transit à découvert	92
<b>17-118</b>	Routes and methods of transmission of insured items	93
<b>17-119</b>	Make-up of mails	93
<b>17-120</b>	Letter bills	95
<b>17-121</b>	Transmission of registered items	96
<b>17-122</b>	Transmission of insured items	97
<b>17-123</b>	Transmission of money orders and unregistered COD items	98
<b>17-124</b>	Transmission of <b>tracked</b> items	98
<b>17-125</b>	Transmission of IBRS items	98
<b>17-126</b>	Transmission of M bags	99
<b>17-127</b>	Transmission of bulk items	99

<b>17-128</b>	Transmission of items intended for direct access to the domestic service	99
<b>17-129</b>	Labelling of mails	100
<b>17-130</b>	Use of barcodes	101
<b>17-131</b>	Electronic exchanges <b>to support mail processes</b>	102
<b>17-132</b>	Routeing of mails	103
<b>17-133</b>	Direct transhipment of airmails and of surface airlifted (S.A.L.) mails	103
<b>17-134</b>	Preparation of trial notes	104
<b>17-135</b>	Transfer of mails	104
<b>17-136</b>	Preparation and checking of CN 37, CN 38 or CN 41 delivery bills	106
<b>17-137</b>	Missing CN 37, CN 38, CN 41 or CN 47 delivery bill	106
<b>17-138</b>	Checking of mails	107
<b>17-139</b>	Verification notes	109
<b>17-140</b>	Misrouted mails and missent items	111
<b>17-141</b>	Steps to be taken in the event of an accident	111
<b>17-142</b>	Steps to be taken in the event of an interrupted flight, or of diversion or missending of airmails or surface airlifted (S.A.L.) mails	111
<b>17-143</b>	Steps to be taken in the event of temporary suspension and resumption of services	112
<b>17-144</b>	Return of empty receptacles	112
<b>18</b>	Supplementary services	
<b>18-101</b>	Registered items	113
<b>18-102</b>	<b>Tracked items</b>	114
<b>18-103</b>	Delivery to the addressee in person	115
<b>18-104</b>	International business reply service (IBRS)	116
<b>18-105</b>	International business reply service (IBRS) – local response	118
<b>18-106</b>	International reply coupons	119
Section V		
Prohibitions and customs matters		120
<b>19</b>	Items not admitted. Prohibitions	
<b>19-101</b>	Treatment of items wrongly admitted	120
<b>19-102</b>	Redirection	122
<b>19-103</b>	Undeliverable items. Return to country of origin or to sender and period of retention	124
<b>19-104</b>	Treatment of requests for withdrawal of items from the post or for alteration or correction of address	126
<b>19-105</b>	Withdrawal from the post. Alteration or correction of address <b>and/or name of the addressee.</b> Items posted in a country other than that which receives the request	128
Section VII		
Remuneration		129
A.	<i>Transit charges</i>	
<b>27</b>	Transit charges	
<b>27-101</b>	Transit charges. General provisions	129
<b>27-102</b>	Application of transit charges	129
<b>27-103</b>	Transit charges	130
<b>27-104</b>	Kilometric distances	131
<b>27-105</b>	Extraordinary services. Multimodal transport	131
<b>27-106</b>	Calculation and accounting for charges for items in transit à découvert and missent items	131
<b>27-107</b>	Transit charges for diverted or misrouted mails	133



<b>27-108</b>	Airmails and surface airlifted (S.A.L.) mails in transit by surface	133
<b>27-109</b>	Payment of transit charges for the transport of empty bags returned by surface or air	134
<i>B. Terminal dues</i>		
<b>30</b>	Terminal dues. Provisions applicable to flows to, from and between designated operators of countries in the transitional system	
<b>30-101</b>	Terminal dues. General provisions	134
<b>30-102</b>	Voluntary membership of the target system by member countries	135
<b>30-103</b>	Direct access to domestic services	136
<b>30-104</b>	Supplementary remuneration <b>for registered</b> , insured and <b>tracked</b> items	136
<b>30-105</b>	Measurement of performance. Reporting and validation	137
<b>30-106</b>	Preparation and transmission of charges for supplementary remuneration accounts	138
<b>30-107</b>	Calculation of the rates of terminal dues for countries applying articles <b>29.5 to 15 and 30.4 to 5</b> of the Convention	139
<b>30-108</b>	Conditions applying to the notification of the reference charges for the calculation of terminal dues rates	140
<b>30-109</b>	Quality of service–linked terminal dues remuneration between designated operators of countries in the target system	141
<b>30-110</b>	Principles for setting or revising quality of service standards and targets for the quality of service–linked terminal dues remuneration	142
<b>30-111</b>	Quality of service–linked terminal dues remuneration applicable to mail flows to, from and between designated operators of countries in the transition system	143
<b>30-112</b>	Mechanism for revising the rates of terminal dues	143
<b>30-113</b>	Request for payment specific to bulk mail	144
<b>30-114</b>	Closed mails exchanged with military units	145
<b>30-115</b>	General principles for statistical sampling and estimation of the number of items per kilogramme	145
<b>30-116</b>	Statistical counts for exchanges of mail between designated operators of countries in the target system	146
<b>30-117</b>	Statistical counts for exchanges of format-separated mails in the transition system	147
<b>30-118</b>	Alternative approach for statistical counts for exchanges of mail between designated operators of countries in the target system	147
<b>30-119</b>	Special statistical count for the application of the revision mechanism	148
<b>31</b>	Quality of Service Fund	
<b>31-101</b>	<b>Invoicing and</b> payment of amounts due into the Fund to finance improving quality of service	149
<i>D. Air conveyance dues</i>		
<b>33</b>	Basic rates and provisions concerning air conveyance dues	
<b>33-101</b>	Formula for calculating the basic rate and calculation of air conveyance dues for closed mails	150
<b>33-102</b>	Accounting for air conveyance dues	151
<b>33-103</b>	Preparation of CN 66 and CN 67 statements of weights	152
<b>33-104</b>	Preparation of CN 51 detailed accounts and CN 52 general accounts	152
<b>33-105</b>	Submission and acceptance of CN 55, CN 66 and CN 67 statements, CN 51 detailed accounts and CN 52 general accounts	153
<b>33-106</b>	Amendments to transit charges	154
<b>33-107</b>	Payment of air conveyance dues	154
<b>33-108</b>	Air conveyance dues for diverted or missent mails or receptacles	154
<b>33-109</b>	Air conveyance dues for mail lost or destroyed	155

*E. Settlement of accounts*

**34** Provisions specific to the settlement of accounts and payments for international postal exchanges

<b>34-100bis</b> (Deleted.)	155
<b>34-101</b> (Deleted.)	155
<b>34-102</b> (Deleted.)	155
<b>34-103</b> (Deleted.)	155
<b>34-104</b> (Deleted.)	155
<b>34-105</b> (Deleted.)	156
<b>34-106</b> (Deleted.)	156
<b>34-107</b> (Deleted.)	156
<b>34-108</b> (Deleted.)	156
<b>34-109</b> (Deleted.)	156
<b>34-110</b> (Deleted.)	156
<b>34-111</b> (Deleted.)	156
<b>34-112</b> (Deleted.)	156
<b>34-113</b> (Deleted.)	156
<b>34-114</b> (Deleted.)	156
<b>34-115</b> (Deleted.)	157

Volume III

Parcel Post Regulations 157

Section III

Charges, surcharges and exemption from postal charges 157

**15** Charges

<b>15-201</b> Calculating air surcharges	157
<b>15-202</b> Special charges	157

Section IV

Basic and supplementary services 158

**17** Basic services

<b>17-201</b> Operation of the service by transport companies	158
<b>17-202</b> Weight system. Pound avoirdupois	158
<b>17-203</b> Special conditions relating to limits of weights	158
<b>17-204</b> Limits of size	158
<b>17-205</b> Delivery procedure	159
<b>17-206</b> <b>ECOMPRO parcels</b>	159
<b>17-207</b> Conditions of acceptance of parcels. Make-up and packing. Addressing	159
<b>17-208</b> Special packing	160
<b>17-209</b> Indication of method of forwarding	161
<b>17-210</b> Formalities to be complied with by the sender	161
<b>17-211</b> Formalities to be complied with for the merchandise return service	162
<b>17-212</b> Sender's instructions at the time of posting	162
<b>17-213</b> Formalities to be complied with by the office of origin	163
<b>17-214</b> General principles of the exchange of parcels	163
<b>17-215</b> Barcode application and specifications	165
<b>17-216</b> <b>Electronic exchanges to support mail processes</b>	165

17-217	Tracking and tracing – Indicative targets for transmission times	166
17-218	Tracking and tracing – Indicative performance targets for transmitting data	167
17-219	Steps to be taken in the event of temporary suspension and resumption of services	167
17-220	Different methods of transmission	168
17-221	Transmission in closed mails	168
17-222	<b>(Deleted.)</b>	170
17-223	Parcel bills	170
17-224	Drawing up of CP 87 parcel bills	171
17-225	Dispatch of documents accompanying parcels	172
17-226	Routeing of mails	172
17-227	Direct transshipment of air parcels and of surface airlifted (S.A.L.) parcels	173
17-228	Preparation and checking of CN 37, CN 38 or CN 41 delivery bills	173
17-229	Missing CN 37, CN 38, CN 41 or CN 47 delivery bill	174
17-230	Steps to be taken in the event of an accident	174
17-231	Steps to be taken in the event of an interrupted flight, or of diversion or missending of airmail or surface airlifted (S.A.L.) mails	175
17-232	Preparation of trial notes	175
17-233	Transfer of mails	175
17-234	Check of mails	177
17-235	Discovery of irregularities and processing of verification notes	177
17-236	Discrepancies of weight or size of parcels	178
17-237	Receipt by the office of exchange of a damaged or insufficiently packed parcel	179
17-238	Notification of irregularities for which designated operators may be liable	179
17-239	Check of mails of parcels forwarded in bulk	179
17-240	Reforwarding of a parcel arriving out of course	180
17-241	Return of empty receptacles	180
18	Supplementary services	
18-201	Merchandise return service for the original seller	181
18-202	Cumbersome parcels	182
Section V		
	Prohibitions and customs matters	183
19	Items not admitted. Prohibitions	
19-201	Treatment of parcels wrongly admitted	183
19-202	Conditions of redirecting a parcel	184
19-203	Periods of retention	184
19-204	Parcels automatically retained	185
19-205	Return to sender of undelivered parcels	185
19-206	Return to sender of wrongly accepted parcels	186
19-207	Return to sender due to suspension of services	187
19-208	Non-compliance by a designated operator with given instructions	187
19-209	Parcels containing items whose early deterioration or decay is to be feared	187
19-210	Treatment of requests for withdrawal of parcels from the post or for alteration or correction of address <b>and/or name of the addressee</b>	187

Section VII		
Remuneration		189
<i>A. Transit charges</i>		
27	Transit charges	
27-201	Transit land rates	189
27-202	Application of transit land rates	190
27-203	Sea rate	190
27-204	Application of sea rate	190
27-205	Application of new rates following unforeseeable changes in routeing	191
27-206	Weight of mails used for remuneration of designated operators	191
27-207	Allocation of rates	191
27-208	Rates and dues credited to other designated operators by the designated operator of origin of the mail	191
27-209	Allocation and recovery of rates, charges and fees in the case of return to sender or redirection	192
<i>C. Rates for parcel post</i>		
32	Parcel post land and sea rates	
32-201	Inward land rates	192
32-202	Modifications of the inward land rates	195
32-203	<b>ECOMPRO parcels</b>	196
<i>D. Air conveyance dues</i>		
33	Basic rates and provisions concerning air conveyance dues	
33-201	Calculation of air conveyance dues	196
33-202	Calculation of air conveyance dues for the merchandise return service	196
33-203	Air conveyance dues for lost or destroyed air parcels	196
33-204	Air conveyance dues for diverted or missent mails or bags	197
33-205	Payment of air conveyance dues for the transport of empty bags	197
<i>E. Settlement of accounts</i>		
34	Provisions specific to the settlement of accounts and payments for international postal exchanges	
34-200bis	<b>(Deleted.)</b>	197
34-201	<b>(Deleted.)</b>	197
34-202	<b>(Deleted.)</b>	197
34-203	<b>(Deleted.)</b>	198
34-204	<b>(Deleted.)</b>	198
34-205	<b>(Deleted.)</b>	198
34-206	<b>(Deleted.)</b>	198

## Final Protocol to the Regulations to the Convention

### Volume I

Rules in common		199
Art		
R I	Marking of items sent free of postal charges	199
R II	Forms	199
R III	Maximum limits for insured items	200
R IV	Insured items	201
R V	Delivery procedure	201
R VI	Dangerous goods admitted exceptionally	201
R VII	Admissible radioactive materials, infectious substances, and lithium cells and lithium batteries	201
R VIII	Items subject to customs control	201
R IX	Treatment of inquiries	201
R X	Application of the liability designated operators	202
R XI	Delivery of a rifled or damaged registered or insured item	202
R XII	Procedures for determining the liability of designated operators	202

### Volume II

Letter Post Regulations		202
R XIII	Special charges	202
R XIV	Conditions of application of postage charges	203
R XV	Printed papers. Maximum weight	203
R XVI	Special provisions applicable to each category of items	203
R XVII	Standardized items	203
R XVIII	Bags	204
R XIX	Labelling of mails	204
R XX	Routeing of mails	204
R XXI	Registered M bags	204
R XXII	IBRS accounting charges	204
R XXIII	International reply coupons issued before 1 January 2002	204
R XXIV	Adjustment of debts arising from the settlement of accounts through the final liquidation account for international reply coupons distributed before 1 January 2002	205
R XXV	Adjustment of debts arising from the settlement of accounts through the International Bureau clearing system in effect before 1 January 2001	206
R XXVI	Treatment of items wrongly admitted	206
R XXVII	Redirection	206
R XXVIII	Special transit charges	207

R XXIX	Submission and acceptance of CN 55, CN 66 and CN 67 statements, CN 51 detailed accounts and CN 52 general accounts	208
R XXX	Accounting for bulk mail	208

### Volume III

#### Parcel Post Regulations 208

R XXXI	Provision of the postal parcels service	208
R XXXII	Special conditions relating to limits of weights for parcels	208
R XXXIII	Formalities to be complied with for the merchandise return service	208
R XXXIV	Merchandise return service for the original seller	208
R XXXV	Treatment of parcels wrongly accepted	209
R XXXVI	Parcels automatically retained	209
R XXXVII	Transmission in closed mails	209
R XXXVIII	Routeing of mails	209
R XXXIX	Discrepancies of weight or size of parcels	209
R XL	Determination of average rates	209
R XLI	Exceptional transit land rates	210
R XLII	Supplementary rates	210
R XLIII	Sea rates	212
R XLIV	Calculation of air conveyance dues for the merchandise return service	212
R XLV	Preparation of accounts	212

## List of forms

### *Letter-post forms*

<i>No.</i>	<i>Title or nature of the form</i>	<i>References</i>
CN 01	International reply coupon	18-105.5
CN 02bis	Detailed statement. Reply coupons	R XXIII.4
CN 03	Detailed statement. Reply coupons exchanged	18-105.9
CN 03bis	Recapitulative statement. Reply coupons	R XXIII.6
CN 04	“R” label for registered items	18-101.5
CN 05bis	Label for <b>tracked</b> items	<b>18-102.3</b>
CN 06	“V” label for insured items	18-001.6.1
CN 07	Advice of receipt/of delivery/of payment/of entry	18-003.3
CN 08	Inquiry	21-002.1
CN 08	Receipt	21-002.1
CN 09	Statement of IBRS items sent	18-104.5.1
CN 10	Recapitulative statement of IBRS items	18-104.5.1
CN 11	Franking note	18-004.3.2
CN 12	Detailed monthly account. Customs, etc., charges	18-004.5
CN 13	Report. Information about a seized postal item	19-102.5, 19-201.6
CN 14	Collective envelope. Redirection of letter-post items	19-103.8.1
CN 15	“Return” label	19-104.8.2, 19-205.5
CN 16	Special list. Insured items	17-122.1
CN 17	Request	19-105.3.1 19-210.3.1
	– for withdrawal from the post	
	– for alteration or correction of address	
	– for cancellation or alteration of the COD amount	
CN 18	Declaration concerning non-receipt (or receipt) of a postal item	21-002.1.6
CN 19	Detailed account. IBRS charges	18-102.5.3.2
CN 20	Statement. IBRS charges	18-103.5.4.1
CN 21	Advice. Redirection of a CN 08 form	21-002.2.6.2
CN 22	Customs declaration label	20-001.1
CN 23	Customs declaration	20-001.1
CN 24	Report (irregularities in respect of insured letter-post items and postal parcels)	23-001.1
CN 25	Bundle labels	17-117.7.1
CN 26	Bundle labels	17-117.7.2
CN 27	Table. Routes and methods of transmission of insured items	17-118.1
CN 29	“COD” label	18-002.3
CN 29bis	Label for COD items	18-002.3
CN 31	Letter bill	17-120.1
CN 32	Letter bill. Bulk mail	17-127
CN 33	Special list. Registered items	17-121
CN 34	Receptacle label for surface letter mail	17-129.2
CN 35	Receptacle label for air letter mail	17-129.2

<i>No.</i>	<i>Title or nature of the form</i>	<i>References</i>
CN 36	Receptacle label for S.A.L. letter mail	17-129.2
CN 37	Delivery bill. Surface mails	17-136.1, 17-228.1
CN 38	Delivery bill. Airmails	17-136.1, 17-228.1
CN 41	Delivery bill. Surface airlifted (S.A.L.) mails	17-136.1, 17-228.1
CN 42	Direct transshipment label	17-133.1, 17-227.1
CN 43	Verification note. Exchange of mails	17-139.1
CN 44	Trial note	17-134.1, 17-232.1
CN 45	Transmission envelope for CN 38, CN 41 and CN 47 bills	17-135.5.4, 17-233.6.4
CN 46	Substitute delivery bill	17-137.4, 17-229.4
CN 47	Delivery bill. Mails of empty receptacles	17-144.4.4, 17-233.5.4
CN 48	Statement. Amounts due in respect of indemnity	26-004
CN 51	Detailed account. Transit charges	33-104
CN 52	General account	33-104.6, 34-201.9
CN 53	Statement of sampling	34-101.1
CN 54	Recapitulative statement of sampling	34-101.1.2
CN 54bis	Annual recapitulative statement of sampling	34-101.2.1
CN 55	Statement of mails	34-103.1
CN 56	Recapitulative statement of mails	34-103.2
CN 57	Account. Bulk mail received	34-105.1
<b>CN 57bis</b>	<b>Final account. Bulk mail</b>	<b>34-105.5</b>
<b>CN 60</b>	<b>Detailed account. Supplementary remuneration programme quarterly statement</b>	<b>30-106.2.1</b>
CN 61	Detailed account. Terminal dues	34-107.2.3
CN 62	Detailed account. Transit charges – Surface mail	34-107.2.1
CN 62bis	Detailed account. Additional sea transit charges	34-107.2.2
CN 64	Statement. Terminal dues	34-109.2
CN 64bis	Detailed statement. Quality of Service Fund	31-101.1
CN 64ter	Detailed statement. Quality of Service Fund	31-101.4
CN 65	Bill. Weight of missent and à découvert items	27-106.3
CN 66	Statement of weights. Airmails and S.A.L. mails	33-103.1
CN 67	Statement of weights. À découvert priority/airmail items. À découvert non-priority/surface items	33-103.2
CN 69	Statement of closed transit mail	34-103.4
<b>CN 70</b>	<b>Consignment security declaration</b>	<b>08-002bis.2.2</b>



*Parcel-post forms*

<i>No.</i>	<i>Title or nature of the form</i>	<i>References</i>
CP 71	<b>(Deleted.)</b>	17-210.1
CP 72	Manifold set. Customs declaration/Dispatch note	17-210.1
CP 73	Parcel label with the number of the parcel	17-213.1
CP 74	"V" label for insured parcel	18-001.6.2.1
CP 75	Summarized account.	34-201.4
CP 77	Statement of charges	19-205.10
CP 78	Verification note	17-235.1
CP 81	Table. Surface parcels	17-214.5
CP 82	Table. Air parcels	17-214.5
CP 83	Receiptacle label for surface parcel mail	17-221.3.1
CP 84	Receiptacle label for air parcel mail	17-221.3.1
CP 85	Receiptacle label for S.A.L. parcel mail	17-221.3.1
CP 87	Parcel bill	17-224.1
CP 88	Special parcel bill. Payment of rates due for the transit of parcels	17-223.13
CP 91	Envelope for transmission of dispatch note, customs, etc., documents	17-225.3
CP 92	Envelope for transmission of dispatch note, customs, etc., documents	17-225.3
CP 94	Statement of amounts due	34-201.1
CP 94bis	Statement of amounts due with weight brackets	34-201.2.2
CP 95	COD label	18-002.3.2.1.3

## Regulations to the Convention

### Volume I Rules in common

#### Section I Rules applicable in common throughout the international postal service

##### Article 01-001 Definitions

- 1 For the purposes of these Regulations, the following terms shall have the meanings defined below.
- 2 Air parcel – any parcel as defined in the UPU Convention which is conveyed by air with priority.
- 3 IBIS – any UPU-certified common Internet-based inquiry system compliant with the UPU operational and technical procedures defined or referred to herein and used for the preparation, submission, transmission, receipt and processing of inquiries relating to parcels between designated operators.
- 4 **Name – in the context of sender or addressee, comprises the name of the legal person, or the family name, given name and patronymic (if any, as provided).<sup>1</sup>**

##### Article 02-001 Mandatory notification of operational information

- 1 Pursuant to Convention article 2, a newly designated operator shall provide all the necessary operational information for the services provided under the Acts of the Union. The operational information shall be provided through the Letter Post Compendium Online and Parcel Post Compendium Online (see article **17-003.5**) as quickly as possible, but not later than two months prior to the commencement of mail operations. The newly designated operator shall also provide the International Bureau with the information required for the “List of names and addresses of heads and senior officials in member countries” (see article **17-004.2.1**). Financial information shall be provided pursuant to article **34-004**.

##### Article 04-001 Application of freedom of transit

- 1 Member countries not providing the insured items service or not accepting liability for insured items carried by their sea or air services shall nonetheless be bound to forward, by the quickest route and the most secure means, closed mails passed to them by other member countries.

<sup>1</sup> For the purposes of this definition, any references to family name, given name and patronymic shall apply only to natural persons.

## Article 04-002

## Failure to give freedom of transit

1 Discontinuation of the postal service with a member country that fails to observe freedom of transit shall be notified in advance to the member countries and designated operators concerned by e-mail or any other means of telecommunication. The International Bureau shall be informed of the fact.

## Article 06-001

## Prepayment. Methods of denoting prepayment

1 Letter-post items

1.1 As a general rule, letter-post items shall be fully prepaid by the sender.

1.2 Methods of denoting prepayment

1.2.1 Prepayment shall be denoted by means of any one of the following methods:

1.2.1.1 postage stamps printed on or affixed to the items and valid in the member country of origin;

1.2.1.2 postal prepayment impressions valid in the member country of origin and dispensed by automatic vending machines installed by designated operators of origin;

1.2.1.3 impressions of officially approved franking machines valid in the country of origin, operating under the direct supervision of the designated operator of origin;

1.2.1.4 impressions made by a printing press or other printing or stamping process when such a system is authorized by the regulations of the designated operator of origin.

2 Letter-post items may also be provided with an indication that full postage has been prepaid, for example, "Taxe perçue" ("Postage paid"). This indication shall appear in the top right-hand part of the address side and be authenticated by a date-stamp impression of the office of origin. In the case of unpaid or underpaid items, the impression of the office which prepaid the item or made up the postage on it shall be applied opposite this indication.

3 Parcels shall be prepaid by means of postage stamps or by any other method authorized by the regulations adopted by the country of origin or by its designated operators.

## Article 06-002

## Postage stamps. Notification of issues and exchange between designated operators

1 Each new issue of postage stamps shall be notified by the designated operator concerned to all other designated operators, with the necessary information, through the intermediary of the International Bureau.

2 Designated operators shall exchange, through the intermediary of the International Bureau, one set of each of their new issues of postage stamps and shall send 15 sets to the International Bureau. This represents a total of 235 stamps to be dispatched for each new issue.

## Article 06-003

## Characteristics of postage stamps and postal prepayment or franking impressions

1 Postage stamps and postal prepayment impressions

1.1 Postage stamps and postal prepayment impressions may be of any shape provided that, in principle, their vertical or horizontal dimensions are not less than 15 mm nor more than 50 mm.

1.2 They may be distinctively marked with punched perforations or embossed impressions produced by means of an embossing stamp, subject to the conditions laid down by the designated operator or the member country which has issued them, provided that those processes do not interfere with the clarity of the particulars prescribed in article 8 of the Convention.

- 1.3 Commemorative or charity postage stamps may bear an indication of the year of issue in Arabic numerals. Similarly, they may bear an inscription in any language to indicate the occasion for which they are issued. When a supplementary charge is payable in addition to their postage value, they shall be so designed as to leave no doubt about that value.
- 1.4 In accordance with article 6 of the Convention, postage stamps may, in place of the name of the issuing member country or territory, and subject to a formal request duly sent by the issuing member country or territory to the International Bureau, include the abbreviation or initials officially listed in the ISO 3166 standard for the member country or territory concerned.**
- 2 Franking machine impressions
- 2.1 Designated operators may use themselves or authorize the use of postal franking machines reproducing on items the name of the member country of origin and the postage value, as well as the name of the place of origin and the date of posting. However, the last two items of information shall not be compulsory. For franking machines used by designated operators themselves, the postage value may be replaced by an indication that postage has been prepaid, for example in the form: "Taxe perçue" (Charge collected).
- 2.2 Impressions produced by postal franking machines shall, in principle, be bright red. However, designated operators may permit that the impressions produced by franking machines may be made in a colour other than bright red. The impressions of any publicity slogans used with the franking machines may also be produced in a colour other than red.
- 2.3 The names of the member country and place of origin shall be given in roman letters, which may be supplemented by the same information in other letters. The postage value shall be shown in arabic figures.
- 3 Franking impressions
- 3.1 Franking impressions obtained from a printing press or by another printing or stamping process shall bear the name of the member country of origin and, if appropriate, of the office of posting in roman letters, which may be supplemented by the same information in other letters. They shall also bear an indication that postage has been prepaid, for example in the form "Taxe perçue" (Charge collected). In every case, the phrase adopted shall be shown in bold letters in a space the area of which shall not be less than 300 mm<sup>2</sup>. The date-stamp, if used, shall not appear in this space.
- 3.2 Franking impressions obtained from an electronic printing process may be applied above the address field, separated from it by a vertical distance of between 2.5 and 5 mm, regardless of the location of the address. Such franking impressions may be applied directly on the envelope or inside the envelope window. In the latter case, the printing and positioning of the item inside the envelope shall be constrained such that no part of the franking impression is closer than 5 mm to the edge of the window. The provisions of paragraph 3.1 shall apply to this type of impression. Where the franking impression incorporates or is supported by data encoded in a two-dimensional symbol, this shall comply with UPU Technical Standard S28.

#### Article 06-004

##### Suspected fraudulent use of postage stamps or postal prepayment or franking impressions

- 1 Subject to national criminal or criminal action provisions, the following procedure shall be observed in case of suspicion of an intentional violation concerning means of postal prepayment.
- 1.1 When, in outgoing mail, an intentional violation concerning means of postal prepayment is suspected and the sender is not known, the stamp or impression shall not be tampered with in any way. The item, accompanied by an advice, shall be sent to the delivery office in an officially registered envelope. A copy of the advice shall be forwarded, for information, to the designated operators of the member countries of origin and of destination. Any designated operator may ask, through notification of the International Bureau, for these advices concerning its service to be sent to its central administration or to a specially appointed office.

- 1.2 The addressee shall be invited to see the evidence. The item shall be delivered to him only if he pays the charge due, discloses the name and address of the sender and places at the disposal of the postal service, after acquainting himself with the contents, the subject of the suspected violation. This may be the entire item, if it is inseparable from the *corpus delicti*, or the part of the item (envelope, wrapper, portion of letter, etc.) which contains the address and the impression or stamp reported as suspect. The result of the interview shall be set down in an official report signed by the postal official and by the addressee. If the addressee refuses, this shall be recorded on the document.
- 2 The official report shall be sent with the supporting papers, officially registered, to the designated operator of the member country of origin, which shall take action according to its legislation.
- 3 Designated operators whose legislation does not permit the procedure provided for in 1.1 and 1.2 shall inform the International Bureau to that effect so that the other designated operators may be notified.

## Article 06-005

## Application of the date-stamp

- 1 The imprint of a date-stamp showing, in roman letters, the name of the office responsible for cancelling and the date of that operation shall be applied to the address side of items. Equivalent particulars in the characters of the country of origin may be added.
- 2 The application of the date-stamp shall not be compulsory:
- 2.1 for items franked by means of impressions of postal franking machines if the name of the place of origin and the date of posting appear on these impressions;
- 2.2 for items franked by means of impressions obtained by a printing press or by any other printing or stamping process;
- 2.3 for unregistered reduced-rate items, provided that the place of origin is shown on these items;
- 2.4 for letter-post items relating to the postal service as listed in article **16.1** of the Convention and article **16-001**.
- 3 All postage stamps valid for prepayment shall be cancelled.
- 4 Unless designated operators have prescribed cancellation by means of a special stamp impression, postage stamps left uncanceled through error or omission in the service of origin shall be cancelled by the office which detects the irregularity, using one of the following means:
- 4.1 with a thick line in ink or indelible pencil;
- 4.2 using the edge of the date-stamp in such a way that the name of the post office is not identifiable.
- 5 Missent items, except for unregistered reduced rate items, shall be impressed with the date-stamp of the office which they have reached in error. This shall apply to both stationary offices, and, as far as possible, travelling post offices. The impression shall be made on the back of priority items in envelopes and letters and on the front of postcards.

## Article 06-006

## Unpaid or underpaid items

- 1 The designated operator of origin may return unpaid or underpaid items to the sender for the latter to make up the postage himself. The senders of unpaid or underpaid items shall be identified by any methods provided for in the member country of origin's **national** regulations, including those applicable to undeliverable items.
- 2 The designated operator of origin may also itself undertake to prepay unpaid letter-post items or make up the postage on underpaid items and collect the missing amount from the sender. In this case, it shall be authorized to also collect a guideline handling charge of 0.33 SDR.

3 If the designated operator of origin does not apply any of the options provided for in 1 and 2 or if the postage cannot be made up by the sender, unpaid or underpaid priority items, letters and postcards shall still be forwarded to the country of destination. Other unpaid or underpaid items may also be forwarded.

4 Notwithstanding the provisions of paragraph 3, the designated operator of origin shall not be obliged to forward to the countries of destination the following categories of items when they have been posted in posting boxes or other installations of the designated operator:

4.1 unpaid or underpaid items on which the sender is not indicated or whose sender cannot be identified;

4.2 unpaid postcards bearing labels or marks purporting to certify that postage has been paid.

5 Notwithstanding the provisions of paragraph 9, the designated operator of origin shall not be obliged to forward to the countries of destination unpaid postcards bearing labels or marks purporting to certify that postage has been paid when such postcards have been posted in posting boxes or other installations of the designated operator.

6 The designated operator of origin shall lay down the criteria for forwarding unpaid or underpaid items to the country of destination. Nevertheless, designated operators shall, as a general rule, dispatch by the quickest method (air or surface) items marked by the sender to be sent as priority items or airmail items.

7 A designated operator of origin which wants missing postage to be collected from the addressee shall follow the procedure described in 8 and 10. Unpaid or underpaid items submitted to this procedure shall be liable to a special charge, payable by the addressee or, in the case of returned items, by the sender, calculation of which is defined in 11.

8 Before being forwarded to the country of destination, unpaid or underpaid items shall be marked with the T stamp (postage due) in the middle of the upper part of the front. Beside the impression of this stamp the designated operator of origin shall write very legibly in the currency of its country the amount of the underpayment and, under a fraction line, that of its minimum unreduced charge valid for the first weight step for priority items or letters dispatched abroad.

9 The designated operator of origin shall be responsible for checking that international mail items posted in its country are correctly prepaid. On arrival in the country of destination, every item not bearing the T stamp impression in accordance with the provisions under 8 shall be considered to be duly prepaid and treated accordingly.

10 When a designated operator of first destination wants missing postage to be collected from the addressee (reforwarded items) or from the sender (returned items), the task of applying the T stamp and giving the amounts in the form of a fraction shall be the responsibility of this designated operator. The same shall apply in the case of items originating in countries which apply reduced charges in the service with the reforwarding designated operator. In such a case, the fraction shall be established according to the charges laid down in these Regulations and valid in the country of origin of the item.

11 The delivering designated operator which wants to collect missing postage shall mark the item with the charge to be collected. It shall determine this charge by multiplying the fraction resulting from the data mentioned under 8 by the amount, in its national currency, of the charge applied in the international service to the first weight step for priority items or letters dispatched abroad. To this charge, it shall add the handling charge prescribed under 2. The delivering designated operator may, if it so wishes, collect only the handling charge.

12 If the fraction laid down under 8 has not been shown beside the T stamp by the designated operator of origin or the reforwarding designated operator in the case of non-delivery, the designated operator of destination may deliver the underpaid item without collecting a charge.

13 Postage stamps and postal franking impressions not valid for prepayment of postage shall not be taken into account. In that case, the figure nought (0) shall be placed beside such postage stamps or impressions, which shall be marked around in pencil.

14 Registered items and insured items shall be regarded on arrival as duly prepaid.

## Article 06-007

## Prepayment and stamping of items posted on board ship

1 Items posted on board ship at the two terminal points of the voyage or at any intermediate port of call shall be prepaid by means of postage stamps and according to the rates of the country in whose waters the ship is lying.

2 If the items are posted on board on the high seas, they may be prepaid, in the absence of special agreement between the designated operators concerned, by means of the postage stamps and according to the rates of the country to which the ship appertains or is under contract. Items prepaid in this way must be handed over to the post office at the port of call as soon as possible after the arrival of the ship.

3 The stamping of items posted on ships shall be the responsibility of the postal official or the officer on board charged with the duty, or, failing those, of the post office at the port of call at which these items are handed over. In that case, the office shall impress the correspondence with its date-stamp and add the word "Navire", "Paquebot" or any other similar note.

## Article 07-001

## Environmental aspects

1 Designated operators should make their products and services as environment friendly as possible within the limits imposed by technologies and resources.

2 The consumption of materials and energy should be optimized and be the minimum consistent with the efficient conduct of operations.

3 Materials used should comply with non-pollution or non-toxic standards established by the relevant national and international agencies.

4 Designated operators should promote the recycling of paper and other materials. They should also promote the use of recycled materials.

## Article 08-001

## Postal security

1 **Member countries and their designated operators shall adhere to UPU Technical Standards S58, "Postal security – General security measures" and S59, "Postal security – Office of exchange and international airmail security", and aim to:**

1.1 raise quality of service as a whole;

1.2 increase employee awareness of the importance of security;

1.3 create or reinforce security units;

1.4 share operational, security and investigative information on a timely basis;

1.5 propose to legislatures, wherever necessary, specific laws, regulations and measures to improve the quality and security of worldwide postal services;

1.6 provide guidelines, training methods and assistance to postal officials to enable them to deal with emergency situations that could endanger life or property or could hamper the mail transport chain, in order to maintain the continuity of operations.

Article 08-002

Implementing provisions for providing electronic advance data

1 Items containing goods may be subject to specific import customs- and security-based requirements for providing electronic advance data as referred to in article 8.1 of the Convention **and further specified in the respective provisions of the Regulations**. Letters, postcards, printed papers (other than books) or letter-post items containing correspondence or items for the blind, which are not subject to customs duties, shall be exempted from these requirements.

2 Each item for which electronic advance data is provided shall be accompanied by the appropriate UPU customs declaration form.

3 The electronic advance data required to meet such requirements shall, in all cases, replicate data documented on the appropriate UPU customs declaration form.

4 Each item for which electronic advance data is provided shall bear a unique item identifier, in both human-readable and barcode format, conforming to UPU Technical Standard S10. All exchanges of electronic advance data provided for customs and security reasons shall be compliant with **UPU EDI Messaging Standard M33 (ITMATT V1)** and shall correspond to the content of the UPU customs declaration form.

**4bis When dispatching items for which electronic advance data is to be provided for customs and security reasons, the designated operator of origin shall ensure that each dispatched item's unique S10 barcoded identifier has been electronically linked (nested) to the S9 barcoded label of the receptacle containing that item, and that this information is included in the PREDES (UPU Technical Standard M41) electronic dispatch messaging sent to the designated operator of destination.**

5 Electronic advance data shall be used in a manner consistent with the relevant provisions of the Acts of the Union regarding the processing of personal data. Without prejudice to the foregoing, the exchange of such data may be additionally governed by bilateral or multilateral agreements or protocols regarding the protection of personal data and other technical aspects relating to data exchanges.

6 Certain items may be subject to extra security measures as per the relevant procedures adopted by the UPU in consultation with other relevant stakeholders. Such measures may include, inter alia, the tracing and/or prevention of further conveyance of individual items.

7 In order to safeguard the smooth flow of the items referred to herein, member countries and designated operators implementing the provisions of this article shall do so in a manner that is consistent with the capacity of the global postal network and the available infrastructure for implementation thereof, and also take into account whether the requirements for providing electronic advanced data can be met by all concerned parties in the international postal transport chain.

**Article 08-002bis**

**Consignment security declaration**

1 **The transport of mail by air may be subject to specific security-based requirements and may require the provision of a standardized consignment security declaration to the carrier by the sending designated operator in accordance with applicable aviation security regulations.**

2 **When the provision of a consignment security declaration is required:**

2.1 **The consignment security declaration shall be provided to the carrier electronically as part of the CARDIT standardized message if other applicable regulations so allow.**

2.2 **When electronic provision is not possible, a paper document with the information shall be sent together with the delivery bill. It is strongly recommended to use the CN 70<sup>2</sup> consignment security declaration for this purpose.**

<sup>2</sup> The CN 70 document is based on the IATA form, which has been endorsed by ICAO.



## 2.3 The method of providing the consignment security declaration shall be agreed with the carrier in advance.

### CONSIGNMENT SECURITY DECLARATION

CN 70

Regulated Entity Category (RA, KC or AO) and Identifier <small>(of the regulated party issuing the security status)</small>		Unique Consignment Identifier	
Contents of Consignment			
<input type="checkbox"/> Consolidation			
Origin	Destination	Transfer/Transit points (if known)	
Security Status	Reasons for issuing the Security Status		
	Received from <small>(codes)</small>	Screening Method <small>(codes)</small>	Grounds for Exemption <small>(codes)</small>
Other Screening Method(s) <small>(if applicable)</small>			
Security Status issued by		Security Status Issued on	
Name of Person or Employee ID		Date (dd/mm/yyyy) Time (tttt)	
Regulated Entity Category (RA, KC or AO) and Identifier <small>(of any regulated party who has accepted the security status given to a consignment by another regulated party)</small>			
Additional Security Information			

Size 210 x 297 mm

### Article 13-001

#### International mail processing centres (IMPCs)

1 The exchange of international mail shall be carried out by international mail processing centres. When an IMPC is used for the creation, closure and/or receipt of dispatches, it is called an office of exchange.

2 Designated operators shall submit to the International Bureau any requests for the registration, updating or closure of their IMPCs. Such requests shall contain all relevant IMPC characteristics and functions as further defined in UPU Technical Standard S34 (Registration of international mail processing centres), in accordance with the instructions set forth therein.

3 The location of an IMPC as requested by a designated operator shall be selected with a view to optimizing quality of service for international mail dispatches, taking into account the availability of international and/or domestic transport networks and estimated mail traffic volumes in the area covered by the requested IMPC.

**4** The International Bureau shall be responsible for managing and processing any IMPC registration requests submitted in accordance with the parameters defined herein. The advice of the Postal Operations Council (and, as necessary, the Council of Administration for matters within its purview) may be sought prior to registration of the relevant IMPC by the International Bureau for special requests having a potential large impact on international mail exchanges.

**5** All duly registered IMPCs shall be published by the International Bureau in a UPU IMPC code list containing their codes and characteristics and made available to all Union member countries and their designated operators.

**6** For all UPU documentation and in all EDI messages, designated operators shall use only their own IMPC codes and the IMPC codes authorized by their partner designated operators as per the UPU IMPC code list in force at the time of use, and comply strictly with the conditions stated in that list.

**7** Designated operators may also request the establishment of IMPCs with restricted use, subject to the conditions below:

**7.1** Military units: designated operators may request the registration of IMPCs for military units belonging to their member country but situated outside their national territory;

**7.2** Extraterritorial offices of exchange: designated operators may request the registration of IMPCs outside their national territory, subject to the relevant provisions of article 13 of the Convention;

**7.3** Other offices of exchange for bilateral/multilateral use: designated operators may request the registration of IMPCs on their own territory for exchanges with selected partner designated operators on the basis of bilateral or multilateral agreements, strictly for international mail exchanges regulated by the Acts of the Union.

**8** Wherever an IMPC is displayed on a UPU form, its related IMPC code shall be displayed. If the form so requires, the following associated information shall also be displayed:

**8.1** IMPC name;

**8.2** code and name of the designated operator responsible for the IMPC.

#### **Article 13-002**

##### **Forms**

**1** The forms shall conform to the annexed specimens.

**2** The texts, colours and dimensions of forms as well as other characteristics such as the position reserved for entering the barcode shall be those prescribed in these Regulations.

**3** Forms for the use of the public shall bear an interlinear translation in French when they are not printed in that language.

**4** Forms for the use of designated operators in their relations with one another shall be drawn up in French with or without interlinear translation, unless the designated operators concerned arrange otherwise by direct agreement.

**5** Forms as well as any copies thereof shall be completed in such a way that the entries are fully legible. The original form shall be sent to the appropriate designated operator or to the party most concerned.

**Article 13-003****Forms for the use of the public**

**1** For the purpose of applying article 13-002.3, the following shall be considered as forms for the use of the public:

<b>Form</b>	<b>Title</b>
<b>CN 01</b>	<b>International reply coupon</b>
<b>CN 07</b>	<b>Advice of receipt/of delivery/of payment/of entry</b>
<b>CN 08</b>	<b>Inquiry – letter-post items only</b>
<b>CN 11</b>	<b>Franking note</b>
<b>CN 14</b>	<b>Collective envelope</b>
<b>CN 17</b>	<b>Request for withdrawal from the post, alteration or correction of address, cancellation or alteration of the COD amount</b>
<b>CN 18</b>	<b>Declaration concerning the non-receipt (or receipt) of a postal item</b>
<b>CN 22</b>	<b>Customs declaration label</b>
<b>CN 23</b>	<b>Customs declaration</b>
<b>CN 29</b>	<b>COD label</b>
<b>CP 72</b>	<b>Manifold set. Customs declaration/Dispatch note</b>
<b>CP 95</b>	<b>COD label</b>

**Section II****Quality of service standards and targets****Article 14-001****Quality of service targets**

- 1** Letter-post items
  - 1.1** Member countries or designated operators shall undertake to verify periodically that the established times are achieved either within the framework of the surveys organized by the International Bureau or by the Restricted Unions, or on the basis of bilateral agreements.
  - 1.2** It is also desirable that member countries or designated operators should verify periodically that the established times are achieved, using other quality control systems, in particular external quality control.
  - 1.3** Wherever possible, member countries or designated operators shall implement quality measurement systems for international mails (both outgoing and incoming); this should, as far as possible, include measurement from posting to delivery (end-to-end).
  - 1.4** All designated operators shall provide the International Bureau with up-to-date information about the latest transport arrival times (LTAT) against which they operate for international postal purposes. Any changes shall be advised as soon as they are planned in order to allow the International Bureau to communicate these changes to designated operators before they are applied.
  - 1.5** Where possible, separate information shall be provided for priority and non-priority streams of traffic.
- 2** Parcels
  - 2.1** Member countries or designated operators shall monitor actual performance against the service targets fixed by them.

## Section III

### Charges, surcharges and exemption from postal charges

#### Article 16-001

##### Exemption from postal charges on postal service items

- 1 Letter-post items
  - 1.1 Letter-post items relating to the postal service sent by designated operators or their offices, whether by air, surface or surface airlifted (S.A.L.) mail, shall be exempt from all postal charges.
  - 1.2 Letter-post items relating to the postal service shall be exempt from all postal charges, with the exception of air surcharges, if they are:
    - 1.2.1 exchanged between bodies of the Universal Postal Union and bodies of the Restricted Unions;
    - 1.2.2 exchanged between bodies of those Unions;
    - 1.2.3 sent by such bodies to member countries and/or designated operators or their offices.
- 2 Parcels
  - 2.1 Parcels relating to the postal service shall be exempt from all postal charges if exchanged between the following:
    - 2.1.1 designated operators;
    - 2.1.2 member countries and designated operators and the International Bureau;
    - 2.1.3 post offices of the designated operators of member countries;
    - 2.1.4 post offices and designated operators.
  - 2.2 Air parcels, with the exception of those originating from the International Bureau, shall be exempt from air surcharges.

#### Article 16-002

##### Marking of items sent free of postal charges

- 1 Items exempt from postal charges shall bear, on the address side in the top right-hand corner, the following indications, which may be followed by a translation:
  - 1.1 "Service des postes" (Postal service) or a similar indication for the items mentioned in article 16.1 of the Convention and article 16-001;
  - 1.2 "Service des prisonniers de guerre" (Prisoners-of-war service) or "Service des internés civils" (Civilian internees service) for the items mentioned in article 16.2 of the Convention and article 16-003 and the forms relating to them;
  - 1.3 "Envois pour les aveugles" (Items for the blind) for the items mentioned in article 16.3 of the Convention.
- 2 For parcels, the dispatch note shall bear the same indication as in 1.

#### Article 16-003

##### Application of exemption from postal charges to bodies concerned with prisoners of war and civilian internees

- 1 The following shall enjoy exemption from postal charges within the meaning of article 16.2 of the Convention:
  - 1.1 the Information Bureaux provided for in article 122 of the Geneva Convention of 12 August 1949 relative to the treatment of prisoners of war;
  - 1.2 the Central Prisoner-of-War Information Agency provided for in article 123 of the same Convention;

- 1.3 the Information Bureaux provided for in article 136 of the Geneva Convention of 12 August 1949 relative to the protection of civilian persons in time of war;
- 1.4 the Central Information Agency provided for in article 140 of the latter Convention.

## Section IV

### Basic and supplementary services

#### Article 17-001

##### Monetary unit

- 1 The monetary unit laid down in article 7 of the Constitution and used in the Convention and the other Acts of the Union shall be the Special Drawing Right (SDR).
- 2 The designated operators of Union member countries may choose, by mutual agreement, a monetary unit other than the SDR or one of their national currencies for preparing and settling accounts.

#### Article 17-002

##### Equivalents

- 1 Designated operators shall fix the equivalents of the postal charges prescribed in the Convention and the other Acts of the Union and the selling price of international reply coupons. They shall notify them to the International Bureau for them to be announced to designated operators. To this end each designated operator shall be required to notify the International Bureau of the average value of the SDR in the currency of its country.
- 2 The average value of the SDR which will be operative from 1 January each year, for the purposes only of the fixing of charges, will be determined, to four places of decimals, on the basis of the data published by the IMF over a period of at least 12 months ended on the preceding 30 September.
- 3 For a currency for which daily exchange rates with the SDR are not published by the IMF, the calculation shall be made through the medium of a quoted currency.
- 4 Union member countries whose currency exchange rates in relation to the SDR are not calculated by the IMF or which are not members of that specialized agency shall be requested to declare unilaterally an equivalence between their currencies and the SDR.
- 5 Designated operators shall communicate equivalents or changes of equivalents of postal charges to the International Bureau as soon as possible, giving the date of their entry into force.
- 6 The International Bureau shall publish a compendium showing, for each member country, the equivalents of the charges, the average value of the SDR and the selling price of the international reply coupons mentioned under 1.
- 7 Each designated operator shall notify the International Bureau direct of the equivalent it has adopted for the indemnities prescribed in the event of loss of a registered item or registered M bag.

#### Article 17-003

##### Information to be supplied by designated operators

- 1 Designated operators shall communicate to the International Bureau, on the forms sent by the latter, the necessary information concerning the operation of the postal service. This information shall cover the decisions taken on the optional application of certain general provisions of the Convention and of its Regulations.

- 1.1 For letter-post items, this information shall cover, in particular, the following questions:
  - 1.1.1 the reduced charges adopted under article 8 of the Constitution and details of the services to which the charges apply;
  - 1.1.2 the **national** postal charges applied;
  - 1.1.3 in accordance with article **14** of the Convention:
    - 1.1.3.1 the quality of service targets fixed for the delivery in their country of priority and airmail items and of non-priority and surface items;
    - 1.1.3.2 the latest acceptance times (LATs) for incoming international mail, at the airport or other appropriate places;
    - 1.1.3.3 the latest acceptance times at the inward offices of exchange;
    - 1.1.3.4 the level of service that can be achieved (e.g. next-day delivery in the capital or day after for the rest of the country);
  - 1.1.4 the different rates of air conveyance dues collected in accordance with article **33-101.5** with the dates of application;
  - 1.1.5 the air surcharges or combined charges for the various categories of airmail items and for the various countries, with an indication of the names of the countries for which uncharged mail is admitted.
- 2 Concerning parcels, each designated operator shall notify the other designated operators, through the intermediary of the International Bureau, of:
  - 2.1 the inward rates and, where appropriate, the transit land rates and sea rates which it collects;
  - 2.2 relevant information concerning the optional services, conditions of acceptance, limits of weights, limits of sizes and other special features.
- 3 Any amendment to the information mentioned under 1 shall be communicated to the International Bureau without delay, by the quickest means. Amendments concerning the information mentioned in 1.1.4 must reach the International Bureau within the time limits prescribed in article **33-106**.
- 4 Designated operators may agree to exchange direct any information about air services in which they are interested, particularly timetables and the latest times of arrival for items coming from abroad by air to catch various deliveries.
- 5 Designated operators shall, through the Letter Post and Parcel Post Compendia Online, provide all operational information regarding the basic, supplementary and other services as defined by the UPU Acts. Where there are any changes, designated operators shall update the information provided in the Compendium Online within the first 15 days of each quarter.
- 6 The designated operators of countries which participate in the insured items service and which provide direct exchanges shall communicate to one another, by means of CN 27 tables, information concerning the exchange of these items.
- 7 Designated operators shall supply the International Bureau with two copies of the documentation which they publish, whether relating to the **national** or international service. They shall also furnish, as far as possible, other works published in their country concerning the postal service.

#### Article **17-004**

##### International Bureau publications

- 1 The International Bureau shall publish, on the basis of information supplied in accordance with article **17-003**, an official compendium of information of general interest relating to the implementation of the Convention and its Regulations in each member country. It shall also publish similar compendia relating to the implementation of the Postal Payment Services Agreement and its Regulations, on the basis of the information supplied by the member countries and/or designated operators concerned in accordance with the relative provisions in the Regulations of that Agreement.

- 
- 2 It shall also publish, from information supplied by member countries and/or designated operators and, if appropriate, by the Restricted Unions as regards 2.1, or the United Nations as regards 2.5:
- 2.1 a list of addresses, heads and senior officials in charge of postal affairs of member countries, designated operators and Restricted Unions including their e-mail addresses. The list shall, at least as concerns member countries and designated operators, also contain information about any specific addresses, including e-mail addresses, in the following areas:
- 2.1.1 international relations;
- 2.1.2 security;
- 2.1.3 relations with international customers;
- 2.1.4 inquiries;
- 2.1.5 environment;
- 2.1.6 accounting; and
- 2.1.7 emergency information of an operational nature (EmIS);
- 2.2 an international list of post offices;
- 2.3 a compendium of transit information comprising:
- 2.3.1 a list of kilometric distances relating to land sectors of mails in transit;
- 2.3.2 a list of transit services provided for surface mail (including S.A.L. mail);
- 2.4 a list of equivalents;
- 2.5 a list of prohibited articles which shall also include narcotics prohibited under the multilateral treaties on narcotics and the definitions of dangerous goods prohibited from conveyance by post drawn up by the International Civil Aviation Organization;
- 2.6 a compendium of designated operators' **domestic** charges;
- 2.7 statistical data relating to the postal services, **as well as other national postal activities**;
- 2.8 studies, opinions, reports and other statements relating to the postal service;
- 2.9 the following three catalogues:
- 2.9.1 International Bureau library catalogue (listing the works acquired by the library);
- 2.9.2 International Bureau periodicals catalogue (listing the periodicals received at the International Bureau);
- 2.9.3 International Bureau film library catalogue (listing the films available for loan by the International Bureau to member countries and designated operators);
- 2.10 a catalogue of postal equipment;
- 2.11 information on the internal air conveyance dues within the country of destination, where applicable, and a list of relevant dispatch series made to each destination operator, based on PREDES messages, to facilitate planning of transit;
- 2.12 a liste des distances aéropostales (List of Airmail Distances) drawn up in collaboration with the air carriers.
- 3 It shall also publish:
- 3.1 the Manuals of the Convention and of the Postal Payment Services Agreement;
- 3.2 the other Acts of the UPU annotated by the International Bureau;
- 3.3 the Multilingual Vocabulary of the International Postal Service;
- 3.4 the Letter Post and Parcel Post Compendia Online, on the UPU website.

4 Amendments to the various publications listed under 1 to 3 shall be notified by circular, bulletin, supplement or other appropriate means. However, any amendments to the publications listed under 2.11 and 2.12 and the date on which the amendments take effect shall be notified to member countries and designated operators by the quickest means (air or surface), with the minimum of delay and in the most appropriate form.

5 The publications published by the International Bureau shall be distributed to member countries and designated operators in accordance with the following rules:

5.1 All publications, excepting the one specified under 5.2, shall be distributed in three copies, one of which shall be in the official language. The other two shall be supplied either in the official language or in the language requested in accordance with article 155 of the General Regulations.

5.2 The periodical "Union Postale" shall be distributed in proportion to the number of contribution units assigned to each member country and designated operator under article 150 of the General Regulations.

5.3 Over and above the number of copies distributed free of charge by virtue of the rules set out under 5.1, member countries and designated operators may purchase International Bureau publications at cost price.

6 Publications published by the International Bureau shall also be sent to the Restricted Unions.

#### Article 17-005

##### Period of retention of documents

1 Documents of the international service shall be kept for a minimum period of 18 months from the day following the date to which they refer. However, if the documents are reproduced on microfilm, microfiche or similar medium, they may be destroyed as soon as it is established that the reproduction is satisfactory.

2 Documents concerning a dispute or inquiry shall be kept until the matter has been settled. If the initiating designated operator, being duly informed of the result of the inquiry, allows six months to pass from the date of the communication without raising any objections, the matter shall be regarded as closed.

#### Article 17-006

##### Forms

**(Deleted.)**

#### Article 17-007

##### Forms for the use of the public

**(Deleted.)**

#### Article 17-008

##### Application of standards

1 The execution of some Regulations may involve the application of certain standards. Member countries and/or designated operators should refer to the relevant UPU standard publications which contain the standards approved by the UPU.

2 Except where the application of a UPU standard is explicitly required by a reference to it in the Regulations, the application of UPU standards shall be voluntary. Nevertheless, member countries and/or designated operators are advised to adhere to the standards that are relevant to their domestic and international operations in order to enhance processing efficiency and the interoperability of their systems and processes.



3 A UPU standard should be adopted in its entirety. Member countries and/or designated operators shall ensure that their use of a UPU standard is fully compliant with the requirements specified therein. They may deviate from recommendations only to the extent permitted by the standard concerned.

#### Article 18-001

##### Insured items

1 Priority items and letters containing securities, valuable documents or articles, and parcels may be exchanged with insurance of the contents for the value declared by the sender. This exchange shall be restricted to relations between designated operators which have declared their willingness to admit such items, whether reciprocally or in one direction only.

##### 2 Insured value

2.1 In principle, the amount of the insured value shall be unlimited. Every member country or designated operator may limit the insured value, so far as it is concerned, to an amount which may not be less than 4,000 SDR or to an amount at least equal to that adopted in its **domestic** service if that amount is less than 4,000 SDR. However, the insured value limit adopted in the **domestic** service shall be applicable only if it is equal to or higher than the amount of the indemnity set for the loss of a registered item, in the case of a letter-post item, or for a parcel weighing 1 kg in the case of a parcel. The maximum amount shall be notified in SDR to the member countries of the Union.

2.2 In the service between member countries or designated operators which have adopted different maxima for the insured value, the lower limit shall be observed by both.

2.3 The insured value may not exceed the actual value of the contents of the item, but it shall be permissible to insure only part of that value. Concerning letter-post items, the amount of the insurance for papers whose value resides in the cost of their preparation may not exceed the cost of replacing the documents in case of loss.

2.4 Fraudulent insurance for a value greater than the actual value of the contents of an item shall be liable to the legal proceedings prescribed by the legislation of the country of origin.

2.5 The insured value shall be expressed in the currency of the country of origin. It shall be written by the sender or his representative above the address in the case of letter-post items, and on the parcel and the dispatch note in the case of parcels, in words with roman lettering and in Arabic figures, without erasure or alteration, even if certified. The amount of the insured value shall not be indicated in pencil or indelible pencil.

2.6 The amount of the insured value shall be converted into SDRs by the sender or by the office of origin. The result of the conversion, rounded up where appropriate to the nearest unit, shall be shown in figures at the side of or below those representing the value in the currency of the country of origin. Conversion shall not be carried out in direct services between countries which have a common currency.

2.7 When circumstances of any kind or statements made by the interested parties bring to light a fraudulent insurance for a value greater than the actual value of the contents of an item, the designated operator of origin shall be advised promptly. Any documents in support of the investigation shall be attached to the advice. If the item has not yet been delivered to the addressee, the designated operator of origin may ask for its return.

##### 3 Charges – **maximum amount**

##### 3.1 Letter-post items

3.1.1 The charge on insured items shall be paid in advance. It shall be made up of the ordinary postage charge, the fixed registration charge laid down in article **18-101** and an insurance charge.

3.1.2 Instead of the fixed registration charge, designated operators may collect the corresponding charge of their **domestic** service or, exceptionally, a charge the guideline amount of which shall be 3.27 SDR.

- 3.1.3 The guideline amount of the insurance charge shall be 0.33 SDR for each 65.34 SDR of insured value or fraction thereof, or 0.5% of the scale of the insured value. This charge shall apply whatever the country of destination, even in countries which undertake to cover risks of force majeure.
- 3.2 Parcels
- 3.2.1 The charge on insured parcels shall be paid in advance.
- 3.2.2 It shall be made up of the principal charge, an optional dispatch charge and an ordinary insurance charge; any air surcharges and charges for special services shall be added to the principal charge; the guideline maximum dispatch charge shall be the same as the registration charge for letter-post items, viz 1.31 SDR or the corresponding charge of the **domestic** service if this is higher or exceptionally, a guideline maximum charge of 3.27 SDR.
- 3.2.3 The guideline maximum ordinary insurance charge shall be 0.33 SDR for each 65.34 SDR of insured value or fraction thereof, or 0.5% of the scale of the insured value.
- 3.2.4 For parcels, any charge for cover against risks of force majeure shall be set so that the sum of this charge and the ordinary insurance charge do not exceed the maximum amount of the insurance charge.
- 3.3 In cases where exceptional security measures are required, designated operators may collect from the sender or from the addressee, in addition to the charges mentioned under 3.1 and 3.2, the special charges provided for by their **domestic** legislation.
- 4 Designated operators shall have the right to provide their customers with an insured items service in accordance with specifications other than those defined in this article.
- 5 Admission
- 5.1 For letter-post items, designated operators shall take the necessary measures to provide, as far as possible, the insured items service at every office in their countries.
- 5.2 Insured letter-post items and parcels shall fulfil the following conditions to be admitted to the post.
- 5.2.1 Insured letter-post items and parcels shall be made up in such a way that the contents cannot be tampered with without obvious damage to the envelope, the packing or the seals and shall be sealed by effective means such as fine adhesive tape with a special uniform design or mark of the sender. Designated operators may, however, agree not to require such design or mark. In this case, for letter-post items, designated operators of origin shall put some postmarks on the adhesive tape or the closing edge of the item to prevent alterations. If its regulations so permit, the designated operator of origin shall recommend that its customers use envelopes specially made for sending insured items. The use of non-tamper-proof self-adhesive envelopes shall be prohibited for insured items.
- 5.2.2 Notwithstanding paragraph 5.2.1, designated operators may require insured letter-post items and parcels to be sealed with identical wax seals, lead seals or other effective means, with a special uniform design or mark of the sender.
- 5.2.3 The seals, the postage stamps representing the prepaid postage, and the postal service and other official service labels shall be spaced out so that they cannot serve to hide damage to the envelope or to the packing. The postage stamps and the labels shall not be folded over the two sides of the envelope or the packing so as to cover an edge.
- 5.2.3.1 For letter-post items, it shall be forbidden to affix to items labels other than those relating either to the postal service or to official services whose intervention may be required under the national legislation of the country of origin.
- 5.2.4 A receipt shall be handed over free of charge to the sender of an insured item at the time of posting.
- 5.3 The following provisions apply to letter-post items:
- 5.3.1 Transparent envelopes or wrappers, and/or envelopes with one or more than one transparent panel, shall not be admitted.

- 5.3.2 Designated operators that do not adhere to the provisions contained in paragraphs 5.2.1 and 5.2.2 and send insured items without seals shall not be entitled to compensation for the insured value in the event of loss, theft or damage. Such items shall be treated as registered items and compensated as such.
- 5.3.3 If the items are tied round crosswise with string and sealed as described under 5.2.1, the string itself need not be sealed.
- 5.3.4 Items which have the exterior appearance of a box must fulfil the following additional conditions:
  - 5.3.4.1 They shall be of wood, metal, plastic or some other sturdy material and sufficiently strong.
  - 5.3.4.2 The walls of wooden boxes shall have a minimum thickness of 8 mm.
  - 5.3.4.3 The top and bottom shall be covered with white paper to take the address of the addressee, the declaration of the insured value and the impression of the official stamps. These boxes shall be sealed on the four sides in the manner described under 5.2.1. If required for ensuring inviolability, the boxes shall be tied round crosswise with strong string without knots. The two ends of the string shall be joined under a wax seal bearing a special uniform design or mark of the sender.
- 5.3.5 The prepaid postage may be denoted by an indication showing that the postage has been paid in full, for example: "Taxe perçue" (Charge collected). This indication shall appear in the top right-hand part of the address side and be authenticated by an impression of the date-stamp of the office of origin.
- 5.3.6 Items addressed to initials or the address of which is shown in pencil and those which have erasures or corrections in their address shall not be admitted. Such items which have been wrongly admitted shall be returned to the office of origin.
- 5.4 The following provisions apply to parcels:
  - 5.4.1 An address-label may be gummed to the packing itself.
  - 5.4.2 Every designated operator shall have the option of setting a maximum amount for the insured value up to which it will forgo application of the provisions of 5.2.1 and 5.2.2. The lower of the amounts concerned shall be applied in relations between member countries or designated operators that have set different maximum values.
- 6 Marking and treatment of items
  - 6.1 Letter post
    - 6.1.1 All designated operators shall apply a barcode on all outward insured items. The specifications shall be as follows:
      - 6.1.1.1 Each insured item must be identified by a single CN 06 label containing the capital letter "V" and including a unique item identifier conforming to the specification of 13-character identifiers in UPU Technical Standard S10. The item identifier shall be encoded in both human-readable and barcoded form, as prescribed in the standard.
      - 6.1.1.2 As an alternative, designated operators may agree bilaterally to the use of unique item identifiers and barcodes that are already in use on international insured items.
    - 6.1.2 The exact weight in grammes shall be marked on the item.
    - 6.1.3 The CN 06 label and the indication of the weight shall be placed on the address side and, in so far as possible, in the top left-hand corner, beneath the sender's name and address where these are given.
    - 6.1.4 A stamp impression showing the office and date of posting shall be applied to the address side.
    - 6.1.5 No serial number shall be placed on the front of items by the intermediate designated operators.
    - 6.1.6 The office of destination shall apply to the back of each item an impression of its stamp showing the date of receipt.

- 6.1.7 The delivering designated operator shall obtain a signature of acceptance or some other form of evidence of receipt from the recipient when delivering or handing over an insured letter-post item. In addition to the signature, the name in capital letters or any clear and legible indication permitting unambiguous identification of the person signing shall also be obtained.
- 6.1.8 Additionally, designated operators may establish systems that generate electronic delivery confirmation data, and agree to exchange such data with the designated operators of origin of the items.
- 6.1.9 Designated operators that have established systems that generate electronic delivery confirmation shall have the right to use signatures captured electronically from these systems, to provide proof of delivery by individual item to the sending designated operator, subject to CN 08 inquiry by the sending designated operator. The electronic delivery confirmation data may be provided electronically (e-mail) or in hard-copy form at the discretion of the delivering designated operator.
- 6.2 Parcels
- 6.2.1 Any insured parcel and its dispatch note shall be provided with a CP 74 pink label. This label shall bear in roman letters the letter V and the serial number of the parcel. It shall be gummed on the parcel, on the same side as, and near to, the address.
- 6.2.2 Designated operators may, however, use at the same time the CP 73 label prescribed in article 17-213 and a small pink label, bearing in bold letters the words "Valeur déclarée" (Insured).
- 6.2.3 The weight in kilogrammes and tens of grammes shall be given both on the parcel beside the address and on the dispatch note in the space provided. Any fraction of 10 grammes shall be rounded up to the next ten.
- 6.2.4 No serial number shall be placed on the front of insured parcels by the intermediate designated operator.
- 6.2.5 Delivery procedure for parcels
- 6.2.5.1 When delivering or handing over an insured parcel, the delivering designated operator shall obtain from the recipient a signature of acceptance, or register captured data from an identity card, or obtain some other form of evidence of receipt that is legally binding under the legislation of the country of destination to confirm acceptance.

#### Article 18-002

##### Cash-on-delivery items

- 1 General principles
- 1.1 On the basis of bilateral agreements, letter-post items and ordinary and insured parcels which fulfil the conditions laid down in these Regulations may be sent cash-on-delivery.
- 1.2 Designated operators shall be entitled to restrict the cash-on-delivery service to certain categories of **items**.
- 2 Charge
- 2.1 The designated operator of origin of the item shall freely decide the charge to be paid by the sender, in addition to the postal charges payable on the category to which the letter-post item or parcel belongs.
- 3 Role of office of posting
- 3.1 Letter post
- 3.1.1 Indications to be given on the COD items. Labels
- 3.1.1.1 COD items shall bear very prominently, on their address side, the heading "Remboursement" (COD), followed by the COD amount. They shall also bear on the address side, in so far as possible in the top left-hand corner, beneath the sender's name and address where these are given, an orange label in the form of the specimen CN 29. Alternatively, designated operators may provide these indications by means of a CN 29bis label.

- 3.1.2 The CN 04 label provided for in article **18-101.5** (or impression of the special stamp instead) shall be applied wherever possible in the top corner of the CN 29 label.
- 3.2 Parcels
- 3.2.1 Indications to be given on parcels and dispatch notes. Labels
- 3.2.1.1 Parcels on which a COD charge is payable and the corresponding dispatch notes shall bear very prominently, on the address side in the case of the parcels, the heading "Remboursement" (COD) followed by the COD amount.
- 3.2.1.2 The sender shall write his name and address in roman letters on the address side of the parcel and on the front of the dispatch note.
- 3.2.1.3 The dispatch notes of COD parcels shall bear an orange label in the form of the specimen CN 29. If the dispatch note is included in a self-adhesive document pack with a proper indication of the COD amount, the CN 29 label shall not be mandatory. In addition, COD parcels shall bear, on the address side, two further labels in the form of specimen CN 29bis and specimen CP 95.
- 3.3 Form to be attached to the item
- 3.3.1 Every COD item shall be accompanied by an MP 1bis form, or any other form agreed among designated operators, which shall be used for sending the postal payment order in exchange of the COD item to its sender.
- 4 Role of office of destination
- 4.1 The designated operator which has delivered the letter-post item or parcel to its addressee shall issue the MP 1bis form for sending the postal payment order in exchange of the COD item, or use any other means agreed among designated operators, in favour of the sender of the letter-post item or parcel.
- 5 Redirection
- 5.1 Any letter-post item or parcel on which a COD charge is payable may be redirected if the designated operator of the country of new destination provides this service in its relations with the country of origin.
- 6 Indemnities for parcels
- 6.1 If a COD parcel is delivered without collection of the COD amount, the destination designated operator shall pay the dispatching designated operator an indemnity corresponding to the COD amount.
- 6.2 If the item is partially rifled, the indemnity shall be set at the actual value of the theft, on the basis of the COD amount.
- 6.3 In the event of loss, the indemnity shall be limited to the total COD amount.

#### Article **18-003**

##### Advice of delivery for letter-post items and parcels

- 1 In the case of designated operators which offer the advice of delivery service to customers, the sender of a registered letter-post item, ordinary parcel or insured item may apply for an advice of delivery at the time of posting by paying a charge the guideline amount of which shall be 0.98 SDR.
- 2 This advice of delivery shall be returned to the sender by the quickest route (air or surface). Designated operators may agree on the electronic exchange of advices of delivery for registered letter-post items, ordinary parcels or insured items when they offer the electronic advice of delivery service to their customers.
- 2.1 In the case of parcels, designated operators may restrict this service to insured parcels if such restriction is provided for in their **domestic** service.

- 3 Marking of items with advices of delivery
  - 3.1 Items for which the sender requests an advice of delivery shall bear in bold type on the address side the letters A.R.
    - 3.1.1 For letter-post items, the sender shall give his name and address in roman letters on the outside of the item. The latter indication, when it appears on the address side, shall be placed in the top left-hand corner. This position shall as far as possible also be assigned to the letters A.R., which may be located beneath the sender's name and address where these are given.
    - 3.1.2 For parcels, the same indication shall also be made on the dispatch note.
  - 3.2 The items mentioned under 2.1 shall be accompanied by a light red CN 07 form of the consistency of a postcard. The CN 07 form shall bear in bold type the letters A.R. The sender shall complete, in roman letters and using means other than ordinary pencil, the various sections as indicated by the form's layout. The front of the form shall be completed by the office of origin or by any other office appointed by the dispatching designated operator and, for letter-post items, be securely attached to the item. For parcels, this form shall be securely attached to the dispatch note. If the form does not reach the office of destination that office shall automatically make out a new advice of delivery.
  - 3.3 In calculating the postage for an advice of delivery item, including, where applicable, calculation of the air surcharge, the weight of the CN 07 form may be taken into account. The advice of delivery charge shall be represented on the item with the other charges.
- 4 Treatment of advices of delivery
  - 4.1 As a matter of priority the advice of delivery shall be signed by the addressee or, if that is not possible, by another person authorized to do so under the regulations of the country of destination. If those regulations so provide, the advice may be signed by the official of the office of destination, except for letter-post items in the case of delivery to the addressee in person. In addition to the signature, the name in capital letters or any clear and legible indication permitting unambiguous identification of the person signing shall also be obtained. The identification information referred to herein may also be obtained by electronic means.
  - 4.2 The office of destination shall return the duly completed and signed CN 07 form direct to the sender by the first mail. This form shall be sent without an envelope by the quickest route (air or surface) and, for parcels, post-free. If the advice of delivery is returned without having been duly completed, **for letter-post items**, the irregularity shall be notified by means of the CN 08 form provided for in article **21-001**, to which the relevant advice of delivery shall be attached. For parcels, the notification **shall take place via the relevant request in IBIS, in accordance with article 21-001, to which the advice of delivery shall be attached.**
  - 4.3 When the sender inquires about an advice of delivery which he has not received within a normal period, this advice shall be requested free of charge on form CN 08. A duplicate of the advice of delivery, bearing on the front in bold letters the word "Duplicata" (Duplicate), shall be attached to the CN 08 inquiry form **for letter-post items; for parcels, it shall be attached to the relevant request in IBIS** in accordance with article **21-001**.
  - 4.4 Designated operators that have established systems to generate electronic delivery confirmation and have agreed to exchange such data with the designated operator of origin of the items shall have the right to use signatures captured electronically from these systems to provide proof of delivery of individual items to the sending designated operator, subject to CN 08 **inquiry for letter-post items** or, for parcels, IBIS inquiry by the sending designated operator. The electronic delivery confirmation data may be provided electronically (e-mail) or in hard-copy form at the discretion of the delivering designated operator.
  - 4.5 As provided for in article **10** of the Convention, the processing of any personal data associated with electronic advice of delivery and electronic delivery confirmation referred to in this article shall be in accordance with the national legislation of the member country concerned.
- 5 Accounting charges
  - 5.1 Each designated operator returning an advice of delivery (CN 07 form) to another designated operator shall be entitled to collect from that designated operator a sum corresponding to the costs

incurred for returning the advice of delivery. This sum shall be fixed in accordance with the IBRS accounting charges set out in article **18-103.4**. The advices of delivery shall be transmitted and accounted for together with the IBRS items, following the process and using the forms provided for in articles **18-103.5** and **17-125** for IBRS items.

- 5.2 The provisions under 4.1 shall apply by analogy to the electronic advice of delivery in cases where designated operators offer this service to their customers.

#### Article **18-004**

##### Items for delivery free of charges and fees

- 1 In the service between designated operators which have notified their agreement to that effect senders may, by means of a previous declaration at the office of origin, undertake to pay the whole of the charges and fees to which the items are subject on delivery.
- 2 Charges
- 2.1 Senders shall undertake to pay the amounts which may be claimed by the office of destination. If necessary, they shall make a provisional payment.
- 2.2 The designated operator of origin shall collect from the sender a charge, the guideline amount of which shall be 0.98 SDR, which it shall retain as payment for services rendered in the country of origin.
- 2.3 The designated operator of destination shall be authorized to collect a commission charge the guideline amount of which shall be 0.98 SDR. This charge shall be independent of the presentation-to-Customs charge. It shall be collected from the sender on behalf of the designated operator of destination.
- 2.4 Rules unique to letter-post items
- 2.4.1 In the case of a request made after posting of a letter-post item, the designated operator of origin shall also collect an additional charge the guideline amount of which shall be 1.31 SDR per request.
- 2.4.2 Every designated operator may restrict to registered and insured letter-post items the service of delivery free of charges and fees.
- 3 Marking and treatment of items
- 3.1 Items for delivery to addressees free of charges and fees shall bear in bold letters the heading "Franc de taxes et de droits" (Free of charges and fees) or a similar indication in the language of the country of origin. These items shall be provided with a yellow label also bearing in bold letters the indication "Franc de taxes et de droits".
- 3.1.1 For letter-post items, the heading and the label shall be placed on the address side, in so far as possible in the top left-hand corner, beneath the sender's name and address where these are given.
- 3.1.2 For parcels, this indication shall also be shown on the dispatch note.
- 3.2 Every item sent free of charges and fees shall be accompanied by a CN 11 franking note. The sender of the item shall complete the text of the right-hand side of the front of parts A and B of the franking note. The dispatching office shall insert the postal service indications. The sender's entries may be made with the use of carbon paper. The text shall include the undertaking prescribed in 2.1. For letter-post items, the franking note, duly completed, shall be securely attached to the item. For parcels, the dispatch note, the customs declarations and the franking note shall be securely fastened together.
- 3.3 Request after posting for letter-post items
- 3.3.1 The sender may ask, after posting, for the item to be delivered free of charges and fees.
- 3.3.2 If the request is to be forwarded by post, the office of origin shall inform the office of destination in an explanatory note. This latter shall bear the prepayment of the charge due. It shall be forwarded as a registered item by the quickest route (air or surface) to the office of destination accompanied by a

- franking note duly completed. The office of destination shall affix the label prescribed under 4.1 to the item.
- 3.3.3 If the request is to be forwarded by telecommunication, the office of origin shall inform the office of destination by telecommunication and at the same time advise the relative particulars of the posting of the item. The office of destination shall automatically make out a franking note.
- 4 Return of part A of franking notes. Recovery of charges and fees
- 4.1 After delivery to the addressee of an item for delivery free of charges and fees, the office which has advanced the customs or other charges on behalf of the sender shall complete, so far as it is concerned, with the use of carbon paper, the details appearing on the back of parts A and B of the franking note. It shall send part A, accompanied by the supporting vouchers, to the office of origin of the item; these shall be sent in a closed envelope, without indication of the contents. Part B shall be retained by the designated operator of destination of the item for settlement with the debtor designated operator.
- 4.2 However, any designated operator may arrange for part A of franking notes on which charges have been levied to be returned by specially appointed offices and request that this part be forwarded to a specified office.
- 4.3 The name of the office to which part A of the franking notes are to be returned shall be entered in every case on the front of this part by the office dispatching the item.
- 4.4 When an item bearing the words "Franc de taxes et de droits" (Free of charges and fees) reaches the service of destination without a franking note, the office responsible for customs clearance shall prepare a duplicate note; on parts A and B of this note it shall show the name of the country of origin and, as far as possible, the date of posting of the item.
- 4.5 When the franking note is lost after delivery of an item, a duplicate shall be prepared under the same conditions.
- 4.6 Parts A and B of the franking notes relating to items which for any reason are returned to origin shall be cancelled by the designated operator of destination.
- 4.7 On receipt of part A of a franking note showing the charges paid out by the service of destination, the designated operator of origin shall convert the amount of those charges into its own currency. The rate used shall not be higher than the rate fixed for the issue of postal money orders intended for the country concerned. The result of the conversion shall be shown in the body of the form and on the coupon at the side. After recovering the amount of the charges, the office appointed for that purpose shall hand to the sender the coupon from the note and any supporting vouchers.
- 4.8 Provision unique to parcels concerning the amount of charges
- 4.8.1 When the sender disputes the amount of the charges shown in part A of the franking note, the designated operator of destination shall verify the amount of the sums paid out. If necessary, it shall approach its national customs services. After making any necessary corrections, it shall send part A of the note in question to the designated operator of origin. Likewise, if the designated operator of destination finds an error or omission regarding the charges relating to a parcel free of charges and fees for which part A of the franking note has been returned to the designated operator of origin, it shall issue a corrective duplicate. It shall send part A to the designated operator of origin to put the matter in order.
- 5 Accounting with the designated operator of origin of items
- 5.1 Accounting in respect of charges, customs duty and other fees paid out by each designated operator on behalf of another shall be carried out by means of CN 12 detailed monthly accounts, drawn up by the creditor designated operator on a quarterly basis in the currency of its own country. The data in parts B of the franking notes which have been retained shall be entered in the alphabetical order of the offices which have advanced the charges and in the numerical order given to them. "Nil" accounts shall not be prepared.
- 5.2 If the two designated operators concerned also operate the letter-post and parcel-post service in their relations with each other, they may, in the absence of notice to the contrary, include in the accounts for the customs charges and fees and other charges of that service those of the letter post.



- 5.3 The CN 12 detailed account, accompanied by parts B of the franking notes, shall be forwarded to the debtor designated operator:
- 5.3.1 For letter-post items: at the latest by the end of the month following that to which it relates. "Nil" accounts shall not be prepared.
- 5.3.2 For parcels: at the latest by the end of the second month after the quarter to which it relates.
- 5.4 For letter-post items, the accounts shall be settled separately. Each designated operator may, however, request that these accounts be settled with those for money orders or with CP 75 accounts for postal parcels, without being incorporated in them.
- 5.5 For parcels, accounting shall be effected by means of the CP 75 account mentioned in article **34-013**.
- 5.5.1 Unless the designated operators concerned have agreed otherwise, the amount on the last line of the CN 12 account shall be included by the creditor designated operator in the next CP 75 sent by that designated operator, with justification given in the "Observations" column.
- 5.5.2 In cases where the designated operator does not use the CP 75 in its relations with the debtor designated operator, the CN 51 account can, exceptionally, be used in similar fashion.

#### Article **18-005**

##### Consignment service

- 1 Common rules
- 1.1 Designated operators may agree among themselves to take part in an optional "Consignment" service for collective items from one consignor sent abroad.
- 1.2 Wherever possible, this service shall be identified by the logo defined in 1.4.
- 1.3 The details of this service shall be laid down bilaterally between the designated operator of origin and the designated operator of destination on the basis of provisions defined by the Postal Operations Council.
- 1.4 Identification of the "Consignment" service
- 1.4.1 The logo designed to identify the "Consignment" service shall consist of the following components:
- 1.4.1.1 the word "CONSIGNMENT" in blue;
- 1.4.1.2 three horizontal bands (one red, one blue and one green).



- 2 Rules unique to parcels
- 2.1 The Consignment service:
- 2.1.1 shall at least comprise joint posting and transport of parcels from a single customer to the inward office of exchange or entry point in the country of destination and joint customs clearance by the designated operator of origin;
- 2.1.2 shall include all parcel items, as well as any other types of postal items agreed between the designated operator of origin and the designated operator of destination;
- 2.1.3 may, subject to the agreement of the relevant customs authorities, use bulk customs clearance for cost efficiency;
- 2.1.4 may use specific bags, specific pallets or specific postal containers to transport items;
- 2.1.5 shall include distribution of the parcels by the designated operator of destination to one or more addressees

## Section V Prohibitions and customs matters

### Article 19-001

Dangerous goods admitted exceptionally

- 1 Exceptionally, the following dangerous goods shall be admitted:
  - 1.1 the radioactive materials sent in letter-post items and postal parcels mentioned in article **19-003.1**;
  - 1.2 the infectious substances sent in letter-post items and postal parcels mentioned in article **19-003.2**;
  - 1.3 the lithium cells and lithium batteries sent in letter-post items and postal parcels mentioned in article **19-003.3**.
- 2 **Other classes of dangerous goods may be admitted in letter-post items and parcels in relations between member countries that have declared their willingness to admit them either reciprocally or in one direction, provided that they are in compliance with national and international transport rules and regulations, and are not carried by air.**

### Article 19-002

Controlling the introduction of dangerous goods

- 1 Each designated operator shall establish procedures and training programmes with a view to controlling the introduction of admissible dangerous goods into its postal services, in compliance with national and international rules and regulations.
- 2 Each designated operator wishing to accept equipment containing admissible lithium cells or lithium batteries into international airmail may do so, provided it has received specific prior approval in accordance with the ICAO Technical Instructions. The International Bureau shall be notified when this approval has been granted to a designated operator.
- 3 Any designated operator can receive and deliver international airmail items whose contents include equipment containing admissible lithium cells and lithium batteries without approval from its national civil aviation authority. However, the designated operator which accepts and sends these items must have met the requirements set out under 2 and must take into consideration any prohibitions or operational requirements of the country of destination and/or countries through which the items may transit.

### Article 19-003

Admissible radioactive materials, infectious substances, and lithium cells and lithium batteries

- 1 Radioactive materials shall be admitted in letter-post items and parcels in relations between member countries which have declared their willingness to admit them either reciprocally or in one direction only under the following conditions:
  - 1.1 Radioactive materials shall be made up and packed in accordance with the respective provisions of the Regulations.
  - 1.2 When they are sent in letter-post items, they shall be subject to the tariff for priority items or the tariff for letters and registration.
  - 1.3 Radioactive materials contained in letter-post items or postal parcels shall be forwarded by the quickest route, normally by air, subject to payment of the corresponding surcharges.
  - 1.4 Radioactive materials may be posted only by duly authorized senders.
- 2 Infectious substances, with the exception of category A infectious substances affecting humans (UN 2814) and affecting animals (UN 2900), shall be admitted in letter-post items and postal parcels, under the following conditions:

- 2.1 Category B infectious substances (UN 3373) may be exchanged by mail only between officially recognized senders, as determined by their competent authority. These dangerous goods may be acceptable in mail, subject to the national and international legislation in force and the current edition of the United Nations Recommendations on the Transport of Dangerous Goods, as promulgated by the International Civil Aviation Organization (ICAO).
  - 2.2 Category B infectious substances (UN 3373) must be handled, packed and labelled in accordance with the provisions listed in the Regulations. These items shall be subject to the tariff for priority items or the tariff for registered letters. An additional charge for the handling of these items shall be allowed.
  - 2.3 Exempt patient specimens (human or animal) may be exchanged by mail only between officially recognized senders determined by their competent authority. These materials may be acceptable in mail, subject to the national and international legislation in force and the current edition of the United Nations Recommendations on the Transport of Dangerous Goods, as promulgated by the ICAO.
  - 2.4 Exempt patient specimens (human or animal) must be handled, packed and labelled in accordance with the provisions listed in the Letter Post Regulations. These items shall be subject to the tariff for priority items or to the tariff for registered letters. An additional charge for the handling of these items is allowed.
  - 2.5 Admission of infectious substances and exempt patient specimens (human or animal) shall be restricted to member countries that have declared their willingness to admit such items, whether reciprocally or in one direction only.
  - 2.6 Permissible infectious substances and exempt patient specimens (human or animal) shall be forwarded by the quickest route, normally by air, subject to the payment of the corresponding air surcharges, and shall be given priority in delivery.
- 3 A maximum of four lithium cells or two lithium batteries, installed in equipment, shall be admitted in letter-post items and parcels under the following conditions:
- 3.1 For a lithium metal or lithium alloy cell, the lithium content shall not be more than 1 g, and for a lithium ion cell, the Watt-hour rating shall not be more than 20 Wh.
  - 3.2 For a lithium metal or lithium alloy battery, the aggregate lithium content shall not be more than 2 g, and for a lithium ion battery, the Watt-hour rating shall not be more than 100 Wh; Lithium ion batteries subject to this provision shall be marked with the Watt-hour rating on the outside case.
  - 3.3 Cells and batteries when installed in equipment shall be protected from damage and short circuit, and the equipment shall be equipped with an effective means of preventing accidental activation; when lithium batteries are installed in equipment, they shall be packed in strong outer packagings constructed of suitable material of adequate strength and design in relation to the packaging's capacity and its intended use unless the batteries are afforded equivalent protection by the equipment in which they are contained.
  - 3.4 Each cell or battery shall be of the type proved to meet the requirements of each test in United Nations Manual of Tests and Criteria, Part III, subsection 38.3.

#### Article 19-004

Conditions of acceptance and marking of items containing exempt patient specimens (human or animal)

- 1 Exempt patient specimens (human or animal) as defined in the United Nations Recommendations on the Transport of Dangerous Goods (Model Regulations ST/SG/AC10/1) shall be accepted under the following conditions.
- 2 Exempt patient specimens are those for which there is minimal likelihood that pathogens are present and must be packed in a packaging which will prevent any leakage and which is marked with the words in English or French "Exempt human specimen"/"Echantillon humain exempté" or "Exempt animal specimen"/"Echantillon animal exempté", as appropriate.
  - 2.1 The packaging must meet the following conditions:
    - 2.1.1 The packaging must consist of three components:

- 2.1.2 a leak-proof primary receptacle(s);
- 2.1.3 a leak-proof secondary packaging; and
- 2.1.4 an outer packaging of adequate strength for its capacity, mass and intended use, and with at least one surface having minimum dimensions of 100 mm × 100 mm;
- 2.2 For liquids, absorbent material in sufficient quantity to absorb the entire contents must be placed between the primary receptacle(s) and the secondary packaging so that, during transport, any release or leak of a liquid substance will not reach the outer packaging and will not compromise the integrity of the cushioning material;
- 2.3 When multiple fragile primary receptacles are placed in a single secondary packaging, they must be either individually wrapped or separated to prevent contact between them.

#### Article 19-005

##### Conditions of acceptance and marking of items containing infectious substances

1 Substances which are infectious or reasonably suspected to be infectious for humans or animals and which meet the criteria of infectious substances in category B (UN 3373) shall be declared "Biological substance, category B". Infectious substances assigned to UN 2814, UN 2900 or UN 3291 shall be prohibited in international mail.

2 Senders of infectious substances assigned to UN 3373 shall ensure that shipments are prepared in such a manner that they arrive at their destination in good condition and that the substances are packed according to Packing Instruction 650 as shown in the current edition of the Technical Instructions for the Safe Transport of Dangerous Goods by Air (TI) published by the International Civil Aviation Organization (ICAO) or the current edition of the Dangerous Goods Regulations (DGR) published by the International Air Transport Association (IATA). Senders should consult the most recent edition of the ICAO TI and/or the IATA DGR to verify the current text of Packing Instruction 650 prior to use.

3 The packaging shall be of good quality, strong enough to withstand the shocks and loadings normally encountered during transport, including transshipment between transport units and between transport units and warehouses as well as any removal from a pallet or overpack for subsequent manual or mechanical handling. Packaging shall be constructed and closed to prevent any loss of contents that might be caused under normal conditions of transport by vibration or by changes in temperature, humidity or pressure.

4 The packaging shall consist of three components:

- 4.1 a primary receptacle;
- 4.2 a secondary packaging; and
- 4.3 a rigid outer packaging.

5 Primary receptacles shall be packed in secondary packaging in such a way that, under normal conditions of transport, they cannot break, be punctured or leak their contents into the secondary packaging. Secondary packaging shall be secured in outer packaging with suitable cushioning material. Any leakage of the contents shall not compromise the integrity of the cushioning material or of the outer packaging.

6 For transport, the mark illustrated below shall be displayed on the external surface of the outer packaging on a background of a contrasting colour and shall be clearly visible and legible. The mark shall be in the form of a square set at an angle of 45° (diamond-shaped) with each side having a length of at least 50 mm, the width of the line shall be at least 2 mm, and the letters and numbers shall be at least 6 mm high. The proper shipping name "Biological substance, category B", in letters at least 6 mm high shall be marked on the outer package adjacent to the diamond-shaped mark.



- 7 At least one surface of the outer packaging shall have a minimum dimension of 100 mm x 100 mm.
- 8 The completed package shall be capable of successfully passing the drop test as specified in the TI and DGR, except that the height of the drop shall not be less than 1.2 m. Following the appropriate drop sequence, there must be no leakage from the primary receptacle(s), which must remain protected by absorbent material, when required, in the secondary packaging.
- 9 For liquid substances:
- 9.1 The primary receptacle(s) shall be leak-proof and must not contain more than one litre of the liquid substance.
- 9.2 The secondary packaging shall be leak-proof.
- 9.3 If multiple fragile primary receptacles are placed in a single secondary packaging, they shall be either individually wrapped or separated to prevent contact between them.
- 9.4 Absorbent material shall be placed between the primary receptacle(s) and the secondary packaging. The absorbent material shall be in quantity sufficient to absorb the entire contents of the primary receptacle(s) so that any release of the liquid substances will not compromise the integrity of the cushioning material or of the outer packaging.
- 9.5 The primary receptacle or the secondary packaging shall be capable of withstanding, without leakage, an internal pressure of 95 kPa (0.95 bar).
- 9.6 The outer packaging must not contain more than four litres of the liquid substance. This quantity excludes ice or dry ice when used to keep specimens cold.
- 10 For solid substances:
- 10.1 The primary receptacle(s) shall be sift-proof and not exceed the outer packaging mass limit.
- 10.2 The secondary packaging shall be sift-proof.
- 10.3 If multiple fragile primary receptacles are placed in a single secondary packaging, they shall be either individually wrapped or separated to prevent contact between them.
- 10.4 Except for packages containing body parts, organs or whole bodies, the outer packaging must not contain more than four kilogrammes of the solid substances. This quantity excludes ice or dry ice when used to keep specimens cold.
- 10.5 If there is any doubt as to whether or not residual liquid may be present in the primary receptacle during transport, then a packaging suitable for liquids, including absorbent materials, must be used.
- 11 For refrigerated or frozen specimens (ice and dry ice):
- 11.1 When dry ice is used to keep specimens cold, all applicable requirements of the TI and DGR shall be met. When used, ice or dry ice shall be placed outside the secondary packaging or in the outside packaging or an overpack. Interior supports shall be provided to secure the secondary packaging in the original position after the ice or dry ice has dissipated. If ice is used, the outside packaging or

overpack shall be leak-proof. If solid carbon dioxide (dry ice) is used, the packaging shall be designed and constructed to permit the release of carbon dioxide gas to prevent a build-up of pressure that could rupture the packaging.

11.2 The primary receptacle and the secondary packaging shall maintain their integrity at the temperature of the refrigerant used as well as the temperatures and pressures that could result if refrigeration were lost.

12 Where packages are placed in an overpack, the package markings required by ICAO packing instruction 650 shall either be clearly visible or be reproduced on the outside of the overpack and the overpack shall be marked with the word "Overpack".

13 Infectious substances assigned to UN 3373 which are packed and marked in accordance with ICAO packing instruction 650 are not subject to any other requirements under this article except for the following:

13.1 the name, address and telephone number of the shipper and of the consignee must be provided on each package;

13.2 the name and telephone number of a person responsible shall be provided in a written document (such as the CN 38 delivery bill) or on the package;

13.3 classification must be in accordance with the provisions of the TI and DGR;

13.4 the incident reporting requirements of the TI and DGR must be met; and

13.5 the inspection for damage or leakage requirements of the TI and DGR shall apply.

14 No shipper's declaration for dangerous goods shall be required.

15 Clear instructions on filling and closing such packages shall be provided by packaging manufacturers and subsequent distributors to the consignor or to the person who prepares the package (e.g. patient) to enable each single package to be correctly prepared for transport.

16 Other dangerous goods must not be packed in the same packaging as Division 6.2 infectious substances unless they are necessary for maintaining the viability, stabilizing or preventing degradation or neutralizing the hazards of the infectious substances. A quantity of 30 ml or less of dangerous goods included in Classes 3, 8 or 9 may be packed in each primary receptacle containing infectious substances provided that these substances meet the requirements of the TI and DGR. When these small quantities of dangerous goods are packed with infectious substances in accordance with the TI no other requirements in this article need be met.

17 Solid carbon dioxide (dry ice) used as refrigerant

17.1 If dry ice is used as refrigerant, the packaging requirements of Packing Instruction 954 as set out in the current edition of the TI and DGR must be met. For information, the relevant text of Packing Instruction 954 is provided below. Senders should consult the most recent edition of the TI and DGR to verify the current text of Packing Instruction 954 prior to use.

17.2 The following information shall be provided in a written document (such as the CN 38 delivery bill) or on the package. The information shall be shown in the following order:

17.2.1 UN 1845;

17.2.2 proper shipping name (dry ice or carbon dioxide, solid);

17.2.3 the number of packages; and

17.2.4 the net weight of dry ice in each package.

17.3 The net weight of the dry ice must be marked on the outside of each package. When packages are placed in an overpack, the overpack must be marked on the outside with the total net quantity of dry ice in the overpack.

17.4 Receptacles containing infectious substances only and identified by special "UN 3373" labels shall be handed over by postal authorities to airlines in unsealed mail receptacles.

**Article 19-006**

Conditions of acceptance and marking of items containing radioactive materials

1 Items containing radioactive materials, whose contents and make-up comply with the regulations of the International Atomic Energy Agency shall be admitted for conveyance by post subject to prior consent from the competent authorities of the country of origin provided the activity does not exceed one tenth of that permitted in Table 3 – Activity Limits for Excepted Packages as listed in the current edition of the IAEA TS-R-1 and does not contain uranium hexafluoride.

2 The outside packaging of items containing radioactive materials shall be marked by the sender with the label shown below indicating the applicable UN-number. It shall also bear, in addition to the name and address of the sender, a request in bold letters for the return of the items in the event of non-delivery.



3 The sender shall give his name and address and the contents of the item on the inner wrapping.

4 The label shown above shall be clearly crossed out, should the empty package be returned to the place of origin.

**Article 19-007**

Conditions of acceptance of letter-post items **and parcels** containing lithium cells and batteries installed in equipment

1 Letter-post items **and parcels** containing lithium metal or lithium ion cells and batteries installed in equipment shall be packed according to Packing Instruction 967, Section II (lithium ion cells and batteries), or Packing Instruction 970, Section II (lithium metal cells and batteries), as applicable, of the current edition of the Technical Instructions for the Safe Transport of Dangerous Goods by Air (Technical Instructions) published by the International Civil Aviation Organization (ICAO). Senders must consult the most recent edition of the ICAO Technical Instructions.

2 Cells and batteries installed in equipment that have been identified by the manufacturer as being defective for safety reasons, or that have been damaged, or that have the potential of producing a dangerous evolution of heat, fire or short circuit are forbidden for transport.

**Article 19-008**

Dangerous goods prohibited from insertion in letter-post items and parcels

1 The articles covered by the "Recommendations on the Transport of Dangerous Goods" drawn up by the United Nations, with the exception of certain dangerous goods provided for in the existing Regulations, and by the Technical Instructions of the International Civil Aviation Organization (ICAO) and International Air Transport Association (IATA) Dangerous Goods Regulations shall be considered as dangerous goods in accordance with the provisions of article **19.3.1** of the Convention and prohibited from insertion in letter-post items and parcels, when transported by international air conveyance.

2 Each designated operator shall establish procedures and training programmes with a view to controlling the introduction of undeclared or inadmissible dangerous goods into its postal services, in compliance with national and international rules and regulations.

#### Article 19-009

##### Exceptions to prohibitions in parcels

1 The prohibition relating to narcotics and psychotropic substances shall not apply to consignments sent for a medical or scientific purpose to countries which admit them on this condition.

2 If the **national** regulations of the member countries concerned so permit, parcels may also contain any document exchanged between the sender and the addressee of the parcel or persons residing with them.

3 Article **19.6.1.3** of the Convention shall not apply when the exchange of parcels between two member countries admitting insured parcels can only be made in transit through the intermediary of a member country which does not admit them.

#### Article 20-001

##### Items subject to customs control

1 Designated operators shall accept no liability for the customs declarations. Completion of customs declarations shall be the responsibility of the sender alone. However, designated operators shall take all reasonable steps to inform their customers on how to comply with customs formalities, and specifically to ensure that CN 22 and CN 23 customs declarations are completed in full, in order to facilitate rapid clearance of items.

2 Provisions applicable to letter-post items

2.1 Items to be submitted to customs control shall bear on the front a CN 22 customs declaration, or be provided with a tie-on label in the same form. The CN 22 customs declaration shall be affixed on the address side, in so far as possible in the top left-hand corner, beneath the sender's name and address, which must appear on the item.

2.2 **In accordance with article 08-002**, customs data provided in accordance with the instructions on the CN 22 or CN 23 customs declarations, including the names and addresses of the sender and addressee, **shall** be transmitted electronically, **in compliance with UPU EDI Messaging Standard M33 (ITMATT V1)**, to the designated operator of the country of destination. The designated operator of origin may share all or part of these data with the customs administration in the country of origin for export purposes, and the designated operator of destination may share all or part of these data with the customs administration in the country of destination for customs import purposes.

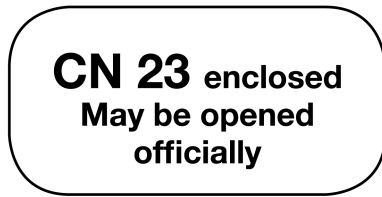
2.3 The use of the data from the paper CN 22 or CN 23 customs declarations provided for in 2.2 shall be restricted to processes relating to the exchange of mail and customs formalities in respect of the export or import of postal items and may not be used for any other purpose.

2.4 With the authorization of the designated operator of origin, users may use envelopes or wrapping bearing, in the place provided for affixing the CN 22 customs declaration, a pre-printed facsimile of that declaration. Moreover, users may make the CN 22 customs declaration with the authorization of the designated operator of origin. The dimensions, format and data elements must be the same as those of the CN 22 customs declaration.

2.5 If the value of the contents declared by the sender exceeds 300 SDR, or if the sender prefers, the items shall also be accompanied by the prescribed number of separate CN 23 customs declarations. One of these declarations must be affixed to the item. If the declaration is not directly visible on the front of the item, the detachable part of the CN 22 customs declaration shall be affixed to the front of the item. It shall also be possible to replace the detachable part of the CN 22 customs declaration with a gummed or self-adhesive white or green label inscribed as follows:



Inscription in black



(Size 50 x 25 mm, colour white or green)

- 2.6 CN 23 customs declarations shall be securely attached to the outside of the item, preferably in a transparent adhesive envelope. Exceptionally, if the sender prefers, these declarations may be inserted in a closed envelope inside registered items, if they contain the valuable articles mentioned in article **19.6.1** of the Convention, or inside insured items.
- 2.7 The sender may also attach, along with the CN 22 or CN 23 customs declaration, any document (invoice, export or import licence, certificate of origin, sanitary certificate, etc.) necessary for customs treatment in the dispatching country and in the country of destination.
- 2.8 Small packets shall always be provided with a customs declaration, which shall be either the CN 22 customs declaration or the CN 23 customs declaration as prescribed in paragraphs 2.1 to 2.6.
- 2.9 For M bags, the CN 22 customs declaration shall be stuck on the address label if the country of destination so requests. If the value of the contents declared by the sender exceeds 300 SDR, or if the sender prefers, the detachable part of the CN 22 customs declaration or the aforementioned gummed or self-adhesive label shall be affixed to the address label and the CN 23 customs declarations shall be affixed to that same label. If the designated operator of the country of destination so requests, they shall be attached to one of the items contained in the bag.
- 2.10 The absence of a CN 22 or CN 23 customs declaration shall not, in any circumstances, involve the return to the office of origin of consignments of printed papers, serums, vaccines, infectious substances, radioactive materials and urgently required medicines which are difficult to obtain.
- 2.11 The contents of the item shall be shown in detail on the CN 22 and CN 23 customs declarations. Descriptions of a general character shall not be admitted.
- 2.12 All provisions of other paragraphs of this article shall also apply to the data from the paper CN 22 or CN 23 customs declaration provided for in paragraph 2.2 above. In case of a discrepancy between the data on the CN 22 or CN 23 customs declaration and the electronic version provided pursuant to paragraph 2.2 above, the CN 22 or CN 23 customs declaration shall constitute the customs declaration.
- 3 Provisions applicable to parcels
- 3.1 Designated operators shall take all steps to speed up customs clearance of air parcels as much as possible.

#### Article **20-002**

##### Presentation-to-Customs charge

- 1 Letter-post items
- 1.1 The guideline maximum amount of the special charge prescribed in article **20.2** of the Convention for letter-post items submitted to customs control in the country of origin or of destination shall be 2.61 SDR. For each M bag, the guideline maximum amount is 3.27 SDR.
- 1.2 **In the absence of special agreement, the charge shall be collected from the addressee by the designated operator of destination. However, in the case of items for delivery free of charges and fees, the presentation-to-Customs charge shall be collected by the designated operator of origin on behalf of the designated operator of destination.**

- 2 Parcels
- 2.1 The guideline maximum amount of the presentation-to-Customs charge referred to in article **20.2** of the Convention which may be levied on parcels submitted to customs control in the country of origin shall be 0.65 SDR per parcel.
- 2.2 Parcels submitted to customs control in the country of destination may be subjected to a guideline maximum charge of 3.27 SDR per parcel in accordance with article **20.2** of the Convention.
- 2.3 In the absence of special agreement, the charge shall be collected **from the addressee by the designated operator of destination**. However, in the case of items for delivery free of charges and fees, the presentation-to-Customs charge shall be collected by the designated operator of origin on behalf of the designated operator of destination.

#### Article **20-003**

##### Cancellation of customs duty and other fees

- 1 Designated operators shall undertake to seek from the appropriate services in their country cancellation of customs duty and other fees on items:
  - 1.1 returned to origin;
  - 1.2 destroyed because of total damage to the contents;
  - 1.3 redirected to a third country;
  - 1.4 in the specific case of parcels:
    - 1.4.1 abandoned by the sender;
    - 1.4.2 lost, rifled or damaged in their service.
- 2 In cases of rifled or damaged parcels, cancellation of fees shall be requested only to the value of the missing contents or the depreciation suffered by the contents.

## Section VI

### Liability

#### Article **21-001**

##### Inquiries. General principles

- 1 Within the period of time prescribed in article **21** of the Convention, inquiries shall be accepted as soon as the problem is reported by the sender or the addressee. However, where a sender's inquiry concerns an undelivered item and the anticipated transmission time has not expired:
  - 1.1 for inquiries when using a CN 08 form, the sender should be informed of this transmission time;
  - 1.2 for inquiries when using IBIS, the inquiry shall be submitted only after the above-mentioned transmission time has expired, unless an **inbound RESEDES or EMSEVT message** has been transmitted.
- 2 No reservations concerning the periods for the handling and settlement of inquiries may be made to articles **21-001**, **21-002** and **21-003**, other than within the framework of a bilateral agreement.

#### Article **21-002**

##### Inquiries when using a CN 08 form

- 1 Preparation of CN 08
  - 1.1 By agreement between the designated operators involved, the inquiry for a letter-post item may be in the form of a computer file or message (electronic CN 08) transmitted electronically, for example using the Internet. In the absence of such an agreement, or if a designated operator does not use IBIS for parcels inquiries, the inquiry shall be in the form of the CN 08 document.

- 1.2 The CN 08 form shall be accompanied, whenever possible, by a facsimile of the address of the item. The inquiry form shall be completed with all the details called for, including the mandatory information on charges paid if the inquiry concerns a registered or insured item, and very legibly, preferably in roman capital letters and Arabic figures, or even better, in printed characters.
  - 1.3 If the inquiry concerns a cash-on-delivery item, it shall also be accompanied by a duplicate of the form provided for in article **18-002.3.3.1**.
  - 1.4 One form may be used for several items posted at the same time at the same office by the same sender and sent by the same route to the same addressee.
  - 1.5 All designated operators must send the International Bureau notification of the postal and, whenever possible, electronic addresses to which CN 08 inquiries must be sent.
  - 1.6 The first designated operator to receive the CN 08 form and accompanying documents from a customer shall invariably complete its investigations within ten days and forward the CN 08 form and accompanying documents to the corresponding designated operator. The form and documents shall be returned to the designated operator which originated the inquiry as soon as possible and at the latest within two months from the date of the original inquiry or within 30 days from the date of the original inquiry if the case was reported by fax or any other electronic means. Inquiries about insured and registered items, ordinary and insured parcels shall be accompanied by the addressee's declaration made out on a CN 18 form and certifying the non-receipt of the item under inquiry, only if the sender so requires. After the corresponding period has elapsed, a reply shall be sent by fax, e-mail or any other means of telecommunication to the designated operator of origin, at the expense of the designated operator of destination. Where there is an agreement about the use of an electronic system for letter-post items, the reply times shall be as set out in the agreement between the relevant designated operators, but shall be no longer than those specified in this paragraph.
  - 1.7 Replies to inquiries sent by fax or e-mail or by other electronic means must, wherever possible, be sent by the same means.
  - 1.8 For letter-post items, on request, a receipt or any reference number should be issued free of charge to a customer lodging an inquiry in each case where there is a requirement for the customer or the designated operator to be able to track the progress of the inquiry over time or where the designated operator pursues the inquiry using the CN 08 process. Each designated operator may design its own receipt. An example is provided in the Regulations for guidance purposes.
  - 1.9 If the sender asserts that, despite the designated operator of destination's attestation of delivery, the addressee claims not to have received the item under inquiry, the following procedure shall be followed. At the express request of the designated operator of origin, the designated operator of destination shall be obliged to provide the sender as soon as possible and, at the latest, within a period of 30 days from the date of sending of such a request, through the designated operator of origin, confirmation of the delivery by letter, CN 07 advice of delivery or some other means, signed in conformity with article **18-004.3.1** or **18-102.3.2**, as appropriate or a copy of a signature of acceptance or some other form of evidence of receipt from the recipient in conformity with article **18-101.5.6**, **18-001.6** or **18-001.6.2.5.1**.
- 2 Inquiries about registered items, ordinary parcels and insured items
    - 2.1 Where an inquiry concerns registered items or ordinary parcels exchanged under the system of bulk advice, the number and date of dispatch of the mail must be entered on the CN 08 inquiry. By agreement between the designated operators involved, the inquiry and response for a letter-post item may be in the form of a computer file or message which is transmitted electronically, for example, using the Internet. In the absence of such an agreement, or if a designated operator does not use IBIS for inquiries, the inquiry shall, where possible, be sent by fax or e-mail, without additional cost to the customer; otherwise the inquiry shall be sent by post. In the latter case, the form shall be sent automatically, without a covering letter and always by the quickest route (air or surface).
    - 2.2 If the designated operator of origin or the designated operator of destination so requests, the inquiry shall be forwarded direct from the office of origin to the office of destination.
    - 2.3 If, upon receipt of the inquiry, the central administration of the country of destination or the specially appointed office concerned is able to say what finally happened to the item, it shall complete the "Particulars to be supplied by the service of destination" part of the CN 08 form. In cases of delayed delivery, retention or return to origin the reason shall be shown briefly on the CN 08 form.

- 2.4 A designated operator which is unable to establish either delivery to the addressee or correct transmission to another designated operator shall immediately order the necessary inquiry. It shall record in the "Final reply" part of the CN 08 form its decision on liability.
- 2.4.1 For letter-post items, where an electronic version of the CN 08 is used by agreement between designated operators, the designated operator accepting liability shall record in the authorization code box on the electronic CN 08 the reference number authorizing acceptance of liability. The extent of the acceptance of liability shall be entered in the Remarks box (e.g. the full amount, half the amount paid (bulk advice) or according to the agreement between us, you have to compensate the inquirer).
- 2.5 The CN 08 form, duly completed as prescribed under 2.3 and 2.4, shall be returned to the address of the office which prepared it using, where possible, the same means as was used for the transmission of the inquiry, electronically, by fax or e-mail, or by the quickest route (air or surface).
- 2.6 The designated operator of origin shall send inquiries about items sent in transit à découvert at the same time to both the intermediate designated operator and the designated operator of destination. Inquiries about items contained in closed mails which have transited through one or more intermediate designated operators shall in principle be handled directly between the country of origin and the country of final destination. Nevertheless, the designated operator of origin may, in order to speed up the process of investigation, ask any intermediate designated operator to provide appropriate dispatch information.
- 2.6.1 Inquiries sent to intermediate designated operators that so indicate in the Letter Post or Parcel Post Compendium Online shall be accompanied by a CN 37, CN 38 or CN 41, as appropriate.
- 2.6.1.1 The copies may be either electronic or physical, according to the principles stated in 2.5.
- 2.6.2 Any intermediate designated operator consulted shall forward the CN 08 form to the next designated operator, and the corresponding CN 21 form to the designated operator of origin, as soon as possible, but within a period not exceeding 10 days.
- 3 Inquiries about the non-return to sender of an advice of delivery
- 3.1 In the case provided for in article **18-003.4.3** and if an item has been delivered, the designated operator of the destination country shall obtain on the CN 07 advice of delivery form bearing the word "Duplicata" the signature of the person who has received the item. Subject to the legislative provisions of the country of the designated operator dispatching an advice of delivery, instead of obtaining a signature on the duplicate of the advice of delivery, it shall also be authorized to attach to the CN 07 form a copy of a document used in the domestic service with the signature of the person who has received the item or a copy of the electronic signature affixed upon delivery of the item. The CN 07 form shall remain attached to the CN 08 inquiry form for subsequent delivery to the claimant.
- 4 Inquiries concerning items posted in another country
- 4.1 If the inquiry concerns an item posted in another country, the CN 08 form shall be forwarded to the designated operator or the specially appointed office of the designated operator of origin of the item. It shall reach it within the period prescribed for the retention of documents. The certificate of posting must be produced but shall not be attached to the CN 08 form. The latter shall be endorsed "Vu récépissé de dépôt No ... le ... par le bureau de ...". (Seen, certificate of posting No. ... issued on ... by the office of ...).

### Article **21-003**

#### Inquiries when using IBIS

- 1 Preparation of requests for designated operators using IBIS: mandatory operational and technical procedures applicable to IBIS
- 1.1 Designated operators that agree to use IBIS **for letter-post inquiries** shall use it according to the procedures described herein. **For parcels, use of IBIS for inquiries is mandatory.**
- 2 The treatment of the inquiry by IBIS shall be performed in accordance with the type of inquiry and the two-level inquiry workflow as described hereafter and detailed in the IBIS Operational Guide.

- 3 Two-level inquiry workflow:
- 3.1 Level 1 query (L1Q): If, on the basis of the information available in the electronic tracking system, a response cannot be given to the customer, the designated operator shall indicate the type of request in accordance with paragraph 2 and send it to the designated operator of destination. A level 1 response (L1R) is expected within the time frame set for the corresponding type of request. An authorization code shall be sent to the designated operator of origin if the liability of the destination or intermediate designated operator is accepted.
- 3.2 Level 2 query (L2Q): If a response to a level 1 query (L1Q) does not conclude the investigation, the designated operator of origin may submit a level 2 query (L2Q) to the intermediate or destination designated operator, asking for a more in-depth investigation. A level 2 response (L2R) is expected within the time frame set for the corresponding type of request. The answer to a level 2 query must be conclusive. After this period, the designated operator of origin may indemnify the claimant on behalf of the intermediate or destination designated operator. An appropriate authorization code shall be provided electronically by the liable designated operator. If the intermediate or destination designated operator fails to return the authorization code within the prescribed time, or if the information received cannot be considered as a final reply within the meaning of article **25-001.1**, the designated operator of origin shall indemnify the rightful claimant automatically on behalf of the intermediate or destination designated operator.
- 4 Messages for updating queries: IBIS also provides for the ability to update or supplement requests that are processed using the following messages:
- 4.1 Quality update message (QUM): allows the operator receiving a non-compliant or inaccurate request to ask for additional information.
- 4.2 Status update message (SUM): updates queries before a definitive answer is available.
- 5 If the sender asserts that, despite the designated operator of destination's attestation of delivery, the addressee claims not to have received the item under inquiry, the designated operator of destination shall be obliged to provide the sender with confirmation of the delivery by letter, CN 07 advice of delivery or some other means, signed in conformity with article **18-003**, or a copy of a signature of acceptance or some other form of evidence of receipt from the recipient, in conformity with article **17-205.2** or **18-001.6.2.5**.
- 6 In IBIS, a designated operator may send a notification, which is a type of message used to proactively provide information at item level to solve a case, without opening an official inquiry. Notifications shall not initially be measured for speed or quality of response.
- 7 Time frame for processing requests and performance indicators:
- 7.1 Requests shall be dealt with according to the time frames mentioned in the table under 7.2 for each corresponding type and level of inquiry.
- 7.2 Designated operators shall meet the reply times in the following table, which are expressed in working days (eight hours per day, not including holidays):

<i>Type of request</i>	<i>Workflow level</i>	<i>Reply time L1</i>	<i>Reply time L2</i>
Update/confirmation item status	1 and 2	3 days	15 days
Written proof of delivery (WPOD)	1	10 days	–
Disputed delivery	2	–	15 days
Request for change/correct address, redelivery or return/stop delivery	1	5 days	
Damage/missing contents	1 and 2	7 days	15 days
Misdirected/redirected/transit	1 and 2	7 days	15 days
Customs investigation	1 and 2	7 days	15 days
Explanation delayed delivery/processing at destination	1	5 days	–
Unexplained return of item	1	5 days	–

<i>Type of request</i>	<i>Workflow level</i>	<i>Reply time L1</i>	<i>Reply time L2</i>
COD amount not received	1 and 2	7 days	15 days
Advice of delivery (AR)	1 and 2	7 days	15 days

- 7.3 At the end of the inquiry process, the liable designated operator must provide the sending designated operator with an appropriate authorization code allowing it to indemnify the claimant.
- 7.4 If the liable designated operator fails to return the authorization code within the prescribed time, or if the information received cannot be considered as a final reply within the meaning of article **25-001.1** and **2**, the sending designated operator shall automatically indemnify the rightful claimant on behalf of the designated operator of destination.
- 7.5 For letter post, a minimum performance target of 80% of on-time responses, as defined in paragraph 8.1, is required for any designated operator that participates in the supplementary remuneration programme described in article **30-104**. For parcel post, a minimum performance target of 90% of on-time responses, as well as an average maximum time of 16 working hours to open the requests and replies received, as defined in paragraphs 8.1 to 8.3, are required for any designated operator to be eligible to receive the IBIS inward land rate bonus referred to in article **32-201**. Nevertheless, designated operators are encouraged to observe the 95% target for the two-level inquiry workflow defined in paragraph 3.
- 8 The efficiency of treatment and the quality of transactions shall be analyzed on the basis of the following performance indicators:
- 8.1 On-time response: responses given within the agreed level 1 or level 2 reply time.
- 8.2 For letter post: time to open the request; for parcel post, time limit for opening the request received.
- 8.3 For parcel post: time limit for opening the reply received.
- 8.4 Time to escalate from level 1 to level 2.
- 8.5 Outstanding messages: inquiries not answered within a stipulated response time and still not answered when the report is run.
- 8.6 Resolution rate after the first response.
- 8.7 Total duration up to the final response.

#### Article **22-001**

##### Application of the liability of designated operators

- 1 Principles
- 1.1 **With the exception of ECOMPRO parcels**, designated operators' liability shall be binding for items conveyed à découvert and forwarded in closed mails and for parcels returned with no reason for non-delivery given.
- 1.2 Designated operators which undertake to cover risks arising from a case of force majeure shall be liable towards senders of letter-post items and parcels posted in their country for any loss, theft or damage due to a case of force majeure occurring at any time during transmission of the items. This undertaking shall also cover any redirection or return to sender.
- 1.3 The designated operator in whose service the loss, theft, damage or unexplained return occurred shall decide, according to the laws of its country, whether the loss, theft, damage or unexplained return was due to circumstances amounting to force majeure. These circumstances shall be communicated to the designated operator of the country of origin if the latter designated operator so requests.
- 1.4 Designated operators participating in the exchange of COD items shall be liable, up to the COD amount, for the delivery of COD items without collection of funds or against collection of a sum lower than the COD amount. Designated operators shall assume no liability for delays which may occur in the collection and dispatch of funds.

- 2 Indemnity
  - 2.1 Letter post
    - 2.1.1 The amount of the indemnity referred to in article **22.2.1** of the Convention for the loss of, total theft from or total damage to a registered item shall be 30 SDR. The indemnity for the loss of, total theft from or total damage to a registered M bag shall be 150 SDR. Charges and fees paid by the sender for posting the item, with the exception of the registration charge, shall be added to these values to determine the total compensation payable.
    - 2.1.2 The amount of the indemnity referred to in article **22.2.2** of the Convention for registered items that are partially rifled or partially damaged shall not exceed the corresponding amounts mentioned in paragraph 2.1.1 for registered items that are lost, totally rifled, or totally damaged.
  - 2.2 Parcels
    - 2.2.1 The indemnity referred to in article **22.3.1** of the Convention shall not exceed the amounts calculated by combining the rate of 40 SDR per ordinary parcel and the rate of 4.50 SDR per kilogramme. Charges and fees paid for posting the item shall be added.
    - 2.2.2 Designated operators may agree to apply, in their reciprocal relations, the amount of 130 SDR per parcel regardless of the weight.
    - 2.2.3 The amount of the indemnity referred to in article **22.3.2** of the Convention for an ordinary parcel that is partially rifled or partially damaged shall not exceed the corresponding amounts mentioned in paragraph 2.2.1 or in paragraph 2.2.2.
    - 2.2.4 The indemnity relating to an insured parcel that is lost, totally or partially rifled or damaged shall be limited to the amounts referred to in article **22.4** of the Convention.
    - 2.2.5 The indemnity for the unexplained return of a parcel shall, in accordance with article **22.6** of the Convention, be commensurate with the charges paid by the sender for posting the parcel in the country of origin and the charges incurred for returning the parcel from the country of destination.
- 3 Conditions for payment of indemnity for parcels
  - 3.1 Payment of indemnities resulting from the liability of the intermediate or destination designated operator shall be subject to the following conditions:
    - 3.1.1 Subject to article **17-215.1.5**, the parcel must be identified by a barcoded unique item identifier conforming to **UPU Technical Standard S10**.
    - 3.1.2 The inquiry must be entered in IBIS by the designated operator of origin if both designated operators are using the system.
    - 3.1.3 If the liable designated operator is not an IBIS user, the claim shall be made in accordance with article **21-002**.

#### Article **23-001**

##### Delivery of a rifled or damaged registered or insured item or parcel

- 1 The office making delivery of a rifled or damaged registered or insured item, or rifled or damaged parcel, shall prepare a CN 24 report on the joint inspection and have it countersigned, whenever possible, by the addressee. One copy of the report shall be handed to the addressee or, if the item is refused or redirected, attached to it. One copy shall be retained by the designated operator which prepared the report. One copy of the report shall be sent under registered cover or by e-mail or by other electronic means to the appropriate office listed in the Letter Post or Parcel Post Compendium Online of the country to which the dispatching office of exchange belongs.
- 2 The designated operator of the country of origin that has received a copy of the CN 24 report in accordance with paragraph 1 of this article, article **17-138.11** or article **17-237.2**, shall notify the sender that the item has been rifled or damaged.

3 If the item is delivered, the copy of the CN 24 report prepared by the office of exchange in accordance with article **17-138.11** shall be attached to the item and dealt with in accordance with the regulations of the country of destination. If the item is refused, the said copy shall remain attached to the item.

4 When **national** regulations so require, an item subjected to the treatment specified in 1 shall be returned to the sender if the addressee refuses to countersign the CN 24 report.

5 If the liability for a parcel assumed according to article **23.1** of the Convention has to be shared with another designated operator the request to this effect shall be recorded using the Internet-Based Inquiry System accompanied by an electronic copy or translation of the CN 24 report. Where appropriate, an electronic copy of the CP 78 verification note mentioned in article **17-237.2** shall be provided using the Internet-Based Inquiry System.

#### Article **24-001**

##### Establishment of sender's liability

1 A designated operator which finds damage that is due to the fault of the sender shall inform the designated operator of origin, whose responsibility it is to take action against the sender where appropriate.

2 For letter-post items and with reference to the provisions of paragraph 1, the designated operators of origin and of destination may agree to draw up the accounting procedure concerning the amount of damage to be covered by the sender.

#### Article **25-001**

##### Payment of indemnity

1 The designated operator of origin or destination, as the case may be, shall be authorized to indemnify the rightful claimant on behalf of the designated operator which, having participated in the conveyance and having been duly informed, has either: (i) allowed 30 days to pass, from the date of receipt of such a request through IBIS (as per the procedures defined in article **21-003**) or **(for letter-post items only)** of a CN 08 form sent by fax or any other electronic means by which the receipt of inquiry can be confirmed; or (ii) **(for letter-post items only)** allowed two months to pass from the date of receipt of such a request through a CN 08 form sent by post, without finally settling the matter, or without having reported:

1.1 that the damage appeared to be due to a case of force majeure;

1.2 that the item had been detained, confiscated or destroyed by the competent authority because of its contents or seized under the legislation of the country of destination.

**2 In this case, none of the designated operators that participated in the conveyance may refuse the reimbursement of the indemnity, postal charges and other fees paid to the rightful claimant by the designated operator of origin or destination, as the case may be.**

**3 For designated operators using a CN 08 form for letter-post items, the period of two months stipulated under 1 shall begin to run from the date on which the duly completed CN 08 form (including the necessary information concerning the transmission of dispatches) is received by the designated operator of destination.**

4 The designated operator of origin shall be authorized to indemnify the rightful claimant on behalf of the designated operator of destination which, having been duly informed about the request of the designated operator of origin to provide confirmation of delivery of the item under inquiry, mentioned in article **21-002.1.9**, has allowed 30 days to pass, from the date of the sending of such a request by the designated operator of origin, without replying to a second inquiry concerning inappropriate execution of service.

5 The designated operator of origin or destination, as the case may be, shall be authorized to postpone payment of the indemnity to the rightful claimant in cases where the **inquiry** is not properly completed or incorrect and **requires** additional information or amendment, thereby causing the time limit set in 1 to be exceeded. The indemnity may be paid by the end of an additional period of (i) 30 days in the case of a request



received through IBIS (as per the procedures defined in article **21-003**), or **(for letter-post items only)** of a CN 08 form sent by fax or any other electronic means; or (ii) **(for letter-post items only)** two months in case of requests through a CN 08 form sent by post, from the date on which the CN 08 form is completed or amended. If no additional information is added or no amendment is made to the inquiry, the designated operator concerned shall be authorized not to indemnify the rightful claimant.

**6** In case of an inquiry concerning a COD parcel, the designated operator of origin shall be authorized to indemnify the rightful claimant up to the COD amount on behalf of the designated operator of destination which, having been duly informed, has allowed the same period as mentioned in paragraph 1 to pass without finally settling the matter.

**7** No reservations concerning the periods for the treatment and settlement of inquiries and the period and conditions for the payment of indemnity and the reimbursement of paying designated operators may be made to this article, except within the framework of a bilateral agreement.

#### Article **25-002**

##### Period for payment of indemnity

**1** The payment of the indemnity shall be made as soon as possible and, at the latest, within a period of three months from the day following the day of inquiry.

**2** No reservations concerning the period for the payment of indemnity may be made to this article, other than within the framework of a bilateral agreement.

#### Article **25-003**

##### Automatic payment of indemnity

**1** The return of a CN 08 form in which the "Particulars to be supplied by the intermediate services or by the service of destination", "Particulars to be supplied by the service of destination" and "Final reply" sections have not been completed shall not be considered as a final reply within the meaning of article **25-001**.

#### Article **25-004**

##### Determination of liability between designated operators

**1** Until the contrary is proved, liability shall rest with the designated operator which, having received the item without reporting a discrepancy by means of a CN 43 verification note, in the case of letter-post items, and by means of a CN 43 verification note and/or a CP 78 verification note, or a CN 37, CN 38 or CN 41 delivery bill, in the case of parcels, at the time of receipt of the mail in which the item was dispatched, and being furnished with all the prescribed means of inquiry, cannot prove either delivery to the addressee or, where appropriate, correct transfer to another designated operator.

**2** If the loss theft or damage occurs in the course of conveyance without it being possible to establish in which country's territory or service it happened, the designated operators concerned shall bear the loss equally.

**2.1** In the case of the loss of registered items, this principle shall apply only to items identified by a CN 04 label with a unique item identifier, in accordance with article **18-101**, Registered items. Otherwise, the dispatching designated operator alone shall bear the loss.

**2.2** In the case of an uninsured parcel, when the amount of indemnity does not exceed the amount calculated in article **22-001.2.2** for a parcel of one kilogramme, this sum shall be borne equally by the designated operator of origin and the designated operator of destination, intermediate designated operators being excluded.

**3** As regards insured items, the liability of a designated operator towards other designated operators shall in no case exceed the maximum insured value that it has adopted.

4 Designated operators not providing the insured items service shall assume, in respect of such items conveyed in closed mails, the liability laid down for registered items and/or for uninsured parcels. This provision shall also apply when designated operators do not accept liability for insured items carried on board the ships or aircraft used by them.

5 If the loss, theft or damage of an insured item occurs in the territory or service of an intermediate designated operator which does not provide the insured items service, the designated operator of origin shall bear the loss not covered by the intermediate designated operator. The same rule shall apply if the amount of the damage is higher than the maximum insured value adopted by the intermediate designated operator.

6 Customs duty and other duties of which it has not been possible to secure cancellation shall be borne by the designated operator liable for the loss, theft or damage.

7 A designated operator which has paid the indemnity shall take over the rights, up to the amount of the indemnity, of the person who has received it in any action which may be taken against the addressee, the sender or third parties.

#### Article 25-005

##### Procedures for determining the liability of designated operators

1 Until the contrary is proved and subject to article 25-004, an intermediate designated operator or designated operator of destination shall be relieved of all liability:

- 1.1 when it has observed the provisions for inspection of mails and parcels and establishment of irregularities;
- 1.2 when it can prove that it was informed of the inquiry after the destruction of the official records relating to the item in question, the period of retention having expired. This reservation shall not prejudice the rights of the claimant;
- 1.3 when, in the case of individual entry of registered letter-post items, correct delivery of the lost item cannot be proved because the designated operator of origin did not enter the registered items in detail on the CN 33 special lists.

2 Until the contrary is proved, a designated operator dispatching a registered or insured letter-post item shall be relieved of all liability if the office of exchange to which the item has been consigned has not sent to the dispatching designated operator, by the first available mail after inspection, a CN 24 report stating either that the whole packet of registered or insured articles or the particular item is missing or has been tampered with.

3 If the theft or damage of a registered or insured item has been established in the country of destination or, in the case of return to sender, in the country of origin, it shall be for the designated operator of that country to prove:

- 3.1 For letter-post items:
  - 3.1.1 that neither the packet, envelope or receptacle and its fastening, nor the wrapping and fastening of the item bore any apparent trace of theft or damage;
  - 3.1.2 in the case of an insured item, that the weight established at the time of posting has not varied;
  - 3.1.3 when a registered item or an insured item has been lost, rifled or damaged as the result of force majeure, the designated operator in whose territorial jurisdiction or services the loss, theft or damage occurred shall be liable to the designated operator of origin only if the two designated operators undertake to cover risks of force majeure.
- 3.2 For parcels:
  - 3.2.1 that neither the wrapping nor the fastening of the parcel bore any apparent trace of theft or damage;
  - 3.2.2 that in the case of an insured parcel, the weight established at the time of posting has not varied;

- 3.2.3 that, in the case of parcels forwarded in closed receptacles, both the receptacles and their fastening were intact.
- 4 Additional provisions applicable only to parcels
- 4.1 In the case of parcels sent in bulk, none of the designated operators concerned may repudiate its share of liability by showing that the number of parcels found in the mail differs from that advised on the parcel bill.
- 4.2 In the case of bulk transmission of parcels, the designated operators concerned may agree that liability be shared in the event of loss of, theft from or damage to certain categories of parcels, determined by mutual agreement.
- 4.3 When a parcel has been lost, rifled or damaged as the result of force majeure, the designated operator in whose territorial jurisdiction or services the damage occurred shall not be liable towards the designated operator of origin unless the two designated operators undertake to cover risks of force majeure.
- 5 When the proof mentioned in 3 has been furnished, none of the other designated operators concerned may repudiate its share of liability on grounds that it handed over the item without the next designated operator having made any objection.

#### Article 26-001

##### Recovery from air carriers of indemnities paid

- 1 When the loss, theft or damage occurs in the service of an air carrier, reimbursement of the indemnity paid to the sender shall be recovered from the carrier:
- 1.1 by the designated operator of origin if it settles conveyance dues direct with the air carrier;
- 1.2 by the designated operator that collected the conveyance dues, when the designated operator of origin does not settle them direct with the air carrier. The indemnity paid to the sender shall be reimbursed to the designated operator of origin by the designated operator that collected the conveyance dues.

#### Article 26-002

##### Reimbursement of the indemnity to the paying designated operator

- 1 The designated operator which is liable or on behalf of which payment is made shall reimburse the paying designated operator the amount of indemnity, charges and fees paid to the rightful claimant according to the mandatory information provided on the CN 08 form, or in IBIS, **respectively**. The accounting procedures to be followed are described in articles **26-003** and **26-004**.
- 2 If the indemnity, charges and fees paid which were reimbursed to the rightful claimant are due to be borne by several designated operators, the whole of the indemnity, charges and fees paid which were reimbursed to the rightful claimant shall be paid to the paying designated operator by the first designated operator which, having duly received the item claimed for, is unable to prove its correct transfer to the next service. It shall rest with this designated operator to recover from the other designated operators which are liable each one's share of the indemnity paid to the rightful claimant.
- 3 The designated operator whose liability is duly established and which has at first declined to pay the indemnity shall assume all additional costs resulting from the unwarranted delay in payment.

### Article 26-003

#### Settlement of indemnities between designated operators

1 If, one year after the date of dispatch of authorization to pay the indemnity, the paying designated operator has not debited the account of the designated operator which is liable, the authorization shall be considered null and void. The designated operator which received it shall then no longer be entitled to claim reimbursement of any indemnity paid, except for letter-post items, in cases where an accepted CN 48 account has been included in the CN 52 general account, pursuant to article **26-004.1.4**.

2 When liability has been admitted, as well as in the case provided for in article **25-001.1**, the amount of the indemnity may also be automatically recovered from the designated operator which is liable through a liquidation account, either direct or through the intermediary of a designated operator which regularly draws up liquidation accounts with the designated operator which is liable.

3 If the sender or the addressee takes delivery of an item found afterwards against repayment of the amount of the indemnity, that sum shall be refunded to the designated operator or, where appropriate, designated operators which bore the loss, within one year of the date of such repayment.

4 Regarding letter-post items, designated operators may agree to settle periodically for the indemnities which they have paid to the rightful claimants and which they have accepted as justified.

5 The designated operators of origin and destination may agree that the whole of the loss shall be borne by the designated operator which has to make the payment to the rightful claimant.

6 The creditor designated operator shall be reimbursed in accordance with the rules for payment laid down in article **34-005**.

### Article 26-004

#### Accounting for amounts due in respect of indemnity

1 Letter-post items

1.1 When payments have to be charged to the designated operators which are liable, the creditor designated operator shall prepare CN 48 monthly or quarterly accounts.

**1.1bis The rules for the transfer and acceptance of accounting forms provided for in article 34-100bis shall be applied to CN 48 accounts.**

1.2 The CN 48 account shall be sent to the debtor designated operator, **no later than two months after the end of** the period to which it relates.

**1.3 The acceptance period for the CN 48 account shall be two months.**

1.4 In principle, these accounts shall be settled separately. However, designated operators may come to an agreement that they are to be settled with the CN 51 detailed account or with the CN 52 general account, or possibly with the CP 75 account.

2 Parcels

2.1 When it is necessary to recover payments from the designated operators which are liable and several amounts are involved, these shall be summarized on a CN 48 form. The total amount shall be carried forward to the CP 75 account mentioned in article **34-013**.

2.2 In case of disputed compensation claims unrelated to article **25-001** received via the CN 48 form, the sending designated operator shall provide on request evidence to support its **claim**.

## Section VII Remuneration

### Article 34-001

#### Transfer and acceptance of statements and accounts

- 1** All statement and account forms shall be transmitted electronically or, if this means is not available, sent in duplicate as registered items.
- 2** Each designated operator shall notify other designated operators, through the International Bureau, of the electronic and postal addresses to which all the accounting forms must be sent.
- 3** Debtor designated operators may refuse to check and to accept statements and accounts that have not been submitted by the creditor designated operators within the applicable transmission period.
- 4** The deadline for accepting a statement or account shall apply to both transmission methods (electronically or as a registered item). By default, the acceptance period shall commence on the date on which the form was generated. In the event of transmission via a registered item, if a period of two weeks or more separates the date on the statement or account (corresponding to the date when the form was generated) from the date of receipt, the receiving designated operator shall have the right to inform the partner designated operator that the acceptance period commences only on the date of receipt.
- 5** The acceptance of a statement or account shall consist of sending a signed copy of the form, with no changes or amendments, to the designated operator that prepared it. This shall be transmitted electronically, or, if this means is not available, as a registered item.
- 6** In the total amount of each account made out in SDRs, decimals shall be ignored in the total or the balance.
- 7** Discrepancies in the accounts shall not be taken into consideration unless they exceed a total of 9.80 SDR per account.
- 8** If the designated operator that prepared a statement or account has not received any notice of amendment or any acceptance from the partner designated operator that received the form within the acceptance period applying to the form, it shall regard it as fully accepted, meaning accepted as rendered, with no changes or amendments.
- 9** If a notice of amendment is sent by the designated operator that received the form or if a discussion about the content of the form is started, the acceptance deadlines shall no longer apply, but both parties shall make constant efforts to settle the case rapidly. If the designated operator that sent the original statement or account sends an amended one, the rules in paragraph 5 shall apply to this amended version.

### Article 34-002

#### Settlement of accounts: general rules

- 1** Settlements between designated operators of international accounts arising from postal traffic may be regarded as current transactions and effected in accordance with the current international obligations of the member countries concerned, when there are agreements to this effect. In the absence of such agreements, accounts shall be settled in accordance with the following provisions.
- 2** The accepted account shall serve as a basis for the preparation, as necessary, of the final liquidation account between the two designated operators.

**3** Designated operators may settle their accounts either bilaterally, or through the multilateral clearing system of the International Bureau, or by any other system of settling accounts. Participation in the multilateral clearing system of the International Bureau shall be open only to designated operators that have signed the membership agreement pertaining to the system.

**3bis** For 2020, where two sets of terminal dues rates might apply, the creditor designated operator may choose to create one set of forms for the settlement of accounts for the period from January to June 2020, and another set for the settlement of accounts for the period from July to December 2020; alternatively, it may calculate a compound terminal dues rate for the settlement of accounts concerning the whole year of 2020.

**4** The creditor designated operator shall choose the method of settling the accounts after consulting the debtor designated operator. If there is disagreement, the choice of the creditor designated operator shall prevail in all cases. In the case of settlement through the multilateral clearing system of the International Bureau both the creditor and debtor should be signatories to the relevant membership agreement and mutually agree to include the relevant account in the system.

#### **Article 34-003**

##### **Payment of accounts through the UPU clearing system**

**1** The settlement of accounts through the clearing system of the International Bureau shall be effected through the provisions laid down by the UPU\*Clearing User Group.

#### **Article 34-004**

##### **Payment of accounts not settled through the UPU clearing system**

**1** Debts shall be paid in the currency selected by the creditor designated operator after consultation with the debtor designated operator. If there is disagreement, the choice of the creditor designated operator shall prevail in all cases. If the creditor designated operator does not specify a currency, the choice shall rest with the debtor designated operator.

**2** The amount of the payment, as determined in the selected currency, shall be equivalent in value to the balance of the account expressed in SDR.

**3** Subject to the provisions set out under 4, the amount to be paid in the selected currency shall be determined by converting the SDR into currencies of payment in accordance with the following provisions:

**3.1** In the case of currencies for which the SDR exchange rate is published by the International Monetary Fund (IMF), the exchange rate in force on the day prior to payment or the most recent rate published shall be used.

**3.2** In the case of other currencies of payment, as a first stage, the amount in SDRs shall be converted into an intermediate currency for which the value is published daily by the IMF in terms of the SDR, using the most recent exchange rate published. As a second stage, the result thus obtained shall be converted into the currency of payment, applying the latest rate of exchange quoted on the exchange market of the debtor member country.

**3.3** In the case of provisional payments provided for in article 34-011, the procedures described in 3.1 and 3.2 above differ. Thus, in the case of currencies for which the SDR exchange rate is published by the IMF, the rate in force on 30 June of that year or on the next working day, if 30 June is a holiday, shall be used; in the case provided for in 3.2, the conversion into an intermediate currency shall be made at the last rate published in June of that year.

**4** If, by mutual agreement, the creditor designated operator and the debtor designated operator have chosen the currency of a country which is not a member of the IMF and whose laws do not permit the application of the provisions set out under 3, the designated operators concerned shall agree on the relationship between the SDR and the value of the selected currency.

5 For the purpose of determining the official or generally accepted foreign exchange market equivalent of a currency, the rate used shall be the closing rate for currency which can be used in the majority of merchandise trade transactions, or the most recent rate quoted.

6 The debtor designated operator shall transmit, on the date of payment, the amount of the selected currency by postal giro or any other means, acceptable to both designated operators. If the creditor designated operator expresses no preference, the choice shall fall to the debtor designated operator.

7 Creditor designated operators shall publish, by means of a circular issued by the International Bureau, any changes to addresses to which cheques or wire transfers are to be sent.

8 The payment charges (fees, clearing charges, deposits, commission, etc.) collected in the debtor country shall be borne by the debtor designated operator. The charges collected in the creditor country, including payment charges collected by banks in intermediate countries, shall be borne by the creditor designated operator. When the postal giro transfer system, with exemption from charges, is used, exemption shall also be granted by the office of exchange in the third country or countries acting as intermediary between the debtor designated operator and the creditor designated operator when there are no direct exchanges between these.

9 If, between the dispatch of the transfer order or the remittance by other means and its receipt by the creditor designated operator, a variation occurs in the equivalent value of the selected currency calculated as described under 3, 4 or 5, and if the difference resulting from such variation exceeds 5% of the amount due (as calculated following such variation), the total difference shall be shared equally between the two designated operators.

10 Payment shall be made as quickly as possible and at the latest within six weeks from the date of acceptance or of notification of official acceptance for liquidation accounts and accounts indicating the amounts or balances to be settled. After that period the amounts due shall be chargeable with interest at the rate of 6% per annum reckoned from the day following the day of expiry of the said period. By payment is meant the dispatch of funds or of the instrument of payment (cheque, draft, etc.) or the signing of the order for transfer or deposit by the organization responsible for the transfer in the debtor member country. If a situation arises where bank transfers are not possible, preventing the debtor country from making payment for accepted accounts, the debtor and creditor designated operators may request the assistance of the International Bureau to facilitate the transfer of payment. The debtor designated operator requesting the International Bureau's assistance in transferring the payment must confirm the request in writing, provide proof that payment cannot be made directly between the two designated operators, and provide proof that the accounts have been accepted.

11 Creditor designated operators may request, in their bilateral relations, that the payment due be made through a debtor designated operator, in such a way as to recover the debt of the initial debtor designated operator through another debtor designated operator that has exchanges with the creditor designated operator. In order for this mechanism to be activated, all three parties must be in agreement with the procedure established for this purpose.

12 When payment is made, the document of transfer (cheque, draft, etc.) shall be accompanied by particulars of the title, period, amount in SDRs, conversion rate used and applicable date of such rate for each amount included in the total sum remitted. If it is not possible for details to accompany the transfer or remittance, an explanatory letter shall be provided by electronic means or else by mail and by the fastest route (air or surface) on the day that the payment is made. The detailed explanation shall be in French or in a language understood by the designated operator to which payment is made.

#### Article 34-005

##### Payment of debts expressed in SDRs. General provisions

1 The rules for payment set out below shall apply to all debts arising out of a postal transaction and expressed in SDR. These debts may result from general accounts or statements drawn up by the International Bureau or from liquidation accounts or statements not drawn up by the Bureau. The said

rules shall also relate to the settlement of discrepancies, of interest or, where applicable, of payments on account.

**2** Any designated operator may discharge its liabilities by payments on account, from which its debts shall be deducted when these have been established.

**3** Provided the periods for payment are observed, any designated operator may settle postal debts expressed in SDRs by offsetting credits and debits in its relations with another designated operator. The offsetting may be extended by mutual agreement to debts arising from telecommunications services when both designated operators operate postal and telecommunications services. Offsetting may not be effected with debts in respect of transactions contracted out to an organization or company under the control of a designated operator if that designated operator objects.

**4** The inclusion of an airmail account in a general account containing different debts shall not result in delaying the payment of the air conveyance dues owed to the airline concerned.

#### **Article 34-006**

##### **Letter mail: preparation, transmission and acceptance of sampling statements**

**1** Sampling may be performed in two cases: for statistical counts for exchanges of mail between designated operators of countries in the target system in accordance with articles 30-116 and 30-118, and for the revision mechanism.

**2** Preparation, transmission and acceptance of CN 53 and CN 54 bills:

**2.1** The CN 53 bill provides sampling details for a receiving office. For each sampled receptacle, it provides the receptacle identifier, the receptacle type, the number of items and the gross and net weight. Separate CN 53 bills are prepared for each transportation mode (air, S.A.L., surface), possibly per receptacle type and, where appropriate, by format.

**2.2** For sampling in the target system, the CN 53 bill is prepared by the receiving designated operator.

**2.3** For the revision mechanism, the CN 53 bill is prepared by the operator requesting the application of the revision mechanism, reporting information gathered during the observation days.

**2.4** The designated operator that prepared the CN 53 bills shall prepare a CN 54 recapitulative statement that summarizes the CN 53 bills by transportation mode (air, S.A.L., surface) and format (where appropriate) for a calendar quarter.

**2.5** The CN 54 recapitulative statement, accompanied by the CN 53 forms, shall be sent to the other designated operator concerned each quarter not later than three months after receipt of the last mail included in the statistics.

**2.6** Operators may agree to substitute the CN 53 and CN 54 forms with the e53 message (electronic statement of sampling) defined in UPU standard M50.

**2.7** The acceptance period for a CN 54 recapitulative statement or equivalent e53 message shall be three months.

**3** Preparation, transmission and acceptance of CN 54bis recapitulative statements

**3.1** From the accepted CN 54 (or equivalent e53 message) and CN 56 recapitulative statements, the designated operator that had prepared the CN 54 shall prepare a CN 54bis annual statement consolidating the data concerning the mails sampled by transportation mode, format (where appropriate) and quarter and on which is calculated the annual number of items per kilogramme for the year and, where appropriate, the format in question.

**3.2** For the revision mechanism, from the CN 54bis annual statement, the designated operator that requested the statistical count shall calculate the average number of items per



kilogramme and, in case of application of the revision mechanism, the new rate of terminal dues by application of the formula set forth in article 30-112.6.

- 3.3 The CN 54bis annual statements shall be sent to the other designated operator concerned not later than one month after the acceptance of the CN 54 and CN 56 recapitulative statements concerning the fourth quarter.
- 3.4 The acceptance period for a CN 54bis annual statement shall be one month.
- 4 In cases where the other designated operator concerned has carried out control statistics:
- 4.1 For sampling in the target system: the annual number of items per kilogramme reported in the CN 54bis shall be regarded as valid if this number differs by 10% or less from that of the other designated operator and does not result in an annual payment difference of more than 5%. These limits shall be valid only if, in the sampling of the designated operator carrying out the statistical counts for terminal dues, the sampling respects the general principles for statistical counts and estimation of the number of items per kilogramme as described in article 30-115.1.1.
- 4.2 For the revision mechanism: the data established by the designated operator that requested application of the revision mechanism shall be regarded as valid if it does not differ by more than 10% from that established by the other designated operator.
- 5 If there is a difference of more than 10% between the designated operators' annual number of items per kilogramme or an annual payment difference of more than 5%, the designated operators concerned shall agree on the values to be used for settling terminal dues by taking into account the precision of the statistical systems used by each designated operator.
- 6 If the two parties cannot agree about application of this article, the designated operator may resort to the arbitration procedure in article 153 of the General Regulations.

#### Article 34-007

Letter mail: preparation, transmission and acceptance of CN 55, CN 56 and CN 69 statements

- 1 After receipt of each quarter's last mail dispatched by the office of exchange of origin, the destination designated operator shall prepare, by type of mail, by format (where appropriate), by dispatching office of exchange and by destination office of exchange, from the particulars on the CN 31 letter bills, a CN 55 statement of mails.
- 2 For each designated operator of the country of origin of the mails, the designated operator of the country of destination shall prepare quarterly, from the particulars on the CN 55 statements, by type of mail, by office of origin, by office of destination and, where appropriate, by format, a CN 56 recapitulative statement of mails.
- 3 The CN 55 statements shall be sent to the designated operator of the country of origin in support of the CN 56 recapitulative statements.
- 4 For surface transit mail, the designated operator of transit shall prepare a CN 69, by origin and destination office of exchange and type of mail, based on its own record of the receptacles that it forwards as closed transit and in accordance with the particulars contained in the CN 37 delivery bills that it prepares, during the quarter.
- 5 The CN 56 recapitulative statement shall be sent to the designated operators of origin of the mails no later than four months after the end of the quarter to which it relates. Operators may agree to substitute this form and the supporting CN 55 statements with the e55 message (electronic terminal dues statement) defined in UPU standard M51.
- 6 The acceptance period for a CN 56 statement or equivalent e55 message shall be two months. If verification reveals any discrepancies, the corrected CN 55 statement shall be attached in support

of the duly amended and accepted CN 56 recapitulative statement. If the designated operator of destination of the mails disputes the amendments made to the CN 55 statement, the designated operator of origin shall confirm the actual data by sending photocopies of the CN 31 forms drawn up by the office of origin upon dispatch of the disputed mails, or by giving access to the corresponding electronic data through a PREDES message, if the CN 31 was exchanged electronically. The forms shall be transmitted within two months of the date of dispatch of the corrected CN 56 recapitulative statement and CN 55 statement.

7 Designated operators may agree that the CN 55 or CN 56 statements shall be prepared by the designated operator of origin of the mails. In this case, the acceptance procedure provided for under 5 and 6 shall be adapted accordingly.

8 The CN 69 statements of closed transit mail shall be generated by the designated operator of transit and sent to the designated operator of origin no later than four months after the end of the quarter to which they relate. Where the CN 69 statement reports empty receptacles, it shall be sent to the designated operator of destination instead of that of origin, with the same deadline.

9 The acceptance period for CN 69 statements shall be two months.

#### Article 34-008

##### Letter mail: accounting for bulk mail

1 The designated operator of destination shall report all bulk mail received in a CN 57 quarterly account on the basis of the data on the CN 32 letter bill data.

2 In case of dispute, the designated operator of origin or the designated operator of destination shall transmit copies of the CN 32 letter bills regarding the disputed mails to the partner designated operator, or give access to the corresponding electronic data, if the CN 32 was exchanged electronically.

3 The designated operator of origin may refuse to check and accept any CN 57 account which has not been presented within four months after the quarter concerned.

4 The CN 57 account shall be accepted and settled by the designated operator of origin within two months after it is prepared.

5 When provisional rates are used in the CN 57, once the final rates are agreed, a CN 57bis annual account may be generated to adjust amounts and request payment of the difference.

6 The CN 57bis shall be sent by the creditor and accepted by the debtor within the same time limits as those applicable for the CN 61.

#### Article 34-009

##### Letter mail: accounting for direct access mail

1 The costs concerning mail intended for direct access to the domestic system shall be billed by the designated operator of destination by means of mutually agreed accounting forms.

2 The accounts shall be settled by the designated operator of origin within the period set by the designated operator of destination of the mail. This period shall not be less favourable than that set by the designated operator in question for its domestic customers. The designated operator of destination shall also choose the currency of payment in accordance with the provisions of article 34-004.1.

3 In cases where the data concerning mail intended for direct access to the domestic system entered on the accounting statements differs, the designated operator of origin shall transmit photocopies of the accounting forms that had accompanied the disputed mails.

**Article 34-010**

**Letter mail: preparation, transmission and acceptance of transit charges and terminal dues detailed accounts**

**1** The creditor designated operator shall be responsible for preparing the accounts and forwarding them to the debtor designated operator. However, the forwarding of accounts shall be required even when the balance is less than the minimum provided for this purpose in article 34-012.8 and 9.

**2** The detailed accounts shall be prepared as follows:

**2.1** Transit charges. On a CN 62 form, on the basis of the total weight of the categories of mail as appears from the CN 69 recapitulative statements.

**2.2** Additional sea transit charges, as provided for in article 27-102.2. On a CN 62bis form sent in duplicate together with supporting documentation such as the invoices sent by the port service provider.

**2.3** Terminal dues. On a CN 61 form, on the basis of the difference between the amounts to be brought to account based on the weights of mail received and dispatched for each category of mail as appear from the CN 56 recapitulative statements, or if necessary from the CN 54bis recapitulative statements, and from the CN 19 accounts.

**3** The CN 61 detailed accounts shall be sent to the debtor designated operator as soon as possible after the end of the year to which they refer.

**4** The CN 62 and CN 62bis detailed accounts shall be prepared by the creditor designated operator on a quarterly, half-yearly or annual basis, as chosen by the creditor designated operator.

**5** The debtor designated operator shall not be obliged to accept detailed statements or accounts or supplementary accounts that are not sent to it within 10 months of the end of the year concerned.

**6** The acceptance period for detailed accounts shall be two months.

**7** As an exceptional measure, supplementary detailed statements or accounts may be sent to the debtor designated operator only if they refer to statements or accounts already submitted for the period in question. The reason for issuing supplementary statements or accounts is to amend original statements or accounts so as to correct erroneous records or document additional claims and/or information. The conditions in 5 and 6 shall apply to the issuance of supplementary statements or accounts; if these conditions are not met, the debtor designated operator shall not be obliged to accept the supplementary statements or accounts.

**8** Designated operators may agree to settle terminal dues accounts for surface mails and for airmails separately. In this case, the designated operators concerned shall determine the procedures for preparing, accepting and settling such accounts.

**Article 34-011**

**Letter mail: provisional payments of terminal dues**

**1** Creditor designated operators shall be entitled to provisional payments in respect of terminal dues. The provisional payments for one year shall be calculated on the basis of the weights and statistical results (where applicable) of mail used for the final settlements of the previous year. The debtor designated operator shall not be obliged to accept provisional payment accounts received after 30 June. Should the previous year's account not yet be settled, the provisional payments shall be calculated on the basis of the duly accepted CN 56 recapitulative statements for the last four quarters and the corresponding, duly accepted CN 54 recapitulative statements of items (where applicable). The provisional payments in respect of a year shall be made no later than the end of July of that year. The

provisional payments shall then be adjusted as soon as the final accounts of the year are accepted or regarded as fully accepted.

**1bis** For 2020, provisional payments shall be calculated on the basis of the provisional terminal dues rates applicable from January 2020 to June 2020.

**2** The CN 64 statements concerning the provisional payments laid down in 1 shall be sent by the creditor designated operator to the debtor designated operator in the second calendar quarter of the year to which they relate.

**3** If a creditor designated operator is in a “net debtor” position in relation to other accounts accepted between two designated operators, the debtor designated operator may offset outstanding accepted debts against the provisional payment. If the outstanding debt is greater than the requested provisional payment, the debtor designated operator shall not be required to make the terminal dues provisional payment for that year. The creditor designated operator may also request that the debtor designated operator apply the provisional payment to outstanding debts between the two designated operators.

#### **Article 34-012**

##### **Letter mail: preparation of final accounts**

**1** Except in the cases detailed in paragraphs 2 and 3, payments of terminal dues and transit charges may only be made on the basis of the CN 61 and CN 62 detailed accounts, on which the method of settlement shall be indicated.

**2** If provisional payment has been made for terminal dues, as soon as the CN 61 detailed account is accepted or regarded as fully accepted, the creditor designated operator shall prepare separate CN 64 statements.

**3** A CN 64 statement shall also be prepared for terminal dues when amounts are carried over from a previous period (see paragraph 10).

**4** The acceptance period for CN 64 statements shall be one month.

**5** Any amendments to CN 64 statements made by the debtor designated operator must be accompanied by the supporting CN 61 detailed accounts.

**6** For contributions to individual QSF accounts:

**6.1** For flows between a designated operator contributing to the Quality of Service Fund and designated operator benefiting from the Fund, the latter designated operator shall send the International Bureau, as the body responsible for billing the amounts due in respect of the Quality of Service Fund a copy of the CN 61 detailed accounts or, as appropriate, a copy of the CN 64 statements accepted or regarded as fully accepted.

**6.2** The International Bureau shall not be obliged to accept CN 64 statements and CN 61 detailed accounts received more than six months after their acceptance.

**6.3** In the event of failure to comply with the deadline for transmitting CN 61 and CN 64 documents. A designated operator shall forfeit the right to the QSF funds with the contributing country concerned, for the year in question.

**7** For contributions to the QSF Common Fund:

**7.1** It shall be the duty of the creditor designated operator in group III to send a copy of the CN 61 detailed accounts, and, where applicable, CN 64 statements accepted or considered as fully accepted, to the International Bureau.

**7.2** These documents shall be sent as soon as possible but at the latest within 12 months following the end of the year concerned.

8 In the case provided for under 4, the statements shall be endorsed “Aucune observation de l’opérateur désigné débiteur n’est parvenue dans le délai réglementaire” (No comment received from debtor designated operator within the prescribed period).

9 If the balance of a CN 62 account does not exceed 163.35 SDR, it shall be carried over to the next CN 62 account, unless the designated operators concerned participate in the clearing system of the International Bureau.

10 If the balance of a CN 61 or CN 64 account does not exceed 326.70 SDR, it shall be carried over to the next CN 64 account, unless the designated operators concerned participate in the clearing system of the International Bureau.

11 Settlements may be made in accordance with the provisions of articles 34-002 and 34-003.

#### Article 34-013

##### Parcel mail: preparation of accounts

1 Each designated operator shall have its offices of exchange prepare immediately a CP 94 statement at the end of each month or quarter for all the items received from one and the same designated operator by dispatching office and per mail. For those designated operators producing these on a quarterly basis, separate statements may be produced for each calendar month.

2 E-commerce accounts when different rates are defined in accordance with article 32-201.

2.1 In the CP 94 statement, the rates used shall be those for the weight step 5–30 kg, or else rates agreed between the two parties.

2.2 The CP 94bis statement shall be prepared together with the CP 94 statement, based on additional information received through the PREDES message.

3 In the event of alteration of CP 88 or CP 87 parcel bills, the number and date of the CP 78 verification note prepared by the transferring office of exchange or the office of exchange to which the transfer is made shall be shown in the “Observations” column of the CP 94 statement.

4 The CP 94 statement and, if relevant, the CP 94bis statement shall be summarized in a CP 75 account.

5 Designated operators that were net creditors in the preceding year have the option of receiving payment on a monthly, quarterly, half-yearly or annual basis. The option exercised shall remain in force for a period of one calendar year starting from 1 January.

6 Designated operators may use the direct billing system or the bilateral offsetting system.

7 Under the direct billing system:

7.1 The CP 75 accounts shall serve as bills for direct settlement. The CP 75 account, accompanied by the CP 94 statements, as well as CP 94bis supplementary statements if relevant, shall be sent to the designated operator concerned for acceptance and payment on a monthly, quarterly, half-yearly or annual basis, no later than two months after the arrival of the last parcel bill of the period to which it related and at most five months after the period to which it related.

7.2 The acceptance period for CP 75 accounts shall be two months. When any difference exceeding 9.80 SDR occurs, the CP 94 or CP 94bis statement shall be corrected and be attached with the amended CP 75 account as proof.

8 Under the bilateral offsetting system:

8.1 The CP 94 statements, as well as CP 94bis supplementary statements if relevant, shall be sent to the designated operator concerned for acceptance on a monthly, quarterly, half-yearly or

- annual basis, no later than two months after the arrival of the last parcel bill of the period to which it related.
- 8.2** The preparation and dispatch of a CN 52 general account may be carried out, without waiting for a possible amendment of the CP 75 accounts, as soon as a designated operator that has all the CP 94 and possibly CP 94bis statements relating to the period concerned finds that it is the creditor.
- 8.3** The creditor designated operator shall prepare both the CP 75 and the CN 52 general accounts and transmit both simultaneously to the debtor designated operator on a monthly, quarterly, half-yearly or annual basis no later than five months after the period to which they refer. Nevertheless, as soon as the CP 75 accounts between two designated operators are accepted or regarded as fully accepted, they may be summarized in a CN 52 general account prepared at one of the abovementioned frequencies.
- 8.4** The acceptance period for the CP 75 and CN 52 accounts shall be two months. When any difference exceeding 9.80 SDR is noted by the debtor designated operator, the CP 94 or CP 94bis statements shall be corrected and be attached with the amended CP 75 account as proof.
- 9** Supplementary accounts may be sent to the debtor designated operator only if they refer to accounts already submitted for the period in question. The reason for issuing supplementary accounts is to amend original accounts so as to correct erroneous records or document additional claims/information. The conditions in 7 and 9 shall apply to the issuing of supplementary accounts; otherwise the debtor designated operator shall not be obliged to accept the supplementary accounts.
- 10** When the balance of a CP 75 or CN 52 account does not exceed 163.35 SDR, it shall be carried into the next CP 75 or CN 52 account unless the designated operators concerned participate in the clearing system of the International Bureau.
- 11** Settlements may be made in accordance with the provisions of article 34-002.

## Section VIII Optional services

### Article 36-001 EMS

- 1** In relations between designated operators which have agreed to provide this service, EMS takes priority over other postal items. It shall consist of the collection, dispatch and delivery in a very short space of time of correspondence, documents or goods.
- 2** EMS shall be regulated on the basis of multilateral or bilateral agreements. Aspects that are not expressly governed by such agreements shall be subject to the appropriate provisions of the Acts of the Union.
- 3** This service shall wherever possible be identified by a logo as shown in the specimen below and comprising the following elements:
- 3.1** an orange wing;
- 3.2** the letters EMS in blue;
- 3.3** three horizontal orange stripes.

The logo may be supplemented by adding the name of the **domestic** service.



4 Charges for the service shall be set by the designated operator of origin in consideration of costs and market requirements.

#### Article 36-002

##### Operation of EMS

1 With a view to preserving the EMS network and if circumstances so require, a member country and/or a designated operator shall have the option of providing the EMS service with private sector companies operating in another country, subject to the **national** legislation in that country.

#### Article 36-003

##### Integrated logistics service

1 In relations between designated operators which have agreed to provide this service, the integrated logistics service may include the collection, receipt, processing, storage, handling, dispatch, transfer transport and physical delivery of separate or combined documents or goods.

2 The details for an integrated logistics service involving two or more designated operators shall be based on bilateral agreements. Aspects that are not expressly governed by the latter shall be subject to the appropriate provisions of the Acts of the Union.

3 Charges for the service shall be set by the designated operator of origin in consideration of costs and market requirements.

#### Article 37-001

##### Hybrid mail

1 Hybrid mail is an electronic-based postal service whereby the sender posts the original message in either a physical or an electronic form, which is then electronically processed and converted into a letter-post item for physical delivery to the addressee. Where national legislation so permits, and when the sender or the addressee so requests, the designated operator effecting delivery may convert the original transmission received to non-physical means (such as fax, e-mail, or SMS) or to multiple means.

1.1 Where physical delivery to the addressee is used, the information is generally transmitted by electronic means for the longest possible part of the process and physically reproduced at a premises as close to the recipient's address as possible.

2 The tariffs applicable to hybrid mail are fixed by member countries or designated operators, taking costs and market requirements into account.

**Article 37-002**

Facsimile-based services

1 The bureaufax range of services permits the transmission of texts and illustrations true to the original by facsimile.

**Article 37-003**

Text-based services

1 The range of text-based services permits the transmission of texts and illustrations produced by means of data-processing equipment (PC, mainframe computer).

**Article 37-004**

Electronic postal certification mark

1 In relations between designated operators which have agreed to provide this service, the electronic postal certification mark, as defined by UPU Technical Standard S43, provides a chain of evidence, stored by a designated operator as a trusted third party, to prove the existence of an electronic event, for a certain content, at a certain date and time, and involving one or more identified parties. The designated operators concerned shall ensure that they continue to adhere to UPU Technical Standard S43, in accordance with the applicable procedures adopted by the Postal Operations Council upon the recommendation of the UPU Standards Board.

2 The provision of the electronic postal certification mark service across borders shall be governed by an accepted Trust Model, as defined in a multilateral agreement between designated operators participating in this service. This Trust Model is based on the fact that the different digital provider designated operators shall mutually authenticate themselves when dealing with cross-border electronic postal certification mark transactions. This shall be done by the designated operators through the exchange of the relevant information from their electronic postal certification mark Digital Identities (the keys of their electronic postal certification mark service i.e. X509 Digital Certificates).

2.1 Each designated operator's digital identity constitutes a unique digital identifier (a string or a token) given by a trusted third party that shall unequivocally identify that designated operator when interacting, for electronic postal certification mark cross-border transactions purposes, with other designated operators and their users.

3 In order to allow participating designated operators to be in a position to operate a cross-border electronic postal certification mark service they shall:

3.1 obtain their electronic postal certification mark digital identity from a trusted third-party digital identity provider; and

3.2 inform all other designated operators offering an electronic postal certification mark service of this identity and distribute their digital identity accordingly.

4 Charges for the electronic postal certification mark service shall be set by the designated operator of origin in consideration of costs and market requirements. Each designated operator shall:

4.1 retain the revenue generated by its offer of the electronic postal certification mark service unless participating designated operators agree to share the revenue from the electronic postal certification mark service; and

4.2 provide verification of the electronic postal certification mark free of additional charges, regardless of where the electronic postal certification mark was applied.

5 The electronic postal certification mark service between participating designated operators shall be regulated on the basis of a multilateral agreement which shall reflect and complement the applicable provisions contained in these Regulations.



- 5.1 The multilateral agreement shall specify the provisions required to provide the electronic postal certification mark service between member countries. Participating designated operators shall, by common consent, be obligated to comply with the provisions contained in the multilateral agreement.
- 5.2 Aspects that are not expressly governed by the electronic postal certification mark multilateral agreement shall be subject to the appropriate provisions of the Acts of the Union.
- 6 The electronic postal certification mark service shall, wherever possible, be identified by a logo defined in the multilateral agreement referred to in paragraph 5 above.

**Article 37-005**

## Postal registered electronic mail

- 1 Postal registered electronic mail provides a secure and trusted exchange of electronic messages, enabling the sending of electronic messages by an authenticated mailer for delivery to an authenticated addressee or addressees with proof of sending and proof of delivery.
- 2 Postal registered electronic mail:
- 2.1 ensures the confidentiality and integrity of the message;
- 2.2 ensures the authenticity and non-repudiation of the users and designated operators;
- 2.3 generates evidence of operations and all significant events within a complete operational cycle;
- 2.4 generates notifications that a particular event or operation has occurred and sends them to the corresponding parties; and
- 2.5 stores the generated evidence for future attestation.
- 3 Designated operators providing postal registered electronic mail shall be registered in a designated operator trust list which is managed and published by the UPU, acting as the designated operator trust list distribution point.
- 4 Customer charges for the provision of the postal registered electronic mail service shall be set by the designated operator of origin, taking into consideration the costs and market needs.
- 5 For the provision of postal registered electronic mail between participating designated operators, bilateral or multilateral agreements shall be established. The postal registered electronic mail operational arrangements, defined in the bilateral or multilateral agreements, shall specify the provisions required to provide the postal registered electronic mail service across borders, including any remuneration conditions between participating designated operators.
- 6 The interoperability aspects related to the provision of the postal registered electronic mail service shall be based on the relevant UPU standards.
- 7 Designated operators may decide to identify the postal registered electronic mail by means of a collective brand, and to provide it via the secure .POST platform.

**Article 37-006**

## Postal electronic mailbox

- 1 The postal electronic mailbox enables the sending of electronic messages by an authenticated mailer, delivery to the authenticated addressee, and access, management and storage of electronic messages and information by the authenticated addressee.
- 2 The postal electronic mailbox:
- 2.1 ensures the authenticity of the mailers and addressees; and
- 2.2 allows for the storage of electronic messages and information.

**Article 37-007**

Telematic links. General provisions

- 1 Designated operators may agree to establish telematic links among themselves and with other partners.
- 2 The designated operators concerned shall be free to choose the suppliers and the technical facilities (hardware and software) to support the exchange of data.
- 3 In consultation with the supplier of network services, designated operators shall agree bilaterally on the method of payment for these services.
- 4 Designated operators shall not be financially or legally liable if another designated operator fails to make the payments owed in respect of services relating to the provision of telematic links.

**Article 37-008**

Special provisions concerning telematic links

- 1 Designated operators shall observe internationally agreed standards to ensure system compatibility.
- 2 The International Bureau shall publish, maintain and update the UPU Technical Standards, UPU EDI Messaging Standards and UPU Code Lists for the benefit of designated operators.
- 3 For exchanges of data with other designated operators and external partners, designated operators shall use messages developed within the framework of the UPU and published by the International Bureau in the UPU EDI Messaging Standards when such messages are defined and suitable for the intended exchange. Usage of such messages shall be in accordance with the provisions of article **17-008**. Messages developed by other organizations may also be used once they have been approved by the UPU and published in the UPU EDI Messaging Standards.
- 4 The International Bureau shall regularly publish a list of available documents and guides on telematic links and shall make them available on request to designated operators providing telematic services.

**Article 37-009**

Rules for payment for telematic links

- 1 Designated operators shall decide on the method of payment for network services following one of the three procedures outlined below:
  - 1.1 the sending designated operator pays only for the messages it sends;
  - 1.2 the receiving designated operator pays only for the messages it receives;
  - 1.3 the sending and receiving designated operators agree to share equally the cost of messages sent and received.
- 2 If two designated operators cannot agree on a method of payment for network services, the method described in 1.1 will automatically apply, unless these two designated operators can agree bilaterally on an alternative method of payment.

## Section IX Final provisions

### Article 40-001

#### Entry into force and duration of the Regulations

- 1 These Regulations shall come into force on the day on which the Universal Postal Convention comes into operation.
- 2 They shall have the same duration as that Convention unless the Postal Operations Council decides otherwise.

## Volume II Letter Post Regulations

### Section I Common rules applicable to the international postal service

#### Article 11-101

##### Mails exchanged with military units

- 1 Intermediate designated operators shall be informed, as far as possible in advance, of the establishment of an exchange of closed mails as mentioned in article 11 of the Convention.
- 2 The address of these mails shall be worded as follows:  
 From the office of .....  
 For { the (nationality) naval (or air) unit of (designation of the unit) at ..... } (Country)  
       the (nationality) ship (name of ship) at .....  
 or  
 From the (nationality) naval (or air) unit of (designation of the unit) at ..... } (Country)  
 From the (nationality) ship (name of ship) at .....  
 For the office of .....  
 or  
 From the (nationality) naval (or air) unit of (designation of the unit) at ..... } (Country)  
 From the (nationality) ship (name of ship) at .....  
 For { the (nationality) naval (or air) unit of (designation of the unit) at ..... } (Country)  
       the (nationality) ship (name of ship) at .....
- 3 The mails concerned shall be forwarded by the fastest route (air or surface), according to the indication written on the address, and under the same conditions as mails exchanged between post offices.
- 4 The captain of a mail-boat conveying mails for a naval unit or a warship shall hold them at the disposal of the commanding officer of the naval unit or ship of destination, should the latter ask him for delivery en route.
- 5 If the ships are not at the place of destination when the mails addressed to them arrive there, the mails shall be kept at the post office until they are collected by the addressee or redirected to another point. Redirection may be requested either by the designated operator of origin, by the commanding officer of the naval unit or ship of destination, or by a consul of the same nationality.
- 6 Those mails which are marked "Aux soins du Consul d'..." (Care of the Consul of ...) shall be delivered to the consulate indicated. At the request of the consul they may afterwards be received back into the postal service and redirected to the place of origin or to another address.

7 Mails addressed to a warship shall be regarded as being in transit up to the time of their delivery to the commanding officer of that ship, even when they have been originally addressed to the care of a post office or to a consul charged to act as forwarding agent. They shall not, therefore, be regarded as having reached their address until they have been delivered to the warship concerned.

8 By agreement between the designated operators concerned, the above procedure shall also be applicable, if necessary, to mails exchanged with military units placed at the disposal of the United Nations and with military aircraft.

#### Article 15-101

Postage charges for letter-post items

**(Deleted.)**

#### Article 15-102

Special charges

1 No delivery charge may be collected from the addressee in respect of small packets weighing less than 500 grammes. Where domestic small packet items weighing over 500 grammes are subject to a delivery charge, the same charge may be collected in respect of incoming international small packets.

2 Designated operators shall be authorized to collect in the cases mentioned below the same charges as in the domestic service.

2.1 Charge on letter-post items posted after the latest time for posting, collected from the sender.

2.2 Charge on items posted outside normal counter opening hours, collected from the sender.

2.3 Charge for collection at the sender's address, collected from the sender.

2.4 Charge, for delivery of a letter-post item outside normal counter opening hours, collected from the addressee.

2.5 Poste restante charge collected from the addressee.

2.6 A storage charge for any letter-post item weighing more than 500 grammes of which the addressee has not taken delivery within the prescribed period. This charge shall not apply to items for the blind.

**2.7 The charge for withdrawal of letter-post items outside normal counter opening hours.**

3 Designated operators prepared to cover risks of force majeure shall be authorized to collect a charge for cover against risks of force majeure the guideline amount of which shall be 0.13 SDR for each registered item.

#### Article 15-103

Conditions of application of postage charges

1 Designated operators of member countries which by reason of their **national** system are unable to adopt the metric-decimal system of weight may use suitable equivalents of their **national** system.

2 For any category **of letter-post items**, the last weight step shall not exceed the maximum weight shown in article **17-103**.

3 Member countries or designated operators which have abolished postcards, printed papers or small packets as separate categories of item in their **domestic** service may do the same in respect of mail for abroad.

4 The charges applicable to priority letter-post items shall include any additional costs of fast transmission.

5 Designated operators that apply the system based on the contents of letter-post items shall be authorized:

- 5.1 to collect air surcharges for letter-post airmail items;
- 5.2 to collect for surface airlifted (S.A.L.) items with reduced priority surcharges lower than those which they collect for airmail items;
- 5.3 to fix combined charges for the payment of airmail items and S.A.L. items, taking into account the cost of the postal services rendered by them and the cost of air conveyance.

6 The surcharges shall be related to the air conveyance dues and shall be uniform for at least the whole of the territory of each country of destination whatever the route used; in calculating the air surcharge for a letter-post airmail item, designated operators shall be authorized to take into account the weight of any forms used by the public which may be attached to the item.

7 The designated operator of origin may allow, for letter-post items containing:

- 7.1 newspapers and periodicals published in its country, a reduction of not more than 50% in principle of the tariff applicable to the category of items used;
- 7.2 books and pamphlets, music scores and maps, provided they contain no publicity matter or advertisement other than that appearing on the cover or the fly leaves, the same reduction as that provided for under 7.1.

8 Designated operators have the right to restrict the reduction provided for in 7 to newspapers and periodicals which fulfil the conditions required by **national** regulations for transmission at the tariff for newspapers. This reduction shall not extend to commercial printed papers such as catalogues, prospectuses, price lists, etc., no matter how regularly they are issued. The same shall apply to advertisements printed on sheets annexed to newspapers and periodicals. The reduction shall still be possible, however, in the case of detached advertising inserts to be considered as integral parts of the newspaper or periodical.

9 The designated operator of origin may apply to non-standardized items charges different from those applicable to standardized items defined in article **17-111**.

10 The reduction in charges pursuant to 7 shall also apply to items conveyed by air, but no reduction shall be granted on the portion of the charge intended to cover the costs of such conveyance.

#### Article **15-104**

Calculation of surcharges for airmail items

**(Deleted.)**

#### Article **17-101**

Basic services

1 Every designated operator shall be free to choose the system based on the speed of treatment of items or based on the contents of items that it applies to its outward traffic.

2 In the classification system based on the speed of treatment of items, **letter-post** items are divided into:

- 2.1 priority items, i.e. items conveyed by the quickest route (air or surface) with priority;
- 2.2 non-priority items, i.e. items for which the sender has chosen a lower rate, implying a longer delivery time.

3 In the classification system based on contents, **letter-post** items are divided into **documents or goods**.

**4** Every designated operator may admit priority items and airmail items consisting of a sheet of paper suitably folded and gummed on all sides. Such items shall be called "aerogrammes". "Aerogrammes" shall be considered as being similar to airmail letters in the classification system based on contents.

#### Article 17-102

Classification of letter-post items based on their formats **and content**

**1** Designated operators in the target terminal dues system exchanging mail above a threshold shall apply the classification system based on the formats for their outward traffic, especially as far as making up of mails is concerned. The relevant conditions are specified in article **17-116**.

**2** In the classification system based on formats, the letter-post items provided for in article **17-101** may be further divided into:

**2.1** small letters (P) **containing documents only**, as in articles **17-101** and **17-105**;

**2.2** large letters (G) **containing documents only**, as in articles **17-101** and **17-105**;

**2.3** bulky letters (**format E**) **containing documents only**, i.e. items classified neither as small letters nor as large letters, with **physical specifications as defined in article 17** of the UPU Convention and in articles **17-103** and **17-104**;

**2.4** **small packets (format E) containing goods, with physical specifications as defined in article 17 of the UPU Convention and in articles 17-103 and 17-104.**

#### Article 17-103

Particulars relating to limits of weight

**1** Priority and non-priority items may weigh up to 5 kilogrammes:

**1.1** In relations between designated operators where both admit such items from their customers.

**1.2** For items containing books and pamphlets, the designated operator of origin having the option of admitting such items.

**2** In the system based on contents:

**2.1** Letters and small packets may weigh up to 5 kilogrammes in relations between designated operators where both admit such items from their customers.

**2.2** Printed papers may weigh up to 5 kilogrammes, the designated operator of origin having the option of admitting such items.

**3** The limit of weight of items containing books or pamphlets may be raised to 10 kilogrammes by agreement between the designated operators concerned.

**4** The items sent on postal service as mentioned in article **16.1** of the Convention and article **16-001** shall not be subject to the limits of weight laid down in article **17** of the Convention and 1 and 2 above. However, they shall not exceed the maximum weight of 30 kilogrammes per bag.

**5** Designated operators may apply to letter-post items posted in their countries the maximum limit of weight laid down for articles of the same kind in their **domestic** service provided that such items do not exceed the limit of weight mentioned in article **17** of the Convention and 1 and 2 above.

**Article 17-104**

## Limits of size

- 1 The limits of size of items other than postcards, aerogrammes **and small packets** are given below:
  - 1.1 maxima: length, width and depth combined: 900 mm, but the greatest dimension may not exceed 600 mm, with a tolerance of 2 mm; in roll form: length plus twice the diameter: 1,040 mm, but the greatest dimension may not exceed 900 mm, with a tolerance of 2 mm;
  - 1.2 minima: to have a surface measuring not less than 90 x 140 mm, with a tolerance of 2 mm; in roll form: length plus twice the diameter: 170 mm: but the greatest dimension may not be less than 100 mm.
- 2 The limits of size of postcards shall be as follows:
  - 2.1 maxima: 120 x 235 mm, with a tolerance of 2 mm, provided they are sufficiently stiff to withstand processing without difficulties;
  - 2.2 minima: 90 x 140 mm, with a tolerance of 2 mm. The length shall be at least equal to the width multiplied by  $\sqrt{2}$  (approximate value 1.4).
- 3 The limits of size of aerogrammes shall be as follows:
  - 3.1 maxima: 110 x 220 mm, with a tolerance of 2 mm;
  - 3.2 minima: 90 x 140 mm, with a tolerance of 2 mm. The length shall be at least equal to the width multiplied by  $\sqrt{2}$  (approximate value 1.4).
- 3bis The limits of size of small packets shall be as follows:**
  - 3bis.1 maxima: length, width and depth combined: 900 mm, but the greatest dimension may not exceed 600 mm, with a tolerance of 2 mm; in roll form: length plus twice the diameter: 1,040 mm, but the greatest dimension may not exceed 900 mm, with a tolerance of 2 mm;**
  - 3bis.2 minima: 105 x 148 mm, with a tolerance of 2 mm.**
- 4 The limits of size in this article shall not apply to postal service items as mentioned in article 16.1 of the Convention and article 16-001.

**Article 17-105**

## Limits of size and weight for small letters (P) and large letters (G)

- 1 For the classification of items based on their format, the limits of size and weight of small letters (P) shall be as follows:
  - 1.1 minimum dimensions: 90 x 140 mm;
  - 1.2 maximum dimensions: 165 x 245 mm;
  - 1.3 maximum weight: 100 g;
  - 1.4 maximum thickness: 5 mm.
- 2 For the classification of items based on their format, for items that are not small letter (P) format items, the limits of size and weight of large letters (G) shall be as follows:
  - 2.2 minimum dimensions: 90 x 140 mm;
  - 2.2 maximum dimensions: 305 x 381 mm;
  - 2.3 maximum weight: 500 g;
  - 2.4 maximum thickness: 20 mm.
- 3 For operations, accounting and sampling purposes, the following rule shall apply:

- 3.1 If an item exceeds the limits of a format in one or more of the four criteria (length, width, thickness and weight), it shall be classified in the next largest format, provided that it fits within the dimensions of that format.

#### Article 17-106

##### Conditions of acceptance of items. Make-up. Packing

1 Items shall be made up securely and in such a way that there is no risk of other items getting trapped in them. The packing shall be adapted to the shape and nature of the item and the conditions of transport. In the case of letters and small packets, it must ensure the integrity of the contents during transport. Every item shall be made up in such a way as not to affect the health of officials. The make-up shall prevent any danger if the item contains articles of a kind likely to injure officials called upon to handle it or soil or damage other items or postal equipment. Metal fasteners used for closing items shall not have sharp edges, nor shall they hamper the execution of the postal service.

- 1.1 Designated operators may agree to exchange bulk items, which have not been wrapped or packed. The dispatching designated operator and the designated operator of destination shall set by mutual agreement the conditions of posting of such items.

2 The UPU Id-tag, as defined in UPU Technical Standard S18 may be applied to letter-post items to facilitate mail processing in both origin and destination countries and to support the exchange of processing information between the designated operators involved. The Id-tag shall be applied, under the authority of the designated operator, in accordance with the specifications adopted by the Postal Operations Council and the provisions of UPU Technical Standards S18 and S19. To maximize the readability of the Id-tag, designated operators:

- 2.1 may encode information in area R1, as defined in **UPU** Technical Standard S19, only in accordance with the technical provisions of **UPU** Technical Standards S18 and S19;
- 2.2 are encouraged to advise against using area R1 for purposes which might interfere with, or be interfered with by, its intended use for Id-tag encoding.

3 Designated operators shall strongly recommend that their customers comply with the following rules:

- 3.1 The right-hand half at least of the address side shall be reserved for the address of the addressee and for postage stamps, franking marks and impressions or indications in lieu thereof. The latter shall be applied, as far as possible, in the top right-hand corner. It shall be up to the designated operator of origin to treat items whose payment does not conform to this condition according to its **national** legislation.

- 3.2 The addressee's address shall be written on the item lengthwise and, if the item is an envelope, on the plain side which is not provided with the closing flap. The address may be situated on the flap side of the envelope if the destination designated operator accepts its use for bulk mailings. For items exceeding the size limits for small letter (P) format standardized items as defined in article 17-111.1, the address may be written parallel to the width of the item.

- 3.3 The addressee's address **and name** shall be worded in a precise and complete manner. **They** shall be written very legibly in roman letters and arabic numerals. If other letters and numerals are used in the country of destination, it shall be recommended that the address be given also in these letters and numerals. The name of the place of destination and the name of the country of destination shall be written in capital letters together with the correct postcode number or delivery zone number or post office box number, if any. The name of the country of destination shall be written preferably in the language of the country of origin. To avoid any difficulty in the countries of transit, it is desirable for the name of the country of destination to be added in an internationally known language. Designated operators may recommend that, on items addressed to countries where the recommended position of the postcode is in front of the name of the location of destination, the postcode should be preceded by the EN ISO 3166-1 Alpha 2 country code followed by a hyphen. This shall in no way detract from the requirement for the name of the destination country to be printed in full.

- 3.4 The envelope paper shall be made of a material that can be processed mechanically.



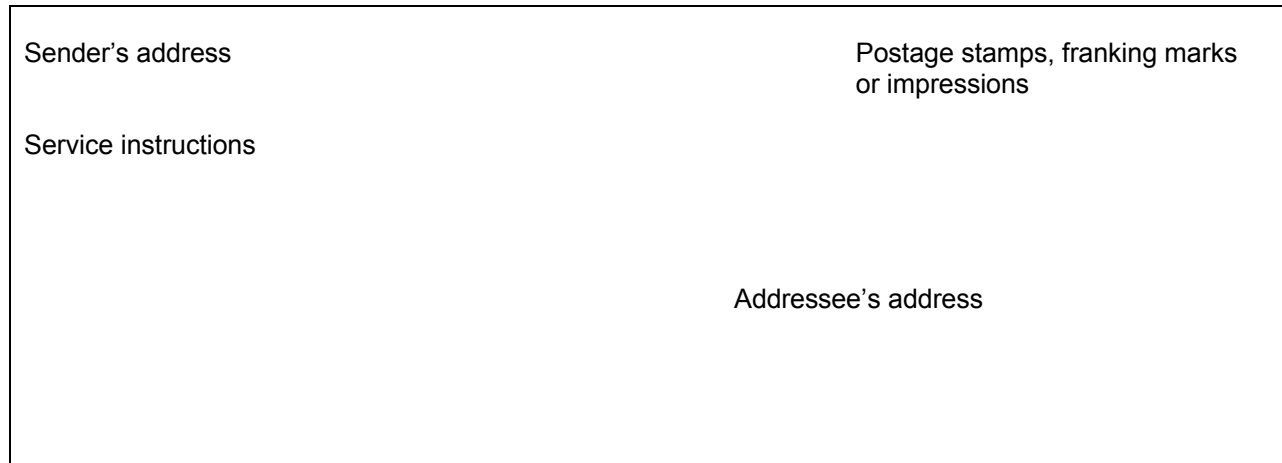
- 3.5 In order to facilitate automatic reading, the addressee's address shall be written in compact form, without leaving a space between the letters of the words and without a blank line between the line containing the place of destination and the other elements of the address. The place and country of destination and the postcode number, if any, shall not be underlined.

Example: Monsieur  
Pierre Noir  
Rue du Midi 26  
1009 PULLY  
SWITZERLAND

- 3.6 The **address and name of** the sender shall be shown on the item with the postcode number or delivery zone number, if any. When they appear on the address side of the envelope, these indications shall be placed in the top left-hand corner and should be sufficiently separated from the addressee's address **and name** to avoid any misunderstanding.
- 3.7 The addresses of the sender and the addressee shall be shown in an appropriate manner inside the item and as far as possible on the contents. This applies particularly to unsealed items.
- 3.8 Customers posting items in bulk of the same shape and weight may be requested to bundle them by postcode or delivery zone number so that they can be processed as speedily as possible in the country of destination. The designated operator of destination may request this degree of sortation subject to agreement by the designated operator of origin.

- 4 Except as otherwise provided in these Regulations, service instructions and labels shall be placed on the address side of the item. They shall be positioned in so far as possible in the top left-hand corner, beneath the sender's name and address where these are given. The service instructions shall be written in French or in some other language generally known in the country of destination. A translation of the instructions in the language of the country of origin may also be given.

Example:



- 5 Non-postal stamps and charity or other labels as well as designs, likely to be mistaken for postage stamps or service labels, may not be affixed to or printed on the address side. This shall also apply to stamp impressions which could be mistaken for franking impressions.
- 6 In all cases in which the item is under wrapper, the addressee's address shall be written on the latter.
- 7 Envelopes whose edges are provided with coloured bars shall be reserved exclusively for airmail items.

8 The address of items sent poste restante shall show the name of the addressee, the town, the country of destination and, if possible, the post office at which the item is to be collected. The indication "Poste restante" shall be written in bold letters on the address side. The use of initials, figures, forenames only, fictitious names or code marks of any kind shall not be permitted for these items.

Example: Mademoiselle  
Louise Bertholet  
Poste restante  
1211 GENEVA 13  
SWITZERLAND

9 On printed papers, the addressee's name may, exceptionally, be followed by the indication "or occupant", in French or a language accepted by the country of destination.

Example: Monsieur  
Pierre Sansonnens  
Or occupant  
Rue Pinet 10  
1001 LAUSANNE  
SWITZERLAND

10 The envelope or wrapping may bear only one sender's address, and one addressee's address. In the case of bulk postings, the sender's address must be visible on the outside of the item and located in the country of posting of the item.

11 No manner of item shall be admitted of which the whole or part of the address side has been marked off into several divisions intended to provide for successive addresses.

#### Article 17-107

Special provisions applicable to each category of items

##### 1 Priority/non-priority items and letters

1.1 Subject to the provisions relating to standardized items and the packing of items, no conditions shall be laid down for the form or closing of priority/non-priority items or for letters. However, such items in envelopes must be rectangular to ensure that they do not cause difficulties during their handling. Such items with the consistency, but not the shape, of postcards shall also be placed in rectangular envelopes. The envelopes should be of light colour. It is recommended that the word "Priority" or "Letter" be added to the address side of items which, because of their volume or make-up, might be confused with items prepaid at a reduced rate.

##### 2 Aerogrammes

2.1 Aerogrammes must be rectangular and be so made that they do not hamper the handling of the mail.

2.2 The front of the aerogramme shall be reserved for the address, the prepayment and service notes or labels. It shall bear the printed indication "Aerogramme" and may also bear an equivalent indication in the language of the country of origin. An aerogramme shall not contain any enclosure. It may be registered if the regulations of the country of origin so permit.

2.3 Each member country or designated operator shall fix, within the limits defined in article 17-104.3, the conditions of issue, manufacture and sale of aerogrammes.

##### 3 Postcards

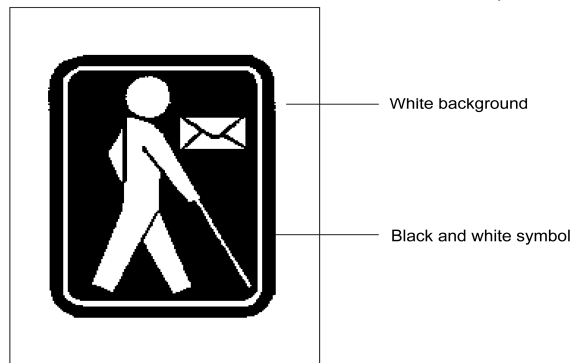
3.1 Postcards shall be rectangular and be made of cardboard or of paper stiff enough not to make mail handling difficult. They shall not have projecting or raised relief parts and shall comply with the conditions laid down by the member country or designated operator of origin.

3.2 Postcards shall bear on the front the heading "Carte postale" (Postcard) in French or its equivalent in another language. This heading shall not be compulsory for picture postcards.

- 3.3 Postcards shall be sent unenclosed, that is to say without wrapper or envelope.
- 3.4 The right-hand half at least of the front shall be reserved for the address of the addressee, for prepayment and for service instructions or labels. The sender may make use of the back and of the left-hand half of the front.
- 3.5 Postcards not complying with the regulations for that category shall be treated as letters, except when the irregularity derives only from showing the prepayment on the back. Such cards shall be regarded as unpaid and treated accordingly.
- 4 Printed papers
- 4.1 Reproductions on paper, cardboard or other materials commonly used in printing produced in several identical copies by means of a process authorized by the member country or designated operator of origin may be sent as printed papers. Printed papers which do not comply with this definition may also be admitted in the international service if they are admitted in the **domestic** service of the country of origin.
- 4.2 Printed papers shall bear in bold letters on the address side, in so far as possible in the top left-hand corner, beneath the sender's name and address where these are given, the expression "Imprimé" or "Imprimé à taxe réduite" as appropriate or their equivalents in a language known in the country of destination. These markings shall constitute indication that the items contain only printed papers.
- 4.3 Printed papers satisfying both the general conditions applicable to printed papers and those applicable to postcards shall be admitted unenclosed at the printed paper rate, even if they bear the title "Carte postale" (Postcard) or the equivalent of this title in any language.
- 4.4 Several printed papers may be sent together in a single item provided that they do not bear the names and addresses of different addressees. Designated operators of origin may limit printed papers sent in this way to those stemming from a single sender.
- 4.5 It shall be permitted to enclose with all printed papers a card, envelope or wrapper bearing the printed address of the sender of the item or his agent in the country of posting or destination of the original item; the enclosure may be prepaid for return by means of postage stamps or postal prepayment impressions of the country of destination of the original item.
- 4.6 The designated operator of origin may authorize annotations or enclosures on the basis of its **national** legislation.
- 4.7 Printed papers shall be made up in such a way that their contents are sufficiently protected while permitting quick and easy verification. The conditions shall be determined by the member country or designated operator of origin.
- 4.8 Designated operators may authorize the closing of bulk-posted printed papers, issuing for that purpose a permit to users who make a request for one. In such cases, the number of the permit shall be shown below the indication "Imprimé" (Printed papers) or "Imprimé à taxe réduite" (Reduced-rate printed papers).
- 4.9 Alternatively, designated operators of origin shall be allowed to permit the closing of all printed papers without authorization if in their **domestic** service no special conditions of closing are required.
- 4.10 Printed papers may be inserted in closed plastic wrapping, either transparent or opaque, on the conditions laid down by the member country or designated operator of origin.
- 4.11 Sealed printed papers may be opened for verification of their contents.
- 5 Items for the blind
- 5.1 Items for the blind shall include letters bearing writing used by the blind, posted unsealed, and plates bearing the characters of writing used by the blind, as further detailed below:
- 5.1.1 correspondence, literature in whatever format including sound recordings provided that they are sent to or by an organization for the blind or sent to or by a blind person;
- 5.1.2 equipment or materials of any kind made or adapted to assist blind persons in overcoming the problems of blindness, including items such as specially adapted CDs, Braille writing equipment,

Braille watches, white canes and recording equipment, provided that they are sent to or by an organization for the blind or sent to or by a blind person.

- 5.2 Designated operators of origin shall be allowed to admit as items for the blind items admissible as such in their **domestic** service.
- 5.3 Items for the blind shall be made up in such a way that their contents are sufficiently protected while permitting quick and easy verification.
- 5.4 The designated operators shall require that their customers furnish their items for the blind, on the side which bears the addressee's address, with a clearly visible white label with the following symbol:



(Size 52 x 65 mm)

## 6 Small packets

- 6.1 Small packets shall bear in bold letters on the address side, in so far as possible in the top left-hand corner, if applicable beneath the sender's name and address, the expression "petit paquet" (small packet) or its equivalent in a language known in the country of destination. Indication of the sender's address on the outside of the item shall be obligatory.
- 6.2 It shall also be permitted to enclose therein any other document having the character of current and personal correspondence. However, such documents may not be addressed to an addressee nor stem from a sender other than those of the small packet. In addition the **national** regulations of the member **country concerned** must authorize such enclosure. The designated operator of origin shall decide whether the document or documents enclosed fulfil these conditions. It shall lay down such other conditions as may be appropriate relating to authorized enclosures.
- 6.3 No special conditions of closing shall be required for small packets; items designated as such may be opened for verification of their contents.
- 6.4 Designated operators **shall** apply a single barcode identifier conforming to UPU Technical Standard S10 to small packets **containing goods** to enable the provision of cross-border customs electronic **advance data in compliance with UPU EDI Messaging Standard M33 (ITMATT V1)**. However, the presence of such an identifier shall not imply the provision of a delivery confirmation service. The identifier should appear on the front of the item and should not obscure the other service markings, indicia or address information.
- 6.5 In accordance with article 08-002, designated operators shall capture and exchange electronic advance data. That data shall replicate the information documented on the appropriate UPU customs declaration form and shall be compliant with UPU EDI Messaging Standard M33 (ITMATT V1).**

## 7 M bags

- 7.1 Certain other articles may also be admitted in M bags, provided the following conditions of entry are met:
- 7.1.1 the articles (disks, tapes, and cassettes; commercial samples shipped by manufacturers and distributors; or other non-dutiable commercial articles or informational materials that are not subject to resale) are affixed to or otherwise combined with the accompanying printed papers;
- 7.1.2 the articles relate exclusively to the printed papers with which they are being mailed;

- 7.1.3 the weight of each item which contains articles in combination with printed papers does not exceed two kilogrammes;
- 7.1.4 the M bags are accompanied by a CN 22 or CN 23 customs declaration form prepared by the sender in accordance with the provisions laid down in article **20-001.2.9**.
- 7.2 The addressee's address shall be shown on each packet of printed papers included in a special bag and sent to the same addressee at the same address.
- 7.3 Every M bag shall be furnished with a rectangular address label provided by the sender and giving all the information concerning the addressee. The address label shall be made of sufficiently rigid canvas, strong cardboard, plastic, parchment, or paper glued to wood and shall be provided with an eyelet. It shall not be smaller than 90 x 140 mm with a tolerance of 2 mm.
- 7.4 The total amount of prepayment for M bags shall be shown on the address label on the bag.
- 7.5 With the agreement of the designated operator of destination, packets of printed papers may also be admitted as M bags when they are not packed in a bag. Such packets shall be marked very visibly with a letter M near the addressee's address. The nature of the contents shall be indicated directly on the item (CN 22/CN 23).
- 7.6 Designated operators **shall** apply a single barcode identifier conforming to UPU Technical Standard S10 to M bags to enable the provision of cross-border customs **electronic advance data in compliance with UPU Messaging Standard M33 (ITMATT V1)**. However, the presence of such an identifier shall not imply the provision of a delivery confirmation service. The identifier should appear on the front of the item and should not obscure the other service markings, indicia or address information.
- 7.7 In accordance with article 08-002, designated operators shall capture and exchange electronic advance data. The data shall replicate the information documented on the appropriate UPU customs declaration form and shall be compliant with UPU EDI Messaging Standard M33 (ITMATT V1).**
- 8 Bulk mail
- 8.1 Bulk items shall be characterized by:
- 8.1.1 the receipt, in the same mail or in one day when several mails are made up per day, of 1,500 or more items posted by the same sender;
- 8.1.2 the receipt, in a period of two weeks, of 5,000 or more items posted by the same sender;
- 8.1.3 the receipt from a country, in a period of four weeks, of mails whose total weight is composed of at least 90% of bulky (E) or small packet (E) letter-post items, provided that the total weight of such mail received has increased by more than 50% compared to the same four weeks the year before.**
- 8.2 Under the terms of **8.1.1 and 8.1.2**, the sender of the bulk items shall be considered to be the person or organization that actually posts the items. **For 8.1.3, the sender of the bulk items shall be considered to be the designated operator.**
- 8.3 If need be, **for 8.1.1 and 8.1.2**, the sender may be identified by any common characteristic of the items or any indication made on the items (for example, return address, name, mark or stamp of the sender, postal authorization number, etc.).

#### Article 17-108

##### Marking of priority or mode of transportation

1 In the absence of special agreement between the member countries of designated operators concerned, items to be treated as priority items or airmail items in the countries of transit and of destination shall bear a special blue label or a stamp impression of the same colour, or in black, if the regulations of the dispatching designated operator so permit, bearing the words "Prioritaire" (Priority) or "Par avion" (By airmail). If need be, these indications in capital letters may be handwritten or typewritten, with an optional translation in the language of the country of origin. This "Prioritaire" or "Par avion" label, impression or indication shall be

placed on the address side, in so far as possible in the top left-hand corner, beneath the sender's name and address where these are given.

2 The words "Prioritaire" (Priority) or "Par avion" (By airmail) and any note relating to priority or air conveyance shall be struck through with two thick horizontal lines when the item is not forwarded by the quickest means.

3 The designated operator of origin may also require the marking of non-priority and surface items.

#### **Article 17-109**

##### Special packing

1 Articles of glass or other fragile objects shall be packed in a strong box filled with an appropriate protective material. Any friction or knocks during transport either between the objects themselves or between the objects and the sides of the box shall be prevented.

2 Liquids and substances which easily liquefy shall be enclosed in perfectly leak-proof containers. Each container shall be placed in a special strong box containing an appropriate protective material to absorb the liquid should the container break. The lid of the box shall be fixed so that it cannot easily work loose.

3 Fatty substances which do not easily liquefy, such as ointments, soft-soap, resins, etc., and silk-worm eggs, the conveyance of which presents few difficulties, shall be enclosed in a first packing (box, bag of cloth, plastic, etc.) which is itself placed in a box stout enough to prevent the contents from leaking.

4 Dry colouring powders, such as aniline blue, etc., shall be admitted only in perfectly leak-proof metal boxes, placed in turn in strong boxes with an appropriate absorbent and protective material between the two containers.

5 Dry non-colouring powders shall be placed in strong containers (box, bag). These containers shall themselves be enclosed in a stout box.

6 Live bees, flies of the family Drosophilidae, leeches and parasites shall be enclosed in boxes so constructed as to avoid any danger.

7 Packing shall not be required for articles in one piece, such as pieces of wood, metal, etc., which it is not the custom of the trade to pack. In this case, the address of the addressee should be given on the article itself.

#### **Article 17-110**

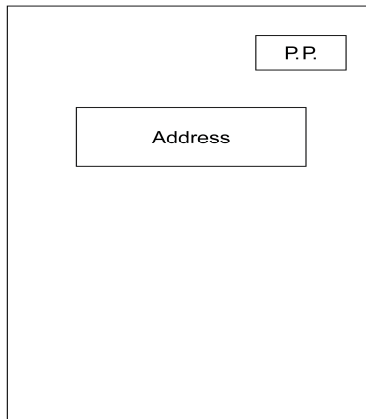
##### Items in panel envelopes

1 Items in envelopes with a transparent address panel shall be admissible on the following conditions:

1.1 The panel shall be situated on the plain side of the envelope which is not provided with the closing flap. The transparent address panel may be situated on the flap side of the envelope if the destination designated operator accepts its use for bulk mailings.

1.2 The panel shall be made of such a material and in such a way that the address can be easily read through it.

1.3 The panel shall be rectangular. Its greatest dimension shall be parallel to the length of the envelope. The address of the addressee shall appear in the same direction. However, concerning C 4 format (229 x 324 mm) items or similar formats, designated operators may allow the transparent panel to be placed transversely in such a way that its greatest dimension is parallel to the width of the envelope.



- 1.4 All the edges of the panel shall be precisely stuck down on the inside edges of the opening in the envelope. For this purpose there shall be an adequate space between the side and bottom edges of the envelope and those of the panel.
- 1.5 The addressee's address shall be the only thing visible through the panel or, at the very least, shall stand out clearly from any other indications visible through the panel.
- 1.6 The panel shall be placed so as to leave enough room for the application of the date-stamp.
- 1.7 The contents of the item shall be folded in such a way that the address remains fully visible through the panel even if the contents shift inside the envelope.

2 Items in envelopes which are wholly transparent may be admitted if the surface of the envelope is constructed in such a way as to create no difficulties in mail handling. A label having sufficient space for showing the address of the addressee, prepayment and service instructions must be firmly attached to the outer surface of the item. Items in envelopes which have an open panel shall not be admitted.

3 Designated operators of origin may admit envelopes which have two or more transparent panels. The panel reserved for the address of the addressee shall conform to the conditions laid down under 1. For the other panels, the conditions laid down under 1.2, 1.4, 1.6 and 1.7 shall apply by analogy.

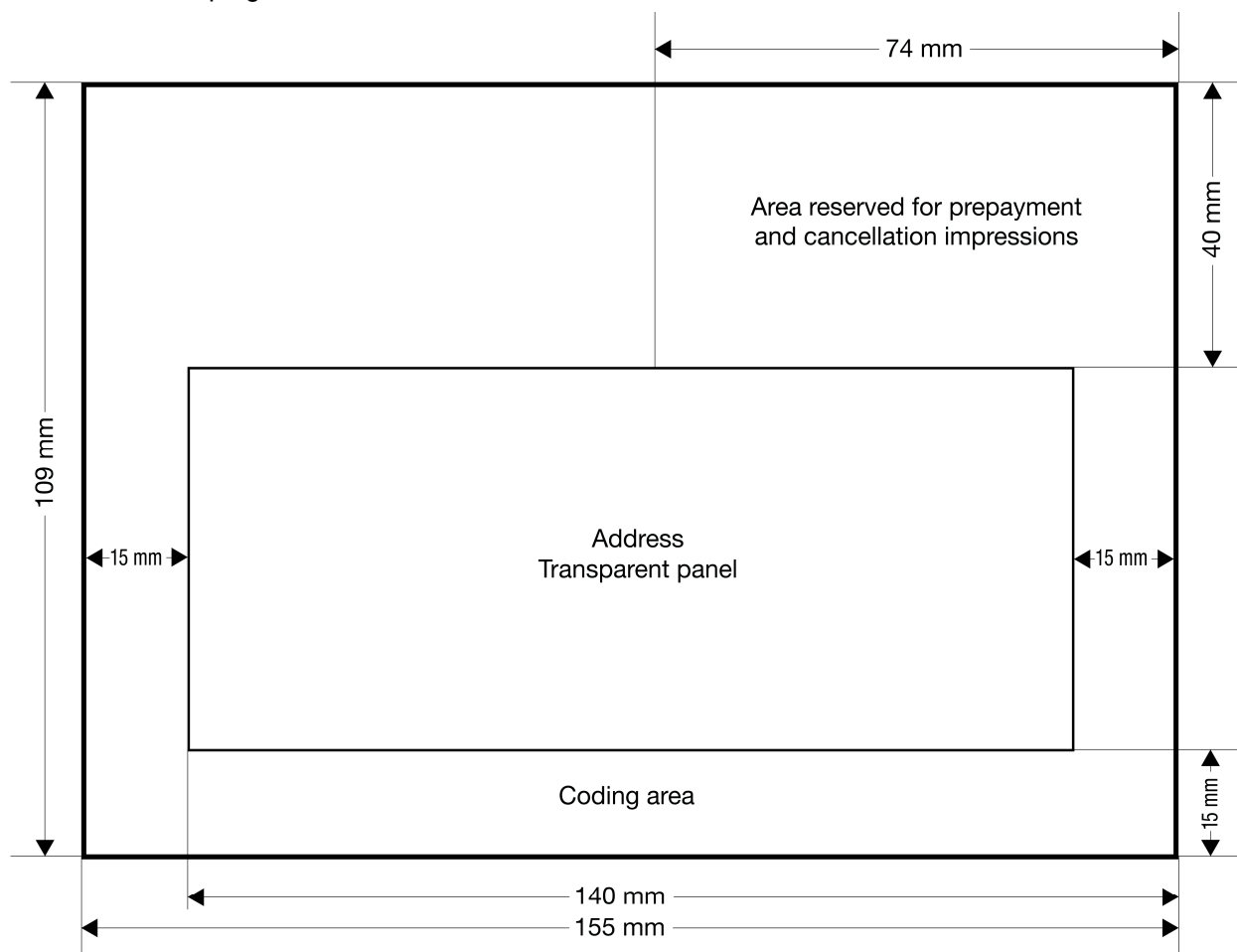
#### Article 17-111

##### Standardized items

1 Rectangular items shall be considered to be standardized small letter (P) format items if their length is not less than their width multiplied by  $\sqrt{2}$  (approximate value 1.4). These items shall satisfy the following conditions:

- 1.1 Minimum dimensions: 90 x 140 mm.
- 1.2 Maximum dimensions: 165 x 245 mm.
- 1.3 Maximum weight: 100 g.
- 1.4 Maximum thickness: 5 mm.
- 1.5 Letters shall be closed by completely sticking down the sealing flap of the envelope and the address shall be written on the plain side of the envelope which is not provided with the sealing flap. The address may be situated on the flap side of the envelope if the destination designated operator accepts its use for bulk mailings.
- 1.6 The address shall be written in a rectangular area situated at least:
  - 40 mm from the top edge of the envelope (tolerance 2 mm);
  - 15 mm from the right-hand edge;
  - 15 mm from the bottom edge;
 and not more than 140 mm from the right-hand edge.

- 1.7 On the address side, a rectangular area 40 mm (– 2 mm) in depth from the upper edge and 74 mm in width from the right-hand edge shall be reserved for affixing the postage stamp or stamps and the cancellation impression. Inside this area the postage stamps or franking impression shall be applied in the top right-hand corner.



2 The provisions under 1 shall also apply to items in envelopes with transparent panels whose general conditions of admission are set out in article **17-110**. The transparent panel for the address of the addressee shall in addition be at least:

- 40 mm from the top edge of the envelope (tolerance 2 mm);
- 15 mm from the right-hand edge;
- 15 mm from the left-hand edge;
- 15 mm from the bottom edge.

2.1 The panel may not be bordered by a coloured band or frame. Service indications may be placed just above the addressee's address.

3 No wording or extraneous matter whatsoever may appear:

- 3.1 below the address;
- 3.2 to the right of the address, from the franking and cancelling area to the bottom edge of the item;
- 3.3 to the left of the address, in an area at least 15 mm wide and running from the first line of the address to the bottom edge of the item;
- 3.4 in an area 15 mm high starting from the bottom edge of the item and 140 mm long starting from the right-hand edge of the item; this area may be partly identical with those defined above.



4 Rectangular items shall be considered to be standardized large letter (G) format items if they are not standardized small letter (P) format items and satisfy the following conditions:

4.1 Minimum dimensions: 90 x 140 mm.

4.2 Maximum dimensions: 305 x 381 mm.

4.3 Maximum weight: 500 g.

4.4 Maximum thickness: 20 mm.

5 Items in card form up to 120 x 235 mm in size may be accepted as standardized items provided they are made of cardboard heavy enough to be sufficiently stiff to withstand processing without difficulty.

6 The following items shall not be considered standardized:

6.1 folded cards;

6.2 items closed by means of staples, metal eyelets or hook fastenings;

6.3 punched cards sent unenclosed (without an envelope);

6.4 items whose envelopes are made of material which has fundamentally different physical properties from paper (except from the material used for making the panel of window envelopes);

6.5 items containing articles causing protrusions;

6.6 folded items sent unenclosed (without an envelope) which are not closed on all sides and which are not rigid enough for mechanical processing.

#### Article 17-112

##### Exchange of items

1 Designated operators may exchange, via one or more of their number, closed mails as well as à découvert items according to needs and service requirements.

2 When exceptional circumstances oblige a designated operator temporarily to suspend its services wholly or in part, it shall immediately inform the designated operators concerned.

3 When the conveyance of mail in transit through a member country takes place without the participation of the designated operator of that member country, this form of transit shall not involve the liability of the designated operator of the transit member country.

4 Designated operators may send surface mails by air, with reduced priority. The designated operator of destination shall indicate the office of exchange or the airport of destination for such mails.

#### Article 17-113

##### Priority treatment of priority items and airmail items

1 Designated operators shall be bound to forward by the air communications they use for the conveyance of their own priority items and airmail items the items of this type which reach them from other designated operators.

2 Designated operators without an air service shall forward priority items and airmail items by the most rapid means used for mails. The same shall apply if for any reason routing by surface means is more advantageous than the use of airlines.

3 Each designated operator shall stipulate a specific hand-over time of preferably one hour, to two hours maximum, to the receiving designated operator within their contracts with airlines. The receiving designated operator shall endeavour to assist in the enforcement of this by providing the sending designated operator with monitoring of the airlines' performance against this time.

- 4 Designated operators shall take all necessary steps to:
  - 4.1 ensure the best conditions for the receipt and onward transmission of mails containing priority items and airmail items;
  - 4.2 ensure that agreements concluded with the carriers concerning the priority due to such mails are respected;
  - 4.3 speed up the operations relating to customs control of priority items and airmail items addressed to their countries;
  - 4.4 keep to a strict minimum the time required for forwarding priority items and airmail items posted in their country to the countries of destination and for having priority items and airmail items arriving from abroad delivered to the addressees. Single items arriving in a priority or airmail mail and not provided with a priority or airmail marking according to article **17-108** should nevertheless be regarded as priority or airmail items and be inserted in the domestic priority or airmail handling stream of the designated operator of destination.
  
- 5 Designated operators of transit and destination shall treat priority items and airmail items equally; designated operators shall also give the same treatment to surface LC items when no better service is available to the sender. Similarly, no distinction as regards speed of handling shall be made between non-priority items, surface AO items and S.A.L. items.

#### Article **17-114**

##### Mails

- 1 Mails shall be classified as follows:
  - 1.1 "Airmails" which are conveyed by air with priority. Airmails may contain airmail items and priority items.
  - 1.2 "Priority mails" which are conveyed by surface but which have the same priority as airmails. Priority mails may contain priority items and airmail items.
  - 1.3 "Surface airlifted (S.A.L.) mails" which contain S.A.L. items and non-priority items.
  - 1.4 "Surface mails" which contain surface mail and non-priority items.
  
- 2 Mails conveyed by air or by surface containing only items posted in bulk shall be called "bulk mails".
  - 2.1 The provisions of these Regulations applicable to the mails under 1.1 to 1.4 shall also apply to bulk mails conveyed by the same route or mode, unless specific arrangements are expressly made.
  
- 3 **(Deleted.)**
  
- 4 **(Deleted.)**
  
- 5 **(Deleted.)**
  
- 6 **(Deleted.)**
  
- 7 If a dispatch identifier is used (barcode representation or representation in electronic messaging), it shall be compliant with UPU Technical Standard S8.

#### Article **17-115**

##### Exchange in closed mails

- 1 Closed mails shall be made up for the country of destination when the number of items per mail or per day (when several dispatches are made in a day) exceeds the limits for à découvert transit stated in article **17-117**.

2 The exchange of items in closed mails shall be regulated by common consent between the designated operators concerned. Any changes in routing shall be notified by the dispatching designated operator to the designated operator of destination at the earliest opportunity and, if possible, before the date of implementation.

3 In order to participate in good quality mail circulation, every designated operator should make up a minimum of three priority letter mails per week for each destination. If the volume and weight of items do not warrant such frequency, dispatch in transit à découvert should be envisaged.

4 Designated operators through which closed mails are to be forwarded shall be given suitable notice.

5 In cases where an exceptionally large number of ordinary or registered items has to be sent to a country to which mail is normally sent in transit à découvert, the designated operator of origin shall be authorized to make up closed mails for the offices of exchange of the country of destination. It shall advise the designated operators of the countries of transit and destination accordingly.

#### Article 17-116

##### Exchange of format-separated mails

1 The exchange of format-separated mails between designated operators of member countries as provided for in article 29 of the Convention shall be made on the basis of the conditions of the present article.

2 Exchanges between countries in group I

**2.0bis The size of a flow shall be based on the last four approved consecutive quarters.**

2.1 Mails shall be prepared and dispatched in separate receptacles for each of the three formats (P, G, E) to destinations where the annual outward volume of mails dispatched, excluding M bags, is above 50 tonnes. For volumes below this threshold mixed mails may be prepared.

3 Exchanges between countries in group II and between these countries and countries in group I

3.1 Mails shall be prepared and dispatched in at least two separate types of receptacles, one for formats P and G combined and one for E, to destinations where the annual outward volume of mails dispatched, excluding M bags, is above **the threshold of 50 tonnes.**

3.2 For volumes below **this threshold**, mixed mails may be prepared.

**4 Exchanges between countries in group III and between these countries and countries in group I and II**

**4.1 Mails shall be prepared and dispatched in at least two separate types of receptacles, one for formats P and G combined and one for E, to destinations where the annual outward volume of mails dispatched, excluding M bags, is above the following thresholds:**

**4.1.1 75 tonnes in 2018 and 2019;**

**4.1.2 50 tonnes in 2020 and 2021.**

5 For the application of the threshold the most recent annual volumes shall be used as reference.

6 Designated operators shall send the designated operators concerned the request for receiving or the notification for sending format-separated mails by 30 September for application on 1 January of the following year and subsequent years.

7 Designated operators allowed to separate mail into two formats (S and E) or three formats (P, G and E), as provided for in § 3, are not allowed to switch from one format-separation option to the other with a partner designated operator in the same calendar year. If they wish to change from two to three formats or from three to two, they shall inform the designated operator concerned by 30 September, for application on 1 January of the following year.

**8** The practical details shall be the subject of a mutual agreement between the designated operators concerned.

**9** In case of receipt of mixed dispatches in a relation where the conditions are met or the agreement for format-separated exchanges was made, the designated operator of destination shall be entitled to segregate and sample the received mails by format or receptacle type.

**10** It may be mutually agreed that, in relations above the threshold, where there are low daily mail volumes from a specific exchange office, these may be made up in mixed dispatches. However, these dispatches shall also be subject to sampling by the designated operator of destination.

**11** The discontinuation of the making up of format-separated mails shall be notified by 30 **September** and shall take effect on 1 January of the following year and subsequent years.

**12** Designated operators of countries in the transitional system and of countries joining the target system as of **2018** may choose to exchange format-separated mails following the same conditions as the target system countries, as set out in paragraphs 2 or 3 **and 4**, and **5 to 11**.

**13** For operational, statistical and accounting purposes, registered, insured and **tracked** items shall be treated as bulky letters (E) **or small packets (E)**, regardless of their actual shape.

#### Article 17-117

##### Transit à découvert

**1** The transmission of à découvert items to an intermediate designated operator shall be strictly limited to cases where the making up of closed mails for the country of destination is not justified. À découvert transmission shall not be used to countries of destination for which the weight of the mail exceeds three kilogrammes per mail or per day (when several dispatches are made in a day) and shall not be used for M bags.

**2** In the absence of a special agreement, items for transit à découvert weighing more than three kilogrammes per mail or per day (when several dispatches are made in a day), dispatched to a particular country of destination, shall be considered as missent items and the intermediate designated operator shall be authorized to claim from the dispatching designated operator the relevant charges calculated in accordance with article **27-106.1.5** for the entire quantity of mail concerned.

**3** The dispatching designated operator shall consult in advance the intermediate designated operators as to the suitability of using them for à découvert items to the destinations concerned. The dispatching designated operator shall notify the designated operators concerned of the date on which dispatch of mail in transit à découvert commences, providing at the same time the estimated annual volumes for each final destination. Unless otherwise agreed bilaterally by the designated operators concerned, this notification shall be renewed if, in a given statistical period (May or October) there were no à découvert items observed and, consequently, no account had to be issued by the intermediate designated operator. Items in transit à découvert shall, as far as possible, be sent to a designated operator which makes up mails for the designated operators of destination.

**4** For items sent à découvert without prior consultation to an intermediate designated operator, destined for countries other than those notified by the intermediate country in the Transit Compendium, the charge provided for in article **27-106.1.5** may be applied.

**5** A découvert items shall be subject to the payment of transit charges calculated in accordance with article **27-106**.

**6** In the absence of a special agreement, all items posted on board a ship and not included in a closed bag mentioned in article 11 of the Convention shall be handed over à découvert by the ship's agent direct to the post office at the port of call, whether these items have been stamped on board or not.

- 7 In the absence of special agreement, items for transit à découvert shall be bundled as follows:
- 7.1 priority items sent by air and airmail items shall be made up in bundles identified by CN 25 labels;
- 7.2 priority items sent by surface, non-priority items and surface items shall be made up in bundles identified by CN 26 labels.
- 8 When their number and make-up permit, items sent à découvert to a designated operator shall be separated by country of destination. They shall be made up in bundles labelled with the name of each country in roman letters.
- 9 Where format-separated mail is exchanged, à découvert items shall be placed in a receptacle of the corresponding format. If a CN 65 bill is issued, the volumes may be indicated as separated by format.

#### Article 17-118

##### Routes and methods of transmission of insured items

- 1 By means of the CN 27 tables received from the others concerned, each designated operator shall decide on the routes to be used for the transmission of its insured items.
- 2 In the relations between countries separated by one or more intermediate services, insured items shall follow the most direct route. Nevertheless, the designated operators concerned may arrange with one another to provide for transmission à découvert by circuitous routes where the transmission by the most direct route would not carry with it a guarantee of liability over the whole distance.
- 3 Subject to service requirements, insured items may be dispatched in closed mails. They may also be handed over à découvert to the first intermediate designated operator if that designated operator is able to arrange for their transmission under the conditions prescribed in the CN 27 tables.
- 4 Designated operators of origin and destination may agree among themselves to exchange insured items in closed mails by means of the services of one or more intermediate countries, whether these participate in the insured items service or not. The intermediate designated operators shall be advised at least one month prior to commencement of the service.

#### Article 17-119

##### Make-up of mails

- 1 Making up of mixed mail bundles
- 1.1 All ordinary items which can be bundled shall be classified by size (small letters – P, large letters – G, **bulky** letters – E and **small packets** – E) or based on their contents (letters and postcards, newspapers and periodicals, AO items, **small packets**). The items shall be arranged with the addresses facing the same way.
- 1.2 The bundles shall be distinguished by labels bearing the indication in roman letters of the office of destination or of the reforwarding office of the items enclosed in the bundles. CN 25 labels shall be used in the case of priority items sent by air or airmail items and CN 26 labels in the case of priority items sent by surface, non-priority items or surface items.
- 1.3 Unpaid or underpaid items shall be made up in separate bundles which shall be placed in the bag containing the letter bill. The bundle label shall be impressed with the T stamp.
- 1.4 The thickness of the bundles of small letters (P) shall be limited to 150 mm after bundling. The weight of bundles of large letters (G) and bulky letters (E) or **small packets** (E) may not exceed 5 kilogrammes.
- 1.5 If priority items and letters show signs of opening, deterioration or damage, a note of the fact shall be made on them and they shall be marked with the date-stamp of the office which discovered it. In addition, when the security of the contents so requires the items shall be placed if possible in a

transparent envelope or in a fresh packing on which the details appearing on the envelope shall be reproduced.

2 Making up of mixed mail receptacles

2.1 Mails, including those made up solely of empty bags, shall be contained in bags the number of which shall be kept to the strict minimum. The bags shall be in good condition to protect their contents. Each bag shall be labelled.

2.2 The bags shall be closed, sealed preferably with lead. The seals may also be made of light metal or plastic. The sealing shall be so done that it cannot be handled or tampered with without showing signs thereof. The impressions of the seals shall reproduce, in very legible roman letters, the name of the office of origin or an indication sufficient to identify that office. However, if the designated operator of origin so wishes, the impressions of the seals need only reproduce an indication of the name of the designated operator of origin. The designated operator of origin may also use numbered seals.

2.3 The bags shall be packed and closed in such a way as not to endanger the health of officials.

2.4 Except as noted in 2.4.1, for the make-up of airmails, bags either entirely blue or with wide blue bands shall be used. In the absence of special agreement between the designated operators concerned, the airmail bags shall also be used for priority mails. For making up surface mails or surface airlifted mails, surface bags of a colour other than that of the airmail bags (e.g. beige, brown, white, etc.) shall be used. Designated operators of destination must, however, check all the bag labels in order to ensure correct processing.

2.4.1 Designated operators that use a common mailbag for multiple purposes may use these bags in the make-up of mails for all the above categories as long as the bag labels correctly identify the category of mail contained within such mailbags.

2.5 For receptacles containing exclusively **tracked** items, designated operators may agree on a bilateral basis to use special airmail bags or receptacles such as trays, etc., with markings that distinguish them as containing **tracked** items only.

2.6 The bags shall show legibly in roman letters the office or country of origin and bear the word "Postes" (Post) or any other similar expression distinguishing them as postal dispatches.

2.7 When the number or volume of the items necessitates the use of more than one bag, separate bags shall, as far as possible, be used:

2.7.1 for letters and postcards;

2.7.2 where applicable, for the newspapers and periodicals mentioned in article **17-129.7** and **9**;

2.7.3 for other AO items;

2.7.4 where applicable, for small packets; the labels on these bags shall bear the words "Petits paquets".

2.8 The packet or receptacle of registered or insured items shall be placed in one of the receptacles of letters or in a separate receptacle; the outer receptacle shall invariably bear a red label. When there are several receptacles of registered or insured items, all the receptacles must bear a red label.

2.9 Designated operators may agree on a bilateral basis not to insert any ordinary mail in the bag containing the letter bill, but to keep the receptacle purely for registered, insured and **tracked** items.

2.10 The weight of each bag shall in no circumstances exceed 30 kilogrammes.

**2.11 In order to be exempt from terminal dues payments, the exempt items referred to in article 30-101.3 (other than IBRS items) shall be packed in separate receptacles.**

3 Making up of format-separated mails

3.1 Designated operators exchanging format-separated mails under the conditions specified in article **17-116** may make up mail in bags or other receptacles, such as trays.

3.2 In case of the use of bags, the rules in paragraph 1 for making up bundles shall apply.

3.3 In case of the use of trays, bundling shall not be required.

- 4 Making up of packets and envelopes
- 4.1 In the absence of special agreement, small mails shall simply be wrapped in strong paper so as to prevent any damage to the contents.
- 4.2 The packets shall be tied with string and sealed with lead, light metal or plastic seals.
- 4.3 When the packets contain only ordinary items they may be closed by means of gummed seals bearing the printed indication of the office of origin.
- 5 Sacs collecteurs. Conveyance in containers
- 5.1 As far as possible, and with the exception of bulk mails, offices of exchange shall include in their own mails for a particular office all the small mails (packets or receptacles) which reach them for that office.
- 5.2 Where warranted by the number of light-weight receptacles, envelopes or packets to be conveyed on the same sector, sacs collecteurs shall be made up, wherever possible. They shall be made up by the post offices responsible for handing over airmails to the airline undertaking the conveyance. The labels of sacs collecteurs shall bear in bold letters the indication "Sac collecteur". The designated operators concerned shall agree as to the address to be put on the labels.
- 5.3 For conveyance purposes, mails may be placed in containers, subject to special agreement between the designated operators concerned on the methods of using the containers.
- 5.4 Designated operators may agree on a bilateral basis to exchange mails in receptacles other than bags (e.g. trays, pallets, etc.) when it is established that this will ease the handling process and safeguard the condition of the mail.
- 6 Making up of mails provided for in paragraphs 2 and 3 to prevent damage to registered/insured items.**
- 6.1 In order to prevent damage during conveyance to envelope-shaped and light registered/insured letter-post items, it is recommended that designated operators make up separate bags in accordance with the shape, size and weight of each such item, if the mail volume is sufficient to do so.**

#### Article 17-120

##### Letter bills

**0bis Designated operators may agree bilaterally or multilaterally that the letter dispatches they exchange need not be accompanied by a paper letter bill, since PREDES version 2.1 messages provide similar information electronically.**

**1 In the absence of such agreement, a CN 31 letter bill shall accompany each dispatch except for bulk mail dispatches and for dispatches intended for direct access to the domestic systems. The letter bill shall be placed in an envelope marked in bold letters "Feuille d'avis" (Letter bill). This envelope shall be pink if the mail contains insured items and blue if it does not. It shall be fastened to the outside of the packet or receptacle of registered items. If there are no registered items, the envelope shall whenever possible be attached to a bundle of ordinary items. If the final receptacle contains only the CN 31 letter bill, it shall be sent as "Exempt".**

**2 Bulk mail dispatches shall be accompanied by a CN 32 letter bill, as provided for in article 17-127.**

**3 For dispatches intended for direct access to the domestic system, designated operators shall agree among themselves on the documents to be used. This documentation may be a modified CN 31 letter bill or another mutually acceptable document, such as a domestic mail statement.**

**4 Except for the cases provided for in articles 17-123.1 and 17-124.2, where a dispatch does not contain any registered or insured mail, designated operators may agree bilaterally to attach the letter bill to the outside of one of the receptacles of the dispatch in a strong waterproof pouch which will withstand the rigours of transportation.**

5 (Deleted.)

6 The dispatching office shall complete the letter bill with all the details called for, taking the following into account:

6.1 Heading: dispatching offices of exchange shall sequentially number the letter bills within a mail dispatch series, with the numbering re-initialized annually at the beginning of the calendar year. Each mail dispatch shall thus bear a separate mail dispatch number where each successive mail dispatch number is incremented by 1 in a rising sequence and is consistent with the incrementing dispatch date. In the case of the first mail dispatch of each calendar year, the letter bill shall bear, in addition to the serial number of the dispatch, that of the last dispatch of the preceding year. If a dispatch series is cancelled, the dispatching office shall notify the destination office of exchange by means of a verification note.

6.2 Table 1, receptacle labels: Designated operators may agree that only red-label receptacles shall be entered here.

6.3 Table 1, receptacle types: The number of receptacles used by the dispatching designated operator and the number of receptacles returned to the designated operator of destination shall be entered in this table. Where applicable, the number of empty bags belonging to a designated operator other than that to which the mail is addressed shall be shown separately with a reference to that designated operator. When two designated operators have agreed to enter red-label bags only (6.2), the number of receptacles used for the make-up of the mail or the number of empty bags belonging to the designated operator of destination shall not be given.

6.4 Table 2: Where designated operators have agreed according to the conditions described in article **17-116** to separate outbound international mail by format, the number of receptacles and the weight by format shall be reported in table 2. Otherwise, the mail subject to terminal dues shall be reported in table 2 as mixed mail.

6.5 Table 3: The total number of registered items, both those individually listed and those advised in bulk, and the total number of insured items included in the mail shall be entered in table 3. Where **tracked** items are also included in the mail, the total number of such items shall also be entered in table 3.

6.6 The number of registered items and insured items relating to the postal service and the number of items returned to origin, exempt from terminal dues, shall be entered in table 3 in the column headed "Number of items exempt from additional terminal dues".

6.7 Table 4: When IBRS items are contained in the dispatch, the IBRS information shall be completed, indicating separately the number and gross weight of IBRS receptacles and net weight of bundles and the total number of IBRS items. The presence of ordinary or registered COD items shall be indicated in the corresponding box both in the case of individual entry and that of bulk entry.

#### Article **17-121**

##### Transmission of registered items

**Obis** Where designated operators have agreed bilaterally or multilaterally not to send a paper letter bill, they shall not be required to send paper CN 33 lists for registered items, as PREDES version 2.1 messages provide similar information electronically.

1 In the absence of an agreement to send information only electronically, registered items shall be transmitted entered individually on one or more CN 33 special lists as a supplement to the letter bill. The lists in question shall show the same mail number as that shown on the letter bill of the corresponding mail. When several special lists are used they shall also be numbered in their own series for each mail. The total number of registered items included in the mail shall be entered in table 3 of the letter bill.

2 Designated operators dispatching more than 100,000 registered items per year to a destination designated operator must dispatch all of their registered items under a mail dispatch series exclusively for registered items, i.e. by dispatch mail subclass UR.



3 Designated operators may agree to the bulk advice of registered items. In this case, the total number of registered items included in the mail shall still be entered in table 3 of the letter bill. **In the absence of an agreement to send information only electronically**, each receptacle containing registered items, including the one in which the letter bill is inserted shall contain a CN 33 special list showing, in the space provided, the total number of registered items it contains.

4 Registered items and, where applicable, the special lists provided under 1 shall be made up in one or more separate packets or receptacles which shall be suitably wrapped or closed and sealed with or without lead so as to protect the contents. Receptacles and packets made up in this way may be replaced by heat-sealed plastic bags. The registered items shall be arranged in each packet according to their order of entry. When one or more special lists are used, each of them shall be tied up with the registered items to which it refers and placed on top of the first item in the bundle. When several receptacles are used each of them shall contain a special list detailing the items which it contains.

5 Subject to agreement between the designated operators concerned and when their volume permits, registered items may be enclosed in the special envelope containing the letter bill. This envelope shall be sealed.

6 In no case may registered items be included in the same bundle as unregistered items.

7 As far as possible a single receptacle shall not contain more than 600 registered items.

8 If registered COD items are entered on a CN 33 special list the word "Reimbursement" (COD) or the abbreviation "Remb" or "COD" should be written opposite the appropriate entry in the "Observations" column.

9 Registered M bags shall be entered as a single item on a CN 33 special list. The letter M shall be added in the "Observations" column.

10 Designated operators may establish systems that generate electronic transmission confirmation data, and agree to exchange such data with the designated operators of origin of the items.

#### Article 17-122

##### Transmission of insured items

**Obis** Where designated operators have agreed bilaterally or multilaterally not to send a paper letter bill, they shall not be required to send paper CN 16 lists for insured items, as PREDES version 2.1 messages provide similar information electronically.

1 **In the absence of an agreement to send information only electronically**, the dispatching office of exchange shall enter the insured items on CN 16 special lists with all the details for which the form provides. In the case of COD items, the word "Reimbursement" (COD) or the abbreviation "Remb" or "COD" shall be entered opposite the appropriate entry in the "Observations" column.

2 Insured items shall be made up with the special list or lists into one or more special packets tied to one another. The latter shall be wrapped in strong paper, tied on the outside and sealed with fine wax on every fold by means of the seal of the dispatching office of exchange. These packets shall be endorsed "Valeurs déclarées" (Insured items).

3 Instead of being made up in a packet, the insured items may be placed in a strong paper envelope, closed by means of wax seals.

4 The packets or envelopes of insured items may also be closed by means of gummed seals bearing the printed indication of the designated operator of origin of the mail. An impression of the date-stamp of the dispatching office shall be added to the gummed seal in such a way that it appears partly on the seal and partly on the wrapping. This method of closure cannot be used if the designated operator of destination of the mail requires the packets or envelopes of insured items to be sealed with wax or lead.

5 If their number or volume makes it necessary, insured items may be placed in a bag suitably closed and sealed with wax or lead.

6 The packet, envelope or receptacle of insured items shall be enclosed in the packet or receptacle containing registered items or, failing those, in the packet or receptacle which would normally contain registered items. When the registered items are enclosed in more than one receptacle, the packet, envelope or receptacle of insured items shall be placed in the bag to the neck of which the special envelope containing the letter bill is attached.

7 The outer bag containing insured items must be in perfect condition and the edge of its mouth shall be provided, if possible, with piping which makes it impossible to open the bag illicitly without leaving visible traces.

8 The total number of insured items included in the mail shall be entered in table 3 of the letter bill.

9 Designated operators may establish systems that generate electronic transmission confirmation data, and agree to exchange such data with the designated operators of origin of the items.

#### Article 17-123

##### Transmission of money orders and unregistered COD items

1 Postal money orders sent unenclosed shall be made up in a separate bundle and placed in a packet or receptacle containing registered items or, if there is one, in the packet or receptacle with insured items. The same shall apply to unregistered COD items. If the mail contains neither registered nor insured items, the money orders and any unregistered COD items shall be placed in the envelope containing the letter bill or bundled with the latter.

2 The presence of unregistered COD items shall be indicated in **the relevant section of the CN 31 or CN 32 letter bill, as appropriate.**

#### Article 17-124

##### Transmission of **tracked** items

1 Designated operators dispatching more than 100,000 **tracked** items per year to a destination designated operator shall dispatch all of their **tracked** items under a mail dispatch series exclusively for **tracked** items, i.e. by dispatch mail subclass UX. If designated operators dispatch less than 100,000 **tracked** items per year to a destination designated operator, **tracked** items shall be made up in separate bundles bearing labels with the **“Tracked”** symbol provided for in article 18-102. These bundles shall, wherever possible, be placed in separate receptacles. Where this is not possible, the bundles of **“Tracked”** items shall be placed in the receptacle containing the letter bill.

2 Registered **tracked** items shall be arranged in their order among the other registered items. The word **“Tracked”** shall be written opposite the appropriate entries in the “Observations” column on the CN 33 special lists. A similar indication shall be made in the “Observations” column of the CN 16 special lists opposite the entries of insured items for **tracked delivery.**

#### Article 17-125

##### Transmission of IBRS items

1 IBRS items shall be made up in separate bundles. The CN 25 bundle label shall bear the indication “IBRS” and the number of items. The bundles of IBRS items shall be placed in the receptacle containing the letter bill. However, they must not be placed in the inner packet or receptacle of registered items.

1.1 If the mail contains more than 2 kilogrammes of IBRS items, these items shall be placed in a separate receptacle. The receptacle label shall bear an indicator denoting IBRS.

**2 IBRS items shall not be transmitted in bulk mail dispatches.**

3 The IBRS items contained in a mail shall be indicated in table 4 of the CN 31 letter **bill** as follows:

- 3.1 for items in separate receptacles, write, on the "IBRS bags" line, the number and weight of the receptacles and the number of items;
- 3.2 for items sent with the rest of the mail, write, on the "IBRS bundles" line, the number and weight of the bundles and the number of **items**.

**Article 17-126**

## Transmission of M bags

1 Every M bag shall be furnished with a CN 34, CN 35 or CN 36 label to which a large letter M has been added in the upper right-hand corner. This label shall be additional to the address label provided by the sender. M packets, which are not packed in a bag, must be inserted in a "sac collecteur M" for transmission.

**Article 17-127**

## Transmission of bulk items

1 **When the payment specific to bulk mail applies (see article 30-113.1 and 2)**, the designated operator of origin may send bulk items in specific **dispatches**, accompanied by the CN 32 letter bill.

2 The CN 32 letter bill shall include the number and weight of the items.

2.1 For designated operators that have so agreed, the number and weight of the items may be indicated according to their format.

3 The provisions of article **17-120** shall apply by analogy to CN 32 letter **bills**.

**Article 17-128**

## Transmission of items intended for direct access to the domestic service

1 Items intended for direct access to the domestic service of a designated operator, under the terms of article **28.4** of the Convention, shall be sent in specific mails, accompanied by an appropriately modified CN 31 letter bill, unless other documentation is specifically required by the designated operator of destination or origin owing to national legislation.

2 The modified CN 31 letter bill or other documentation required by the designated operator of destination shall include the number, weight and, if applicable, category of the items or other additional information required by the designated operator of destination.

3 The provisions of article **17-120** shall apply by analogy to CN 31 letter bills or other documentation required by the designated operator of destination.

4 Designated operators have to agree bilaterally to alternative forms and procedures for the transmission of items intended for direct access to the domestic service if this is required by the designated operator of destination.

**Article 17-129**

Labelling of mails

- 1 The labels of the receptacles shall be made of sufficiently rigid canvas, or plastic, of strong cardboard, of parchment, or of paper glued to wood. For bags, they shall be provided with an eyelet.
- 2 The layout and text for labels on all receptacle types shall comply with UPU Technical Standard S47 and/or conform to the templates annexed hereto and mentioned below:
  - 2.1 CN 34 in the case of surface receptacles;
  - 2.2 CN 35 in the case of airmail receptacles;
  - 2.3 CN 36 in the case of surface airlifted (S.A.L.) receptacles.
- 3 The following label characteristics shall apply to letter mail:
  - 3.1 When format separation is performed, the labels shall display the corresponding code from UPU code list 120 (format of contents). Trays can correspond only to formats "P" or "G".
  - 3.2 Where a code exists for the receptacle contents, the labels shall display a code from UPU code list 188 (special content codes).
  - 3.3 A special content descriptor taken from UPU code list 176 shall be displayed on the label if one of the following values is applicable (one is displayed at most, based on the order of appearance below):
    - 3.3.1 "Accès Direct" (Direct Access) when the receptacle contains only items of this category;
    - 3.3.2 "IBRS" when the receptacle contains some items of this category;
    - 3.3.3 "**Tracked**" when the receptacle contains some **tracked** items;
    - 3.3.4 "PRIOR" when the receptacle contains priority mail conveyed by surface;
    - 3.3.5 "Journaux" (Newspapers) when the receptacle contains only items of this category;
    - 3.3.6 "Ecrits périodiques" (Periodicals) when the receptacle contains only items of this category;
    - 3.3.7 "Petits paquets" (Small packets) when the receptacle contains only items of this category.
  - 3.4 The label of the receptacle containing the letter bill shall bear a bold letter "F" in the zone defined for this purpose.
  - 3.5 The label of receptacles containing only items exempted from terminal dues shall display "Exempt" in bold characters.
  - 3.6 The receptacle gross weight is shown on the label, rounded up to the nearest hectogramme when the fraction of the hectogramme is equal to or greater than 50 grammes and rounded down to the nearest hectogramme otherwise.
  - 3.7 The label shall include a barcoded receptacle identifier in compliance with UPU Technical Standard S9.
- 4 In the service between neighbouring offices, strong paper labels may be used. These shall, however, be strong enough to withstand the various handling processes the mails undergo during transmission.
- 5 Receptacles containing registered items, insured items, and/or the letter bill shall be provided with a vermilion red label.
  - 5.1 However, designated operators may agree, in their bilateral relations, to dispense with the use of red labels in favour, for security reasons, of any mutually agreed alternative method.
- 6 A white label shall be used for receptacles containing only ordinary items of the following categories:
  - 6.1 priority items (mixed or format separated);
  - 6.2 letters and postcards dispatched by surface and air;
  - 6.3 mixed items (letters, postcards, newspapers and periodicals, and other items).

- 7 A white label shall also be used for receptacles containing newspapers posted in bulk by publishers or their agents and dispatched by surface only, except those returned to sender.
- 8 A light blue label shall be used for receptacles containing only ordinary items of the following categories:
- 8.1 non-priority items (mixed or format separated);
  - 8.2 printed papers;
  - 8.3 items for the blind;
  - 8.4 small packets.
- 9 A light blue label shall also be used for receptacles containing periodicals other than those mentioned under 7.
- 10 A violet label shall also be used for receptacles containing only ordinary bulk items.
- 11 A white label with a violet-striped border shall be used for receptacles containing Direct Access items.
- 12 A green label shall be used for receptacles containing only empty bags being returned to origin.
- 13 A white label may also be used in conjunction with a 5 x 3 cm tab in one of the colours mentioned under 5 to 9 and 12. A blue or violet label may also be used in conjunction with a similar tab in red.
- 14 Items containing admissible infectious substances shall be placed in separate receptacles. Each receptacle shall be provided with an identification label, similar in colour and form to the label provided for in article **19-005** but made bigger to make room for affixing an eyelet.
- 15 If a sac collecteur is used, its weight shall not be taken into account in the receptacle gross weight. The indication of the weight shall be replaced by the figure 0 for receptacles weighing less than 50 grammes.
- 16 Intermediate offices shall not enter any serial number on the labels of receptacles or packets of closed mails in transit.
- 17 Origin designated operators that use numbered seals in closing receptacles may display the seal number on the receptacle labels.

#### Article 17-130

##### Use of barcodes

- 1 (Deleted.)
- 2 (Deleted.)
- 3 Where a designated operator of origin applies a **13-character** barcoded identifier to letter-post items in the international service, only one such unique identifier shall be applied. This identifier shall conform to UPU Technical Standard S10 and shall be encoded on the item in both human-readable and barcoded form, as prescribed in the standard.
- 4 Originating, transit or destination designated operators may apply additional barcodes that do not use an S10 format, provided that the additional barcodes do not obscure any part of the sender's address or return address, or any part of the S10 item identifier applied by the originating designated operator.
- 5 A transit or destination designated operator may apply an item identifier that is compliant with **UPU** Technical Standard S10 and identical in data content to the one applied by the originating designated operator. In this case, it is not necessary to obliterate or remove the subsequent S10 identifier applied if the item is forwarded to another designated operator or returned to the originating designated operator.

6 If a transit or destination designated operator applies an S10-format barcode that differs in data content from the originally applied S10 identifier, this subsequent S10-format barcode shall be obliterated or removed if the item is forwarded to another designated operator or returned to the originating designated operator.

**Article 17-131**

**Electronic exchanges to support mail processes**

**0bis** Designated operators shall exchange pre-dispatch information and dispatch receipt information in accordance with PREDES and RESDES messages for all letter-post dispatches, with the following characteristics:

**0bis.1** PREDES messages shall include item-level data where identified items are present. They shall also provide the format-of-contents information where relevant.

**0bis.2** RESDES messages shall provide the receptacle type and, if relevant, the format-of-contents information.

**0bis.3** In accordance with article 08-002, designated operators shall equally ensure that the S10 item identifiers of all items containing goods are included in the PREDES electronic message (UPU EDI Messaging Standard M41) sent to the designated operator of destination.

**0ter** Designated operators shall provide track-and-trace information with respect to the outward and inward letter-post items on their national territory via EMSEVT V3 messages as described in UPU standard M40 in the following cases:

**0ter.1** For tracked items, the exchange of EMSEVT shall be mandatory with all partners.

**0ter.2** For registered and insured items, the exchange of EMSEVT shall be mandatory only within the supplementary remuneration programme, for those designated operators that participate fully in the programme according to articles 30-104 and 30-105. Data exchange with other participants shall be optional.

**1** The following characteristics apply to the EMSEVT messages sent:

**1.0bis** The provision of the following EMSEVT tracking events is mandatory, when applicable to an item: EMC, EMD, EMH and EMI. Other EMSEVT V3 events are optional.

**1.0ter** When the tracking events listed below are provided, certain data elements optional in the M40 standard are mandatory, as shown in the last column:

<i>Event</i>	<i>Description</i>	<i>Additional mandatory data element(s)</i>
<b>EMA</b>	<b>Posting/collection</b>	<b>office-of-origin-ID</b>
<b>EMB</b>	<b>Arrival at outward office of exchange</b>	<b>outward-OE</b>
<b>EMC</b>	<b>Departure from outward office of exchange</b>	<b>outward-OE</b>
<b>EMD</b>	<b>Arrival at inward office of exchange</b>	<b>receiving-OE</b>
<b>EDB</b>	<b>Item presented to import Customs</b>	<b>receiving-OE</b>
<b>EME</b>	<b>Held by Customs</b>	<b>receiving-OE import-customs-retention-reason</b>
<b>EDC</b>	<b>Items returned from import Customs</b>	<b>customs-return-point-ID</b>
<b>EMF</b>	<b>Departure from inward office of exchange</b>	<b>inward-OE</b>
<b>EDH</b>	<b>Item arrival at collection point for pick-up</b>	<b>collection-point-ID</b>
<b>EMG</b>	<b>Arrival at delivery office</b>	<b>delivery-office-ID</b>
<b>EMH</b>	<b>Attempted/unsuccessful delivery</b>	<b>delivery-office-ID unsuccessful-delivery-action-</b>

<b>Event</b>	<b>Description</b>	<b>Additional mandatory data element(s)</b>
		<b>taken unsuccessful-delivery-reason</b>
<b>EMI</b>	<b>Final delivery</b>	<b>delivery-office-ID</b>
<b>EMJ</b>	<b>Arrival at transit office of exchange</b>	<b>transit-OE</b>
<b>EMK</b>	<b>Departure from transit office of exchange</b>	<b>transit-OE</b>

**Article 17-132**

## Routeing of mails

- 1 Closed mails including closed transit mails shall be forwarded by the most direct route possible.
- 2 When a mail consists of several receptacles, these shall as far as possible remain together and be forwarded by the same transportation.
- 3 The designated operator of origin may consult with the designated operator providing the closed transit service regarding the route to be followed by the closed mails which it regularly dispatches. The designated operator of the country of origin shall not enter information about the routeing to be followed by the designated operator providing the closed transit on the delivery bill (CN 37, CN 38, CN 41 or CN 47) or electronic equivalent, nor on the CN 34, CN 35 or CN 36 labels. The route information appearing on the delivery bill (CN 37, CN 38, CN 41 or CN 47) or electronic equivalent, and on the CN 34, CN 35 or CN 36 labels shall be limited to the route intended to transport the mails from the designated operator of origin to the designated operator providing the closed transit.
- 4 Mail in closed transit shall, in principle, be forwarded by the same transportation used by the designated operator of the country of transit for the transport of its own mails. If, on a regular basis, there is insufficient time between arrival of the mail in closed transit and transport departure, or the volumes regularly exceed the capacity of a flight, the designated operator of the country of origin shall be so informed.
- 5 In the event of a change in a route for the exchange of closed mails established between two designated operators via one or more designated operators providing closed transit, the designated operator of origin of the mail shall inform those designated operators providing closed transit of the change of route.

**Article 17-133**

## Direct transshipment of airmails and of surface airlifted (S.A.L.) mails

- 1 Direct transshipment of closed mail at the transit airport shall preferably be performed between flights operated by the same airline but, where this is not possible, it may be performed between flights operated by different airlines. The designated operator of origin must make prior arrangements with the airline or airlines involved. The designated operator of origin may request one airline to make the arrangements with the other airline but must have confirmation that such arrangements, including ground handling and accounting, are in place. The use of the additional CN 42 label should also be determined.
- 2 In case of direct transshipment, the designated operator of origin shall enter information about the transshipment airport on the delivery bill (CN 38, CN 41, CN 47) or electronic equivalent, and on the receptacle label (CN 35, CN 36).
- 3 If mails documented for direct transshipment fail to connect with the scheduled flight at the transshipment airport, the designated operator of the country of origin shall ensure that the airline shall follow the arrangements in its agreement with the airline for direct transshipment referred to under 1 or shall contact the origin designated operator for instructions. Such arrangements for direct transshipment must include provision for later flights operated by the same airlines.

4 Mails transhipped directly at the transit airport either between flights operated by the same airline, or between flights operated by the different airlines, shall not be subject to transit charges between the designated operator at the transshipment airport and the origin DO.

5 In the cases referred to under 1 and where the designated operators of origin and of destination and the airline concerned agree in advance, the airline making the transshipment may prepare, if necessary, a special delivery bill to replace the original CN 38 or CN 41 bill. The parties concerned shall mutually agree on the relevant procedures and form in conformity with article **17-136**.

6 Where arrangements for direct transshipment are not possible, the designated operator of the country of origin may plan closed transit, in accordance with article **17-132**.

7 When surface mails from a designated operator are forwarded as closed transit by air by another designated operator, the conditions of such closed transit shall be covered by a special agreement between the designated operators concerned.

#### Article **17-134**

##### Preparation of trial notes

1 To determine the most favourable route and the transmission time for a mail, the office of exchange of origin may send to the office of destination of the mail a CN 44 trial note. This note shall be included in the mail and attached to the CN 31 or CN 32 letter bill, on which its presence shall be shown by a cross in the corresponding box. If the CN 44 form is missing when the mail arrives, the office of destination shall make out a duplicate. The trial note, duly completed by the office of destination, shall be returned by the quickest route (air or surface) either to the address specified or, if no address is given, to the office which prepared it.

2 To determine the most favourable route and the transmission time for items sent à découvert through the intermediary of a designated operator, the office of exchange of origin may send the designated operator of destination of such items a CN 44 trial note. This note shall be inserted in an envelope on which the indication "CN 44" shall be written in the top right-hand corner of the front. The trial note, duly completed by the designated operator of destination, shall be returned by the quickest route (air or surface).

3 Whenever the designated operator of destination observes serious delays and discovers a quicker route, it may prepare a CN 44 trial note and suggest the most favourable routing both for closed mails and for items sent à découvert through another designated operator. The designated operator of origin shall give careful consideration to the request of the office of destination.

#### Article **17-135**

##### Transfer of mails

1 All mail dispatches shall be handed over by designated operators in good condition. However, a dispatch may not be refused because of damage or theft.

2 Delivery bill information shall be prepared by the sending office and provided to the destination office as well as other parties, if any, involved in the transport of dispatches, including for bulk mail dispatches. The information shall preferably be provided electronically, using UPU **EDI Messaging Standards M10 (PRECON v1.1)** and **M48 (CARDIT v2.1)**; when this is not possible, the delivery bill information shall be shared via UPU forms.

3 The following rules apply to the exchange of electronic messages corresponding to delivery bill information:

3.1 A **CARDIT message** shall be sent by the origin designated operator.

3.2 The origin designated operator shall require the transport service to capture electronically the receipt of the mail and to send the data **using UPU EDI Messaging Standard M49 (RESBIT v1.1)** to confirm receipt of each receptacle of the consignment.



- 3.3 The origin designated operator shall require the transport service to capture electronically the handover of the mail, and to send a RESDIT “delivered” standardized message.
- 3.4 A PRECON standardized message shall be sent by the origin designated operator to the designated operator to which the consignment is addressed. This may take place even when there are no CARDIT/RESDIT exchanges in place with transport to this destination.
- 3.5 The designated operator taking receipt of the mail shall send a **RESCON message** to the origin designated operator, in order to acknowledge receipt of the mail.
- 3.6 In the case of air transport, the origin designated operator shall require the airline to store the CARDIT data and the RESDIT receipt and delivery events data in its system and to share the data with the associated entity responsible for ground services at the airports of origin, transit and destination.
- 3.7 In the event of an inquiry, the designated operators shall share the available information, including that received from the airline.
- 4 When UPU forms are used, the following delivery bill forms apply, depending on the type of mail and conveyance:
  - 4.1 CN 37 for surface mail (mail categories C and D) other than dispatches of empty bags;
  - 4.2 CN 38 for airmail (mail category A) other than dispatches of empty bags;
  - 4.3 CN 41 for surface airlifted (S.A.L.) mail (mail category B) other than dispatches of empty bags;
  - 4.4 CN 47 for dispatches of empty bags, for all mail categories.
- 5 The following rules apply to the generation of delivery bill forms:
  - 5.1 The dispatching office shall retain a copy of the delivery bill; if a transport service is involved, this service or an associated agent shall sign this copy as a receipt for the mails.
  - 5.2 A copy is sent to the destination office.
  - 5.3 If a transport service is involved, an additional copy is given to this service and shall be transported to the destination; this copy shall be retained by the transport service after being signed by the receiving office.
  - 5.4 In case of air transport, the two copies of the delivery bill that are transported to the destination office shall be inserted in a CN 45 envelope. These shall be conveyed in the aircraft’s flight portfolio or other special pouch in which the flight documents are kept. Upon arrival at the airport of offloading of the mails, the first copy, duly signed as a receipt for the mails, shall be kept by the airline which has carried the mails. The second copy shall accompany the mails to the post office to which the delivery bill is addressed.
  - 5.5 Designated operators that have developed an electronic receipting system for mail dispatches that they receive from transport carriers may use the receipting records of that system instead of the delivery bill process described under 5.4. In lieu of the signed copy of the delivery bill forms, the receiving designated operator can provide the transport carrier with a printed copy of the electronic receipting record for that dispatch.
  - 5.6 When the transfer of mails between two corresponding offices involves a sea service, an additional copy shall be sent to destination, preferably electronically, or otherwise via airmail, in order to pre-advise destination.
  - 5.7 If delivery bills are produced by electronic means and transmitted online to a transport service or a cooperating agent without the direct participation of the designated operator’s staff and printed out there, the designated operators or companies involved in the transport operations may agree that a signature shall not be required on the delivery bills.

**Article 17-136**

Preparation and checking of CN 37, CN 38 or CN 41 delivery bills

1 The delivery bills shall be completed, in accordance with their layout, on the basis of the particulars appearing on the receptacle labels or with the address. The total number and total weight of the receptacles (including receptacles that are exempt from terminal dues) and items in each mail shall be entered in bulk by category. Designated operators of origin may elect to enter each receptacle individually should they wish to do so. However, any intermediate or transit country must list each transit receptacle individually, maintaining the designated operator of origin, office of destination, dispatch and receptacle number indicated by the designated operator of origin. The six-character IMPC code identifying the origin and destination of the receptacle shall be recorded in columns 2 and 3 respectively. The number and weight of receptacles bearing red labels shall be shown separately; they shall be indicated by an "R" in the "Observations" column of the delivery bill.

2 The presence of priority surface mails shall be indicated by the entry "PRIOR" in the "Observations" column of the CN 37 bill.

3 Mails included in a sac collecteur shall also be entered individually on the CN 38 delivery bill, with an indication that they are so included.

4 Any intermediate office or office of destination which notices errors in the entries on the CN 38 or CN 41 delivery bill shall immediately correct them. It shall report them by CN 43 verification note to the last dispatching office of exchange and to the office of exchange which made up the mail. Designated operators may agree to make systematic use of electronic mail or any other appropriate means of telecommunication for reporting irregularities.

5 When the mails forwarded are inserted in containers sealed by the postal service, the serial number and the number of the seal of each container shall be entered in the column of the CN 37, CN 38 or CN 41 bill reserved for that purpose.

**Article 17-137**

Missing CN 37, CN 38, CN 41 or CN 47 delivery bill

1 Designated operators may agree to make systematic use of electronic mail or any other appropriate means of telecommunication for settling cases where the delivery bill is missing.

2 An electronically transmitted delivery bill form, duly signed by the sending designated operator, printed by the carrier at destination or at an intermediate location, shall be considered valid by the destination office.

3 In the absence of the delivery bill or its electronic equivalent, the receiving office shall prepare one as a substitute in accordance with the load received and have the carrier countersign it. This substitute delivery bill may be sent to the dispatching office as an attachment to a CN 43 verification note or be kept in case of later disputes over the mail concerned.

4 If a CN 46 substitute delivery bill prepared by the carrier is received by the destination office in place of the original delivery bill, this substitute bill shall be accepted. This fact may be reported to the office of origin by means of a CN 43 verification note, accompanied by this CN 46 substitute delivery bill.

5 If a CN 43 verification note is raised and if the airport of loading cannot be determined, this verification note shall be sent straight to the office of dispatch of the mail for it to forward the note to the office through which the mail transited.

**Article 17-138**

## Checking of mails

- 1 Every office receiving a mail shall check:
  - 1.1 the origin and destination of the receptacles making up the mail and entered on the delivery bill;
  - 1.2 the sealing and make-up of the bags bearing red labels;
  - 1.3 the accuracy of the information on the delivery bill.
- 2 The weight entered on the CN 34, CN 35 or CN 36 label shall be checked by sampling or systematically. The data given by the office of origin shall be accepted as valid if they differ from the weights or from the number of items established:
  - 2.1 by 200 grammes or less in the case of receptacles of surface mails or surface airlifted (S.A.L.) mails;
  - 2.2 by 100 grammes or less in the case of receptacles of airmails, priority mails or bulk mails;
  - 2.3 by 100 grammes or less or 20 items or fewer in the case of IBRS items.
- 3 When an intermediate office or office of destination establishes that the difference between the actual weight and the weight recorded of a receptacle or the difference between the actual number or weight and the number or weight recorded of IBRS items exceeds the limits provided for under 2.1, 2.2 or 2.3, as the case may be, it shall amend the receptacle label and the delivery bill. It shall immediately notify the dispatching office of exchange and when appropriate the last intermediate office of exchange of the mistake by CN 43 verification note or by means of an agreed reconciliation process.
- 4 In format-separated dispatch, when an office of destination establishes a discrepancy between the actual format and the format recorded on a receptacle label, it shall immediately notify the dispatching office of exchange of the mistake by CN 43 verification note or by means of an agreed reconciliation process. If there is no letter in a receptacle complying with the announced format definition, the weight of the receptacle shall either be kept in the announced format or transferred to the most correct format according to weight. Where sampling is carried out, the same procedure shall be followed for both receptacles sampled and those that are not sampled for an entire accounting year.
- 5 When an intermediate office receives a mail in bad condition, it shall check the contents if it thinks that these have not remained intact. It shall put it in new packing just as it is. This office shall copy the particulars from the original label on to the new one and apply to the latter an impression of its date-stamp, preceded by the endorsement "Remballé à ..." (Repacked at ...). It shall make out a CN 43 verification note and insert one copy thereof in the repacked mail.
- 6 Upon receipt of a mail, the office of exchange of destination shall proceed as follows:
  - 6.1 It shall check whether the mail is complete and has arrived in the sequence in which it was dispatched.
  - 6.2 It shall check whether the entries on the letter bill and, where applicable, on the CN 16 dispatch lists and the CN 33 special lists are correct.
  - 6.3 It shall satisfy itself that there is no irregularity in the external condition of the outer receptacle and of the packet, envelope or inner receptacle containing insured items.
  - 6.4 It shall check the number of insured items and inspect them individually, inter alia in respect of weight, seals and marks, and verify that COD items are properly marked as such and accompanied by the relevant payment forms.
  - 6.5 It shall ensure that **tracked** items sent in special receptacles or in the receptacle containing the letter bill are immediately inserted into the domestic system for distribution and delivery as soon as possible.
  - 6.6 If a mail or one or more receptacles thereof are missing or mail is received in excess, the facts shall be immediately established by two officials. These shall make the necessary corrections on the letter bills or special lists. They shall take care to cross out or make additions to any incorrect entries in

- such a way as to leave the original entries legible. Unless there is an obvious error the corrections shall be accepted in preference to the original statement.
- 6.7 The procedure provided for in 6.6 shall also apply in the case of any other irregularity such as insured items, registered items and barcoded **tracked** items missing or received in excess, or a missing letter bill or special list.
- 6.8 Designated operators may agree to substitute procedures provided in 6.6 and 6.7 with information sent electronically concerning inward receipt (RESDES message, EMSEVT event EMD) and delivery (EMSEVT events EMH/EMI). Designated operators may also agree to substitute the paper-based reporting of irregularities (CN 43 verification notes) with an agreed volume (weight and item count) reconciliation process using electronically captured information.
- 6.9 If the letter bill or a special list is missing the inward office shall, in addition, prepare a substitute letter bill or special list or make a precise note of the insured items, registered items or **tracked** items received. Designated operators may agree to make systematic use of electronic pre-advice (PREDES/RESDES messages) for settling cases where the letter bill or a special list is missing, but where the dispatch weight and the number of insured, registered or **tracked** items recorded by the inward office correspond to the information received electronically. In such cases the inward designated operator may decide not to prepare a substitute letter bill and will not issue a CN 43 verification note.
- 7 **Additional information regarding bulk mail dispatches**
- 7.1 A CN 43 verification note shall be sent to the designated operator of origin, accompanied by a replacement CN 32 letter bill that shall include the details of the bulk items received in the following cases:
- 7.1.1 the designated **operators** of origin **and destination have agreed to make up bulk mail dispatches, but the designated operator of origin** sends bulk items in other **dispatches**;
- 7.1.2 the bulk **mail dispatches** are not accompanied by a CN 32 letter bill;
- 7.1.3 the designated operator of destination receives unreported bulk items for which the specific **remuneration** is applied with immediate effect; in this case, the designated operator of destination shall transmit the CN 43 and CN 32 forms **preferably** by telecommunication (**fax or other electronic means**) to the designated operator of origin of the mails.
- 7.1.4 in a format-separated dispatch, the office of destination establishes a discrepancy between the announced format and the actual format of some bulk items or receptacles.**
- 7.2 In the cases provided for under 7.1.1 and 7.1.3, the CN 31 letter bill of the **dispatch** containing the bulk items shall be corrected accordingly and transmitted attached to the CN 43 verification note.
- 8 When the mails are opened, the constituent parts of the fastening (lead and other seals, string, labels) shall be kept together. To achieve this the string shall be cut in one place only.
- 9 Each designated operator shall have the right, in accordance with its national legislation and the procedures agreed with its customs authorities, to open and inspect M bags received, to check for compliance with the product specification detailed in article **17-107.7.1** to **7.5** and to ensure customs compliance. Any items that are found not to be in compliance with the product specification shall be charged at the destination designated operator's terminal dues rates for priority and non-priority mail. A CN 43 verification note shall be raised to advise the origin designated operator of the adjustments to the CN 31 letter bill.
- 10 When an office receives letter bills or special lists which are not intended for it, it shall send them or, if its **national** regulations so require, certified true copies to the office of destination by the quickest route (air or surface).
- 11 Irregularities established upon receipt of a mail containing insured items shall immediately be made the subject of reservations to the transferring service. Notification of a missing item, alteration or any other irregularity for which designated operators may be liable in respect of insured items shall be sent immediately by telecommunications to the dispatching office of exchange or to the intermediate service. In addition, a CN 24 formal report shall be made out. The condition in which the packing of the mail was found shall be indicated

therein. The formal report shall be sent under registered cover to the central office of the country to which the dispatching office of exchange belongs independently of the CN 43 verification note, which shall be sent to that office immediately. A duplicate of the report shall be sent at the same time either to the central office of the country to which the receiving office of exchange belongs or to any other controlling authority appointed by that designated operator.

12 The office of exchange which receives from a corresponding office a damaged or an insufficiently packed insured item shall send it on after observing the following rules:

12.1 If it is a matter of slight damage or of partial destruction of the seals, it is sufficient to re-seal the insured item to safeguard the contents. This shall be authorized on condition that it is obvious that the contents are not damaged nor, according to a check of the weight, short. The existing seals shall be preserved. If necessary, the insured items shall be repacked, retaining the original packing as far as possible. Repacking may also be done by placing the damaged item in a receptacle labelled and sealed with lead. In such cases, it is unnecessary to re-seal the damaged item. The receptacle label shall be marked "Envoi avec valeur déclarée endommagé" (Damaged insured item). It shall show the following information: serial number, office of origin, amount of the insured value, name and address of addressee, the date-stamp impression and the signature of the official who bagged the item.

12.2 If the state of the insured item is such that the contents could have been removed, the office shall automatically open it, where this is not contrary to the laws of the country, and check the contents. The result of this check shall be given in a formal CN 24 report. A copy of this report shall be attached to the insured item. This shall be repacked.

12.3 In all these cases, the weight of the insured item on arrival and the weight after repacking shall be checked and noted on the cover. This note shall be followed by the words "Scellé d'office à ... " (Sealed at ...) or "Remballé à ... " (Repacked at ...). This note shall be supplemented by an impression of the date-stamp and by the signature of the officials who have affixed the seals or done the repacking.

13 The discovery of any irregularity whatsoever during the check may in no case be the cause of the return of an item contained in the mail examined except as provided in article 19 of the Convention.

#### Article 17-139

##### Verification notes

1 The irregularities established shall be reported immediately, and at the latest within one month, to the office of origin of the mail, by means of a CN 43 verification note made out in duplicate, after the complete check of the mail. Where transit is involved, the verification note shall be sent to the last intermediate office which transmitted the mail in bad condition.

2 The details on the verification note for non-barcoded items shall specify as precisely as possible the label, bag or other receptacle, seal, cover, packet or item concerned. For barcoded items the details on the verification note shall specify the item barcode number. If the mail contains bundles provided with CN 25 and CN 26 labels, such labels shall, in case of irregularity, be attached to the verification note. In the case of service irregularities which gave grounds for presuming loss or theft, the condition in which the packing of the mail was found shall be indicated in as much detail as possible on the verification note. Only in cases which gave grounds for presuming loss or theft, dated digital photographic images of the label, bag or other receptacle, seal, cover, packet or item concerned may be provided.

3 Unless this is impossible for a stated reason, the following elements shall be kept intact for a period of six weeks from the date of verification and shall be sent to the designated operator of origin if the latter so requests:

3.1 the bag, or envelope, or other receptacle, with the string, labels and lead or other seals;

3.2 all the inner and outer packets or receptacles in which the insured items and registered items were enclosed;

3.3 the packing of any damaged items which can be recovered from the addressee.

4 When the mails are transmitted through the intermediary of a carrier, the CN 37, CN 38 or CN 41 delivery bill mentioning the irregularities established by the intermediate designated operator or designated operator of destination on taking over the mails shall where possible be countersigned by the carrier or his representative as well as by the designated operator of transit or of destination taking over the mails, which shall confirm that there are no irregularities. Should there be any reservations with respect to the carrier service, the copies of the CN 37, CN 38 or CN 41 bill must indicate such reservations. Where the mails are transported in containers, these reservations shall relate solely to the condition of the container and of its fastening and seals. By analogy, designated operators exchanging information by electronic means may apply the procedures described in article **17-135.3**.

5 In the cases provided for in article **17-138.5, 6** and **10**, the office of origin and, where appropriate, the last intermediate office of exchange may, in addition, be advised by telecommunications at the expense of the designated operator which sends it the advice. Such an advice shall be sent whenever the mail shows obvious traces of having been tampered with, so that the dispatching or intermediate office may investigate the matter without delay. Where necessary, the intermediate office shall advise the preceding designated operator also by telecommunications for the continuation of the inquiry.

6 When the absence of a mail is the result of a missed mail connection or when it is duly explained on the delivery bill, a CN 43 verification note shall be prepared only if the mail does not reach the office of destination by the next post.

7 As soon as a mail which has been reported as missing to the office of origin and, where appropriate, to the last intermediate office arrives, a second verification note announcing the receipt of the mail shall be sent to these offices by the quickest route (air or surface).

**8 Wrongly numbered dispatches, and in particular dispatches using a duplicated serial number, may be reported by the receiving designated operator in a verification note or other bilaterally agreed means to the sending designated operator, in order to notify the sending designated operator that such dispatches will be included in the accounting statement.**

9 When a receiving office responsible for checking a mail has not sent within one month of the mail's receipt a CN 43 note reporting irregularities of any kind to the office of origin and, where appropriate, to the last intermediate office of exchange by the quickest route (air or surface), it shall be considered, until the contrary is proved (within one month), as having received the mail and its contents. The same assumption shall be made in respect of irregularities to which no reference has been made or which have been incompletely reported in the verification note. The same shall apply when the provisions of the present article and of article **17-138** regarding the formalities to be fulfilled have not been observed.

10 Verification notes shall preferably be sent by telefax or by any other electronic means of communication. If not practicable, such notes shall be sent by the quickest mail route (air or surface).

11 Designated operators may agree to substitute the paper-based reporting of irregularities (CN 43 verification notes) with an agreed volume (weight and item count) reconciliation process using electronically captured information.

12 Verification notes sent by mail shall be forwarded in envelopes marked in bold letters "Bulletin de vérification" (Verification note). These envelopes may either be pre-printed or distinguished by a stamp impression clearly reproducing the indication.

13 The offices to which the verification notes are sent shall return them as promptly as possible, and at the latest within one month of the transmission, preferably by electronic means or by priority service, to the office of exchange from which they came, after having examined them and indicated thereon their observations, if any. The verification notes shall be considered duly accepted until proved otherwise:

**13.1** if they are not answered within a period of two months from the date of their transmission, for verification notes transmitted by non-priority services (S.A.L. or surface mail);

**13.2** if the designated operator of origin is not advised within that time of any investigations which may still be necessary or of the additional dispatch of relevant documents.

**14** The acceptance or rejection of a verification note or a requirement for further information shall be indicated by checking the appropriate box located at the end of the verification note.

**Article 17-140**

Misrouted mails and missent items

1 In the absence of a special agreement, misrouted mails (receptacles) and missent items of all kinds shall be redirected to their destination without delay by the quickest route.

**Article 17-141**

Steps to be taken in the event of an accident

1 When, as a result of an accident in course of conveyance, a ship, train, aircraft or any other transport facility is unable to continue its journey and deliver the mail at the scheduled ports of call or stations, the crew shall hand over the mails to the post office nearest to the place of the accident or to the office best able to reforward the mail. If the crew are unable to do this, that office, having been informed of the accident, shall take immediate action, taking over the mail and reforwarding it to its destination by the quickest route after its condition has been checked and any damaged items put in order.

2 The designated operator of the country in which the accident occurred shall inform all designated operators of previous ports of call or stations, by telecommunications, of the fate of the mail. These designated operators in turn shall advise by the same means all other designated operators concerned.

3 If delivery bill information was not sent electronically, designated operators which had mail on the transport facility involved in the accident shall send a copy of the CN 37, CN 38, CN 41 or CN 47 delivery bills to the designated operator of the country where the accident occurred.

4 The qualified office shall then notify the offices of destination of the mails involved in the accident by CN 43 verification note giving details of the circumstances of the accident and the results of the check of the mails. One copy of each verification note shall be sent to the offices of origin of the relative mails and another to the designated operator of the country to which the transport company belongs. These documents shall be sent by the quickest route (air or surface).

**Article 17-142**

Steps to be taken in the event of an interrupted flight, or of diversion or missending of airmails or surface airlifted (S.A.L.) mails

1 When an aircraft interrupts its flight for a length of time likely to delay the mails or when, for any reason whatsoever, the mails are unloaded at an airport other than that given on the CN 38 or CN 41 delivery bills, the designated operator of the country of origin shall ensure that the airline follows the arrangements in its agreement with the airline for direct transshipment or shall contact the origin designated operator for instructions.

2 The designated operator which receives missent airmail or surface airlifted (S.A.L.) mail dispatches or receptacles owing to a labelling error shall attach a new label to the dispatch or receptacle, with an indication of the office of origin, and reforward it to its correct destination.

3 In every case the office which did the reforwarding shall inform the office of origin of each dispatch or receptacle by CN 43 verification note, indicating in particular on the verification note the air service from which the mail was taken, the services used (air or surface) for onward transmission to its destination and the cause of missending, such as transportation or labelling error.

**Article 17-143**

Steps to be taken in the event of temporary suspension and resumption of services

- 1 If services are temporarily suspended, the designated operator or designated operators concerned must be notified of the fact by telecommunications, indicating, if possible, the probable duration of the suspension of services. The same procedure shall be applied when the suspended services are resumed.
- 2 The International Bureau must be notified of the suspension or resumption of services if a general announcement is considered necessary. If necessary, the International Bureau shall notify designated operators by telecommunications.
- 3 The designated operator of origin shall have the option of refunding the postage charges, special charges and air surcharges to the sender if, owing to the suspension of services, the benefit accruing from conveyance of the item in question was obtained only in part or not at all.

**Article 17-144**

Return of empty receptacles

- 1 Wherever appropriate in this article, the references to "bags" shall mean "bags or other receptacles used and owned by designated operators for the carriage of mail".
- 2 The owners of empty bags shall decide whether they wish their bags to be returned or not and, if so, by what means of transport. Nevertheless, the designated operator of destination shall have the right to return bags that cannot be incinerated easily and cheaply in its country. The designated operator of origin shall bear the cost of returning such bags.
- 3 In the absence of agreement between the designated operators concerned, bags shall be returned empty by the next post in a mail for the country to which they belong and if possible by the normal route followed on the outward journey. The number of bags returned by each mail shall be noted in table 1 of the CN 31 or CN 32 letter bill. This entry shall not be made when two designated operators have agreed to indicate only red-label bags on the letter bill.
- 4 If the designated operators of transit and destination agree, empty receptacles being returned by surface may be placed in the receptacles containing letter-post items. In all other cases, empty receptacles shall be returned in separate dispatches. The special dispatches containing only returned empty receptacles shall be described on CN 47 delivery bills and CN 31 letter bills. Receptacles of empty receptacles may be sealed by agreement between the designated operators concerned. The labels shall be endorsed "Sacs vides" (Empty bags).
- 5 The return shall be carried out between offices of exchange appointed for the purpose. The designated operators concerned may agree among themselves as to the procedure for the return. In long-distance services, they shall, as a general rule, appoint only one office responsible for receiving the empty bags returned to them.
- 6 The empty bags shall be rolled into suitable bundles. Where appropriate the label blocks, labels of canvas, parchment or other stout material shall be placed inside the bags.
- 7 The bags used for printed papers for the same addressee at the same address (M bags) shall be recovered after they have been handed over to the addressees and shall be returned, in accordance with the above-mentioned provisions, to the designated operators of the countries to which they belong.
- 8 If the check made by an designated operator establishes that receptacles belonging to it have not been returned to its service within a period longer than that required for their transmission (round trip), it shall be entitled to claim reimbursement of the value of the receptacles as provided for under 9. The designated operator in question may refuse this reimbursement only if it can prove the missing bags were returned.



9 Each designated operator shall fix, periodically and uniformly for each kind of receptacle used by its offices of exchange, a value in SDRs and communicate it to the designated operators concerned through the International Bureau. In case of reimbursement, the cost of replacing the receptacles shall be considered.

10 By prior agreement, a designated operator may use the receptacles belonging to the designated operator of destination for making up its own airmails. Receptacles belonging to a third party may not be used.

11 A dispatching designated operator may indicate whether or not it would like to have the receptacles used for a particular dispatch returned. This indication shall be made on the letter bill used for the dispatch.

12 Accounting for the return of empty bags shall be based on the Statistics and Accounting Guide issued by the International Bureau of the Universal Postal Union.

## Article 18-101

### Registered items

1 Letter-post items may be sent as registered items, according to the provisions of article 18.1.1 of the Convention.

2 The charge on registered items shall be paid in advance. It shall be made up of the postage charge and of a fixed registration charge, whose guideline maximum amount shall be 1.31 SDR. For each registered M bag, designated operators shall collect, instead of the charge per item, a bulk charge not exceeding five times the charge per item.

3 In cases where exceptional security measures are required, designated operators may collect from the sender or from the addressee, in addition to the charge mentioned under 2, the special charges provided for by their **national** legislation.

### 4 Admission

4.1 No special condition as to form, closing or method of address shall be prescribed for registered items.

4.2 Items bearing an address in pencil or any other delible format or composed of initials shall not be admitted for registration. However, the address of items other than those which are sent in an envelope with a transparent panel may be written in copying-ink pencil.

4.3 A receipt shall be issued free of charge to the sender of a registered item at the time of posting.

### 5 Marking and treatment of items

5.1 All designated operators shall apply a barcode on all outward registered items. The specifications shall be as follows:

5.1.1 Each registered item must be identified by a single CN 04 label containing the capital letter "R" and including a unique item identifier conforming to the specification of 13-character identifiers in UPU Technical Standard S10. The item identifier shall be encoded in both human-readable and barcoded form, as prescribed in the standard.

5.1.2 As an alternative, designated operators may agree bilaterally to the use of unique item identifiers and barcodes which are already in use on inter-national registered items.

5.2 Registered items may also bear the heading "Recommandé" (Registered), accompanied, if necessary, by a similar indication in the language of the country of origin.

5.3 The label and, if present, the heading "Recommandé" (Registered) shall be placed on the address side, in so far as possible in the top left-hand corner, beneath the sender's name and address where these are given. In the case of items in the form of cards, these indications shall be placed above the address in such a way as not to affect its legibility. In the case of registered M bags, the CN 04 label shall be affixed properly to the address labels supplied by the sender.

5.4 The designated operator of origin shall ensure that registered items conform to the above provisions. It shall be required to correct any deficiencies noted before forwarding the items to the countries of destination.

- 5.5 No label or serial number shall be placed on the front of registered items by the intermediate designated operators.
- 5.6 **When delivering or handing over a registered item, the designated operator of destination shall obtain from the recipient a signature of acceptance, or register captured data from an identity card, or obtain some other form of evidence of receipt that is legally binding under the legislation of the country of destination to confirm acceptance.**
- 5.7 Additionally, designated operators are strongly recommended to establish systems that generate electronic delivery confirmation data, and agree to exchange such data with the designated operators of origin of the items.
- 5.8 Designated operators that have established systems that generate electronic delivery confirmation shall have the right to use signatures captured electronically from these systems, to provide proof of delivery by individual item to the sending designated operator, subject to CN 08 inquiry by the sending designated operator. The electronic delivery confirmation data may be provided electronically (e-mail) or in hard-copy form at the discretion of the delivering postal designated operator.

**CN 04**



Dimensions 74 x 26 mm, lettre majuscule R et trait sous le numéro de dépôt de couleur rouge ou noire, si la réglementation de l'opérateur désigné d'origine le permet

**Article 18-102**  
**Tracked items**

- 1 **Tracked items shall be delivered in the domestic priority service.**
- 2 **(Deleted.)**
- 3 **Marking**
- 3.1 **Letter-post items**
- 3.1.1 **Letter-post items for tracked delivery shall be provided with a logo which shall if possible be bright red and of the shape reproduced below. A black and white version may, however, be used for system-generated labels. The "Tracked" logo shall be placed on the address side, in so far as possible in the top left-hand corner, beneath the sender's name and address where these are given.**



- 3.1.2** Items for tracked delivery shall bear a CN 05bis label, barcoded with a barcode conforming to UPU Technical Standard S10. The CN 05bis label must adhere properly and shall be placed on the address side, in so far as possible in the top left-hand corner, beneath the sender's name and address where these are given. In the case of items in the form of cards, the label shall be placed above the address in such a way as not to affect its legibility. The CN 05bis label shall have a single, unique item identifier that conforms to the provisions of article 17-130. The "Tracked" logo shall normally be included in the CN 05bis label. It shall, however, be permitted to use a CN 05bis label without this logo, provided that a separate label with the logo is affixed on the item next to the CN 05bis label.

#### CN 05bis



Dimensions 74 x 26 mm, couleur rouge sur blanc

## 4 Treatment of items

- 4.1** Designated operators shall provide track-and-trace information as detailed in article 17-131 for outward and inward tracked items on their national territory.

## 5 Remuneration

- 5.1** Tracked items shall be remunerated in accordance with article 30-104.1.2.

### Article 18-103

Delivery to the addressee in person

- 1 At the sender's request, and in the service between those designated operators which have given their consent, registered items and insured items shall be delivered to the addressee in person. Designated operators may agree to allow this option only for such items accompanied by an advice of delivery.
- 2 In all cases, the sender shall pay a charge for delivery to the addressee in person the guideline amount of which shall be 0.16 SDR.
- 3 Marking and treatment of items for delivery to the addressee in person
- 3.1 Items for delivery to the addressee in person shall bear in bold letters the words "À remettre en main propre" (For delivery to the addressee in person) or the equivalent in a language known in the country of destination. This indication shall appear on the address side and, in so far as possible, in the top left-hand corner, beneath the sender's name and address where these are given.
- 3.2 When the sender has requested an advice of delivery and delivery to the addressee in person, the CN 07 form shall be signed by the addressee or, if that is not possible, by his duly authorized representative. In addition to the signature, the name in capital letters or any clear and legible indication permitting unambiguous identification of the person signing shall also be obtained.
- 3.3 Designated operators shall make a second attempt to deliver such items only if there is a presumption that it will be successful and if the **national** regulations so permit.

Article **18-104**

International business reply service (IBRS)

1 General

- 1.1 Designated operators may agree with each other to participate in an optional international business reply service (IBRS). All designated operators shall, however, be obliged to operate the IBRS “return” service.
- 1.2 The purpose of the IBRS is to enable authorized senders to prepay in advance reply items posted by their respondents residing abroad.
- 1.3 Designated operators which operate this service shall comply with the provisions laid down below.
- 1.4 Designated operators may, nevertheless, agree bilaterally on another system to be applied between themselves.
- 1.5 Designated operators may establish a compensation system that takes account of the costs borne.

2 Operating methods


2.1 IBRS works as follows:

- 2.1.1 items from the authorized sender residing in country “A” sent to his respondents residing in one or more countries “B” each contain an IBRS envelope, card or label;
- 2.1.2 the respondents residing in country (or countries) “B” may use the IBRS envelopes, cards or labels to reply to the sender; IBRS items shall be regarded as priority items or ordinary airmail items prepaid in accordance with article 06-001.1.2.1.4;
- 2.1.3 the IBRS items posted shall be transmitted to country “A” and delivered to the authorized sender.
- 2.2 Member countries or designated operators shall be free to set the charges and conditions for authorizing use of the service and for handling the items posted.
- 2.3 Designated operators operating IBRS may do so either on a reciprocal basis or in one direction only (the “return” service). The latter procedure presupposes that the designated operator of country “B” accepts IBRS items for posting but does not issue authorization to use the service to customers residing on its territory.
- 2.4 Designated operators operating the service shall make clear to their customers, on authorizing use of the service, the obligation to conform to the provisions of this article and to the regulations in the Letter Post Manual.

3 Specifications for IBRS items

- 3.1 IBRS items may be in the form of cards or envelopes conforming to the specimen provided for and to the regulations in the Letter Post Manual.
- 3.2 Items consisting of envelopes or packets bearing a label conforming to the specimen provided for and to the regulations in the Letter Post Manual shall also be admitted as IBRS items.
- 3.3 IBRS items shall conform to the size limits applicable to the equivalent letter-post items laid down in article **17-104**. In respect of postcards or items in card form, IBRS items may also be accepted in accordance with article **17-111.5**. IBRS items shall not weigh more than 50 grammes. However, a designated operator may apply, on a voluntary basis, a weight limit of 2 kg for the return of IBRS items to other designated operators that equally opt to apply a weight limit of 2 kg for the return service.
- 3.4 IBRS items may, in principle, contain any items that are compliant with the UPU Convention **and Regulations**. However, a designated operator may exclude from the IBRS return service certain contents such as waste items if the **national** legislation so provides.
- 3.5 Designated operators may agree bilaterally to any further extensions of the service.
- 3.6 Designated operators may apply a single barcode identifier conforming to UPU Technical Standard S10 to enable the provision of cross-border customs pre-advice or other tracking services. However, the presence of such an identifier shall not imply the provision of a delivery confirmation service. The

identifier should appear on the front of the item and should not obscure the other service markings, indicia or address information.

<b>Priority/Prioritaire</b> <b>By airmail/Par avion</b>	NE PAS AFFRANCHIR  NO STAMP REQUIRED
IBRS/CCRI N°:	
<hr/> <b>REPLY PAID/RÉPONSE PAYÉE</b> <b>GREAT BRITAIN/GRANDE-BRETAGNE</b> <hr/>	
MESSRS. T. Smith & Co. 99 Temple Street PRESTON GREAT BRITAIN PR1 1ZY	

- 4 IBRS accounting charges
- 4.1 Each designated operator returning IBRS items to another designated operator shall be entitled to collect from that designated operator a sum corresponding to costs incurred for returning the IBRS items.
- 4.2 This sum shall be fixed on the basis of a charge per item and a charge per kilogramme. These charges shall be calculated as follows:
- 4.2.1 a charge for the domestic collection and handling of IBRS items shall be fixed at 80% of the terminal dues rates for bulk mail (with a rate per kilogramme and a rate per item) of the designated operator that is returning the IBRS items, with a total annual floor charge of 0.15 SDR per item; **the rates applied to P/G letter-post format items as calculated in accordance with article 29 of the Convention shall also apply to all IBRS items, regardless of their actual formats or weights;**
- 4.2.2 an additional per kilogramme charge for the international conveyance of IBRS items shall be calculated, in principle, as indicated in article 33-101.3, but according to the weight, plus the rate for handling transit dispatches as set in article 27-103.1.1.
- 4.3 Any revision of the charge mentioned under 4.2.1 shall be based on available economic data.
- 4.4 Unless the designated operators concerned decide otherwise, IBRS charges shall not be paid when the annual number of IBRS items returned by each designated operator is equal to or lower than 1,000. When the annual number of IBRS items returned exceeds 1,000 for one designated operator, the amount paid shall take into account the number of IBRS items returned by both designated operators.
- 5 Accounting for IBRS charges
- 5.0bis The rules for the transfer and acceptance of accounting forms provided for in article 34-100bis shall be applied to IBRS forms CN 09, CN 10 and CN 19.**
- 5.1 Preparation of CN 09 and CN 10 statements of IBRS items
- 5.1.1 After transmission of the last mail of every month, the designated operator of origin of the IBRS items shall prepare, by office of exchange of origin and destination, from the data on the CN 31 letter bills, a CN 09 statement of IBRS items sent.
- 5.1.1.1 When the CN 31 letter bill bears no data on the weight of IBRS items returned, a default weight of five grammes per item shall apply.

- 5.1.2 For each designated operator of destination of the IBRS items, the designated operator of origin shall prepare quarterly, from the particulars on the CN 09 statements, by office of origin, by office of destination and, where appropriate, by forwarding route, a CN 10 recapitulative statement of IBRS items.
- 5.1.3 The CN 09 statements shall be supplied to the designated operator of destination in support of the CN 10 recapitulative statement.
- 5.2 Transmission and acceptance of CN 09 and CN 10 statements of IBRS items
  - 5.2.1 The CN 10 recapitulative statement shall be sent to the designated operator of destination of the IBRS items **no later than** four months **after** the end of the quarter to which it relates.
  - 5.2.2 **The acceptance period for a CN 10 recapitulative statement shall be two months.** If verification reveals any discrepancies, the corrected CN 09 statement shall be attached in support of the duly amended and accepted CN 10 recapitulative statement. If the designated operator of origin of the IBRS items disputes the amendments made to the CN 09 statement, it shall confirm the actual data by sending photocopies of the CN 31 forms drawn up by the office of origin upon dispatch of the disputed IBRS items, or by giving access to the corresponding electronic data through a PREDES message, if the CN 31 was exchanged electronically.
  - 5.2.3 Designated operators may agree that the CN 09 and CN 10 statements shall be prepared by the designated operator of destination of the IBRS items. In this case, the acceptance procedure provided for under 5.2.1 and 5.2.2 shall be adapted accordingly.
- 5.3 Preparation, transmission and approval of IBRS accounts
  - 5.3.1 The creditor designated operator shall be responsible for preparing the accounts and forwarding them to the debtor designated operator.
  - 5.3.2 The detailed accounts shall be prepared on a CN 19 form, on the basis of the difference between the amounts to be brought to account based on the number and weight of IBRS items received and dispatched as appear from the CN 10 recapitulative statements.
  - 5.3.3 The CN 19 detailed account shall be sent to the debtor designated operator **no later than seven months after the end of the year concerned.**
  - 5.3.4 (Deleted.)
  - 5.3.5 **The acceptance period for a CN 19 detailed account shall be two months.**
  - 5.3.6 The CN 19 detailed accounts may be summarized in a CN 52 general account by the creditor designated operator under the conditions provided for in article **33-105.5**.
- 5.4 General liquidation account and payment of IBRS charges
  - 5.4.1 Article **34-002** shall apply to IBRS charges for which the creditor designated operator prepares a CN 20 statement.

#### Article **18-105**

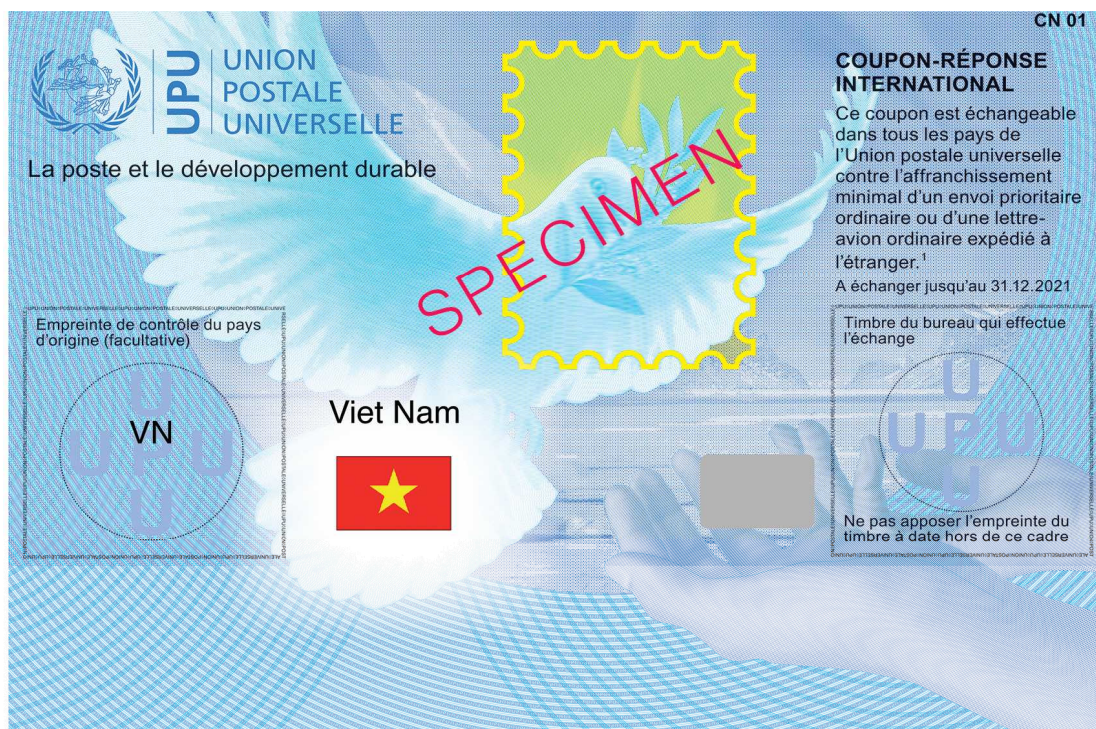
##### International business reply service (IBRS) – local response

- 1 Designated operators may agree bilaterally to operate an optional IBRS – local response, either on a reciprocal basis or in one direction only (the return service).
- 2 The IBRS – local response is based on IBRS but the prepaid responses use the domestic business reply design of the designated operator in which they are posted. The designated operator of the country of posting delivers these responses to a Post Office Box address in its territory, clears them from the P.O. box and dispatches them to the designated operator of origin in the international mail.
- 3 The details of this service shall be laid down bilaterally between the designated operators concerned on the basis of guideline provisions defined by the Postal Operations Council.

**Article 18-106**

## International reply coupons

- 1 Designated operators shall be permitted to sell international reply coupons issued by the International Bureau and to limit their sale in accordance with their **national** legislation.
- 2 The value of the reply coupon provided for in article **18.3.2** of the Convention shall be 0.74 SDR. The selling price fixed by the designated operators concerned may not be less than this value.
- 3 Reply coupons shall be exchangeable in any member country for postage stamps and, if not precluded by the **national** legislation of the country of exchange, for postal stationery or postal prepayment marks or impressions representing the minimum postage prepayable on an unregistered priority letter-post item or an unregistered airmail letter sent abroad, whatever the country of destination.
- 4 The designated operator of a member country may, in addition, reserve the right to require the reply coupons and the items to be prepaid in exchange for those reply coupons to be presented at the same time.
- 5 International reply coupons shall conform to the annexed specimen CN 01. They shall be printed, on paper bearing as a watermark the initials UPU in large letters, under arrangements made by the International Bureau. The name of the country of origin shall be printed on the coupons. They shall also have printed on them, inter alia, a standardized UPU barcode containing the ISO code of the country, the date of printing and the International Bureau selling price expressed in SDR. They shall be delivered once the designated operators have paid the amount of the invoice previously sent to them by the International Bureau, made up of the value of the coupons and associated production, management, transport and insurance costs.



<sup>1</sup> This explanation is repeated on the back of the coupon in Arabic, Chinese, English, German, Russian and Spanish.

Size 149 x 102 mm

6 Designated operators shall order international reply coupons from the International Bureau. The minimum order quantity shall be 1,000 IRCs. Additional IRCs may be ordered in bundles of 1,000. The International Bureau shall prepare a delivery bill within 10 working days of receipt of the order and send it to the designated operator concerned. The payment period shall be six weeks from the date on which the bill is sent. In the event of non-payment within this period, the bill and the related order shall be cancelled. However a designated operator with a credit at the International Bureau arising from an international reply coupon account may use it for the partial or full settlement of the delivery bill.

7 Each designated operator shall have the option of indicating the selling price on the reply coupons by asking the International Bureau for this price to be indicated at the time of printing.

8 The validity period of the coupon shall be indicated on it. Post offices shall satisfy themselves as to the genuineness of the documents when they exchange them and check particularly the presence of the watermark and other security features, details of which will be communicated in advance by the International Bureau. Reply coupons on which the printed text does not agree with the official text or whose security features are non-compliant shall be refused as invalid. Exchanged reply coupons shall be marked with an impression of the date-stamp of the office exchanging them.

9 Exchanged reply coupons shall be returned to the International Bureau in packets of a thousand. Designated operators that exchange less than a thousand coupons per year may return the coupons they have exchanged to the International Bureau at the end of the year. They shall be sent together with a CN 03 statement prepared in duplicate and showing their total number and value. The value shall be calculated according to the rate provided for under 2. In case of change in this rate, all reply coupons exchanged before the date of the change shall be sent in a single consignment including, by way of exception, broken lots; they shall be accompanied by a special CN 03 statement made out in the old value.

10 After verification by the International Bureau, the CN 03 shall be duly dated and signed and returned to the designated operator. The International Bureau will, on the basis of the CN 03s received and a physical inspection thereof, prepare a final statement of coupons exchanged. It shall make payment on the basis of these statements. Payment shall be made within a period of six weeks after the end of each quarter. Designated operators shall have the option of receiving payments direct, or having them entered to their credit with the International Bureau. The minimum amount that can be transferred to a bank or postal account shall be 200 SDR. Amounts lower than this shall automatically be paid to the operator's credit with the International Bureau. No credit shall be given for forged or counterfeit coupons exchanged.

11 A separate accounting system for IRCs comprising a separate account for each designated operator participating in this service shall be created at the International Bureau. This system shall be managed in accordance with the relevant provisions of the Regulations for the administrative and financial management of International reply coupons.

12 The International Bureau shall also take back damaged reply coupons sent together with a separate CN 03 statement prepared in duplicate, provided that at least part of the barcode enables the value of such coupons to be determined.

## Section V Prohibitions and customs matters

### Article 19-101 Treatment of items wrongly admitted

1 Items that have been wrongly admitted and that do not differ fundamentally from the conditions of article 17 of the Convention as regards classification and weight and those of the present Regulations regarding contents, size, make-up and marking shall nevertheless be delivered to the addressees without surcharge. Items wrongly admitted containing infectious substances or radioactive materials and not complying with the provisions of articles 19-003, 19-005 and 19-006 may also be delivered to the addressees if the provisions applicable in the country of destination allow this. If delivery is inappropriate or impossible, items wrongly admitted shall be returned to the designated operator of origin.



2 Items containing articles mentioned in articles **19.2**, **19.3.1** and **19.3.2** of the Convention and wrongly admitted shall be dealt with according to the legislation of the country of the designated operator of origin, transit or destination establishing their presence.

3 The designated operator of destination may deliver to the addressee the part of the contents which is not subject to prohibition; the designated operator of transit may forward it to the designated operator of destination.

**3bis The designated operator of destination shall be authorized to deliver to the addressee, under the conditions prescribed by its regulations, an uninsured item originating in a country which admits insurance and containing articles listed in article 19.6.1 of the Convention. If delivery is not permitted, the item shall be returned to sender.**

4 When an item or part of its contents wrongly admitted to the post is neither returned to sender nor delivered to the addressee, the designated operator of origin shall be notified without delay how it has been dealt with. This notification shall clearly indicate the prohibition under which the item falls and the articles which gave rise to seizure. A wrongly admitted item which is returned to origin shall be accompanied by a similar notification.

5 In the event of the seizure of a wrongly admitted item, the designated operator of transit or destination shall notify the designated operator of origin through the dispatch of a CN 13 report or, if agreed bilaterally, by using the appropriate standard UPU EDI item-level message (EME tracking event and corresponding retention code).

6 Moreover, the right of every member country shall be reserved to deny conveyance in transit à découvert over its territory to letter-post items, other than letters, postcards and items for the blind, which do not satisfy the legal requirements governing the conditions of their publication or circulation in that country. Such items shall be returned to the designated operator of origin.

7 Letter-post items containing items whose early deterioration or decay is to be feared

7.1 Articles contained in a letter-post item whose early deterioration or decay is to be feared, and those articles only, may be sold immediately, without prior notice. The sale shall be on behalf of the rightful owner even in course of transmission on either the outward or the return journey. If sale is impossible, the spoilt or decayed articles shall be destroyed.

7.2 When a letter-post item has been sold or destroyed in accordance with 7.1, a formal report of the sale or destruction shall be drawn up. A copy of the report accompanied by a CN 43 verification note shall be sent to the office of origin.

7.3 The proceeds of the sale shall serve in the first instance to defray the charges on the letter-post item. The balance, if any, shall be sent to the office of origin to be handed to the sender. The latter shall bear the costs of forwarding it.

8 Designated operators shall implement procedures to provide for situations where postal items face events which prevent the continuation of conveyance, such as when wrongly admitted items are discovered at an intermediate location.

8.1 In cases of closed dispatch transit, the designated operator (of transit) shall provide an incident report giving as many details as possible to the designated operator (of origin) when a postal item is retained in transit. The report shall be issued within one working day (24 hours) following the discovery of the incident.

8.2 In cases of direct transshipment, the agreement between the designated operator (of origin) and the carrier should dictate how the retained postal item should be handled. However, in cases where the carrier is unable to resolve the issue through contacts with the designated operator (of origin) within seven days from the receipt of the report, the carrier may request assistance to resolve the incident from the designated operator at the intermediate location.

- 8.2.1 Designated operators shall incorporate language in their agreements with carriers to account for events which prevent the continuation of conveyance, such as when wrongly admitted items are discovered at an intermediate location. Such contractual language shall request the carrier to notify incidents and to request instructions for the resolution of the incident within one working day (24 hours) following the discovery of the incident.
- 8.3 Upon notification of a retained item, the designated operator (of origin) shall provide specific instructions for resolving the incident. An initial response shall be made within one working day (24 hours) following receipt of the report. The initial response from the designated operator of origin may not necessarily resolve the reported event, but rather serve as an acknowledgement that it has been reported and that further investigation is under way. Updated reports shall be provided by the designated operator of origin every 72 hours until resolution of the event. These guidelines for the timeframe are based in terms of normal business days and take account of holidays, time zone differences and weekends.

#### Article 19-102

##### Redirection

- 1 If an addressee changes his address, items shall be reforwarded to him forthwith, subject to the conditions laid down below.
- 2 Items shall not however be redirected:
- 2.1 if the sender has forbidden redirection by means of a note in a language known in the country of destination;
- 2.2 if they bear in addition to the addressee's address the expression "or occupant".
- 3 Designated operators which collect a charge for requests for redirection in their **domestic** services shall be authorized to collect this same charge in the international service.
- 4 Apart from the exceptions provided for below, no additional charge shall be collected for letter-post items redirected from country to country. However, designated operators which collect a charge for redirection of items in their **domestic** service shall be authorized to collect this same charge on the international letter-post items redirected within their own countries.
- 5 Redirection procedures
- 5.1 Items addressed to addressees who have changed their address shall be considered as addressed direct from the place of origin to the place of new destination.
- 5.2 Any insured item the addressee of which has left for another country may be redirected if that country operates the service in its relations with the country of the first destination. If it does not, the item shall be sent back forthwith to the designated operator of origin for return to the sender.
- 5.3 Redirection from one country to another shall be effected only if the items satisfy the conditions for the onward conveyance. This shall also apply to items originally for an address within a country.
- 5.4 On redirection, the reforwarding office shall apply its date-stamp to the front of items in the form of cards and on the back of all other categories of items.
- 5.5 Unregistered or registered items returned to senders for completion or correction of the address shall not be considered as redirected items on reposting. They shall be treated as new correspondence, liable to a new charge.
- 5.6 If the attempt to deliver a **tracked** item at the place of address by a messenger has failed, the reforwarding office shall strike through the label or the indication "**Tracked**" with two thick horizontal lines.
- 5.7 Each designated operator may lay down a redirection period in accordance with that in force in its **domestic** service.

- 
- 6 Forwarding
- 6.1 Priority items and airmail items shall be redirected to their new destination by the quickest route (air or surface).
- 6.2 Other items shall be redirected by the means of transport normally used for non-priority or surface items (including S.A.L.). They may be reforwarded by priority or air at the express request of the addressee if the latter undertakes to pay the difference in postage for the new priority transmission or for the new air route. In this case, the difference in postage shall be collected, in principle, at the time of delivery of the item and retained by the delivering designated operator. All items may also be reforwarded by the quickest route if the difference in postage is paid at the redirecting office by a third person. Redirection of such items by the quickest route within the country of destination shall be governed by the **national** regulations of that country.
- 6.3 Designated operators which apply combined charges may fix special fees, which must not exceed the combined charges, for the redirection by air or by priority means under the conditions laid down under 6.2.
- 7 Charges
- 7.1 Items unpaid or underpaid for their first transmission shall be subject to the charge which would have been applied to them if they had been addressed direct from the point of origin to the place of the new destination.
- 7.2 Items properly prepaid for their first transmission, but on which the additional charge for the further transmission has not been paid before their redirection, shall be subject to a charge representing the difference between the postage already paid and that which would have been charged if the items had been dispatched originally to their new destination. To this charge shall be added the handling charge for unpaid or underpaid items. If reforwarded by air or by priority means, the items shall in addition be subject, for their further transmission, to the surcharge, combined charge or special fee according to 6.2 and 6.3.
- 7.3 Items having originally circulated free of postal charges within a country shall be subject to the postage charge which would have been payable if these items had been addressed direct from the place of origin to the place of the new destination. To this charge shall be added the handling charge for unpaid or underpaid items.
- 7.4 In the event of redirection to another country, the following charges shall be cancelled:
- 7.4.1 the poste restante charge;
- 7.4.2 the presentation-to-Customs charge;
- 7.4.3 the storage charge;
- 7.4.4 the commission charge;
- 7.4.5 the additional **tracked** charge;
- 7.4.6 the charge for delivery of small packets to the addressee.
- 7.5 The customs duty and other fees of which it has not been possible to secure cancellation on redirection or on return to origin shall be collected COD from the designated operator of the new destination. In that case, the designated operator of the original destination shall attach to the item an explanatory note and **an MP 1bis form or equivalent form agreed among designated operators**. If there is no cash-on-delivery service between the designated operators concerned, the charges in question shall be recovered by correspondence.
- 7.6 Redirected letter-post items shall be delivered to the addressees on payment of the charges incurred on departure, on arrival, or in course of transmission due to redirection after the first transmission. The customs duty or other special charges which the reforwarding country does not cancel shall also be paid by the addressees.

- 8 Collective redirection
- 8.1 Unregistered items to be redirected to the same person at a new address may be enclosed in CN 14 collective envelopes supplied by designated operators. Only the name and the new address of the addressee shall be written on these envelopes.
- 8.2 When the number of items to be collectively reforwarded justifies it, a receptacle may be used. In this case the details required shall be entered on a special label provided by the designated operator and printed, generally, on the pattern of the CN 14 envelope.
- 8.3 Items to be submitted to customs control shall not be enclosed in these envelopes or receptacles. Items of which the shape, volume and weight may cause tearing shall also be excluded.
- 8.4 The CN 14 collective envelopes and receptacles used for collective redirection of correspondence shall be forwarded to the new destination by the route prescribed for individual items.
- 8.5 The envelope or receptacle shall be presented open at the redirecting office. That office shall collect, if necessary, the additional charges to which the redirected items may be subject. When the additional charge has not been paid the charge to be collected on arrival shall be marked on the items. After checking it, the forwarding office shall close the envelope or receptacle. It shall apply to the envelope or receptacle label, where necessary, the T stamp indicating that charges are to be collected for all or some of the redirected items.
- 8.6 On arrival at its destination, the envelope or receptacle may be opened and its contents checked by the delivering office which shall collect, where necessary, the unpaid additional charges. The handling charge on unpaid or underpaid items shall be collected only once for all items inserted in the envelopes or receptacles.
- 8.7 Unregistered items addressed either to sailors and passengers aboard the same ship, or to persons travelling as a party, may also be treated as provided for under 8.1 to 8.6. In that case, the envelopes or receptacle labels shall bear the address of the ship or of the shipping or travel agency, etc., to which the envelopes or receptacles shall be delivered.

#### Article 19-103

Undeliverable items. Return to country of origin or to sender and period of retention

- 1 Designated operators shall return items which it has not proved possible to deliver to the addressees for whatever reason.
- 2 The period of retention of items is laid down in the paragraphs below.
- 3 Apart from the exceptions provided for below, no additional charge shall be collected for undeliverable items returned to the country of origin. However, designated operators which collect a charge for return of items in their **national** service shall be authorized to collect this same charge on the international mail returned to them.
- 4 Notwithstanding the provisions under 3, when a designated operator receives, for return to the sender, items posted abroad by customers residing in its territory, it shall be authorized to collect from the sender or senders a handling charge per item not to exceed the postage charge that would have been collected had the item been posted in the designated operator in question.
- 4.1 For the purposes of the provisions under 4, the sender or senders shall be understood as being the persons or entities whose name appears in the return address or addresses.
- 5 General provisions
- 5.1 Subject to the legal provisions of the country of destination, undeliverable items shall be returned to the designated operator of origin whose payment indicia appear on the item.
- 5.2 Items refused by the addressee or whose delivery is obviously impossible shall be returned forthwith.
- 5.3 Other undeliverable items shall be retained by the designated operator of destination for a period laid down by its regulations. However, the retention period may not exceed one month except in

special cases where the designated operator of destination considers it necessary to extend it to two months at most. Return to the country of origin shall be effected within a shorter period if the sender has requested this by means of a note on the address side in a language known in the country of destination.

## 6 Special procedures

6.1 Undeliverable items of the **national** service shall be redirected abroad for return to the sender only if they satisfy the conditions for the onward conveyance. The same shall apply to international correspondence when the sender has moved to another country.

6.2 Postcards which do not bear the address of the sender shall not be returned. However, registered postcards shall always be returned.

6.3 The return to origin of undeliverable printed papers shall not be compulsory, unless the sender has asked for their return by means of a note on the item in a language known in the country of destination. However, designated operators shall endeavour to make such return to sender, or inform him appropriately, when repeated attempts at delivery or bulk items are involved. Registered printed papers and books shall always be returned.

6.4 The following shall be treated as undeliverable items, items for third persons:

6.4.1 addressed care of diplomatic or consular services and returned by them to the post office as unclaimed;

6.4.2 addressed to hotels, lodgings or agencies of airlines or shipping companies and returned to the post office because they cannot be delivered to the addressees.

6.5 In no case shall the items mentioned in 6.4 be considered as new items subject to payment of postage.

## 7 Forwarding

7.1 When the designated operator of the country returning the item no longer uses surface conveyance, it shall return undeliverable items by the most appropriate means in use.

7.2 Priority items, airmail letters and airmail postcards to be returned to origin shall be returned by the quickest route (air or surface).

7.3 Undeliverable airmail items other than airmail letters and airmail postcards shall be returned to origin by the means of transport normally used for non-priority or surface items (including S.A.L.), except:

7.3.1 in the event of interruption of those means of transport; or

7.3.2 if the designated operator of destination has systematically chosen the air route for returning such items.

7.4 For the return of items to origin by priority or air means at the request of the sender, article **19-103.6.2** shall apply by analogy.

## 8 Treatment of items

8.1 Before returning to the designated operator of origin items which for any reason have not been delivered, the office of destination shall show, in French, the reason for non-delivery. The reason shall be given, clearly and concisely, if possible on the front of the item, in the following form: "inconnu" (unknown), "refusé" (refused), "déménagé" (moved), "non réclamé" (unclaimed), "adresse insuffisante/inexistante" (insufficient/non-existent address), "refusé par la douane" (refused by Customs), etc. As regards postcards and printed papers in the form of cards, the reason for non-delivery shall be shown on the right-hand half of the front.

8.2 This information shall be shown by the application of a stamp or affixing of a CN 15 label, to be completed as appropriate. Each designated operator may add the translation, in its own language, of the reason for non-delivery and other appropriate particulars. In the service with designated operators which have so agreed the indications may be made in a single agreed language. Manuscript notes regarding the non-delivery made by officials or by post offices may also be regarded as sufficient in that case.

RETURN	CN 15
<input type="checkbox"/> Unknown	<input type="checkbox"/> Refused
<input type="checkbox"/> Moved	<input type="checkbox"/> Unclaimed
<input type="checkbox"/> Insufficient/ Non-existent address	<input type="checkbox"/> Refused by Customs
<input type="checkbox"/>	
<b>Return date:</b>	

Maximum size 52 x 52 mm, colour pink

- 8.3 The office of destination shall strike out the address particulars with which it is concerned while leaving them legible and write "Retour" (Return) on the front of the item beside the name of the office of origin. It shall also apply its date-stamp on the back of priority items in envelopes and of letters and on the front of postcards.
- 8.4 Undeliverable items shall be returned to the office of exchange of the country of origin, either individually or in a special bundle labelled "Envois non distribuables" (Undeliverable items), as if they were items addressed to that country. Undeliverable ordinary items which bear adequate return details shall be returned direct to the sender.
- 8.5 Insured items which have not been delivered shall be sent back as soon as possible, and at the latest within the period fixed in 5.3. These items shall be entered on the CN 16 special list and included in the packet, envelope or receptacle labelled "Valeurs déclarées" (Insured items).
- 8.6 Undeliverable items returned to the country of origin shall be treated in accordance with article 19-103.7.

#### Article 19-104

Treatment of requests for withdrawal of items from the post or for alteration or correction of address

- 1 The sender of a letter-post item may have it withdrawn from the post, or have its address altered or corrected under the conditions laid down below.
- 2 If its legislation permits, each designated operator shall be bound to accept requests for withdrawal from the post or alteration or correction of the address in respect of any letter-post item posted in the service of another designated operator.
- 3 Preparation of request
- 3.1 Every request for withdrawal of items from the post or for alteration or correction of address shall entail completion by the sender of a CN 17 form. One form may be used for several items posted at the same time at the same office by the same sender to the same addressee.
- 3.2 In handing in the request at the post office the sender shall prove his identity and produce the certificate of posting, if any. The designated operator of the country of origin shall assume responsibility for the proof of identity.
- 3.3 A request for simple correction of address (without alteration of the name or status of the addressee) may be made direct to the office of destination by the sender. The charge prescribed in 4 shall not be collected in such a case.
- 3.4 Through notification of the International Bureau, any designated operator may make provision for CN 17 requests concerning it to be exchanged through its central administration or through a specially appointed office. This notification shall include the name of this office.

- 3.5 Designated operators which exercise the option provided for under 3.4 shall bear any charges which may result from the transmission in their **national** service by post or by telecommunication of the communications to be exchanged with the office of destination. Recourse to telecommunication or other similar service shall be compulsory when the sender has himself used such means and the office of destination cannot be advised in time by post.
- 3.6 If the item is still in the country of origin, the request shall be dealt with according to the legislation of that country.
- 4 Charges
- 4.1 The sender shall pay, for each request, a special charge the guideline amount of which shall be 1.31 SDR.
- 4.2 The request shall be forwarded by post or by telecommunication at the sender's expense. The forwarding conditions and the provisions relating to the use of telecommunications are set out in 6 below.
- 4.3 The charges prescribed under 4.1 and 4.2 shall be levied only once for each request for withdrawal from the post or alteration or correction of address involving several items posted at the same time, at the same office, by the same sender to the same addressee.
- 5 Transmission of request by post
- 5.1 If the request is to be sent by post, the CN 17 form, accompanied if possible by a perfect facsimile of the envelope or of the address of the item, shall be sent direct to the office of destination under registered cover by the quickest route (air or surface).
- 5.2 If requests are exchanged through the central administrations, a copy of the request may, in an emergency, be sent direct by the office of origin to the office of destination. Requests sent direct shall be acted on. The items concerned shall be withheld from delivery until the arrival of the request from the central administration.
- 5.3 On receipt of the CN 17 form, the office of destination shall search for the item in question and take the necessary action.
- 5.4 The action taken by the office of destination on every request for withdrawal from the post or alteration or correction of address shall be communicated immediately to the office of origin by the quickest route (air or surface), using a copy of the CN 17 form with the "Reply of the office of destination" part duly completed. The office of origin shall inform the applicant. The same shall apply in the following circumstances:
- 5.4.1 fruitless searches;
- 5.4.2 items already delivered to the addressee;
- 5.4.3 item confiscated, destroyed or seized.
- 5.5 A non-priority or surface item shall be returned to origin by priority or by air following a request for withdrawal from the post when the sender undertakes to pay the corresponding difference in postage. When an item is redirected by priority or by air following a request for alteration or correction of address, the difference in postage corresponding to the new route shall be collected from the addressee and retained by the delivering designated operator.
- 6 Transmission of request by telecommunications
- 6.1 If the request is to be made by telecommunications, the CN 17 form shall be handed over to the corresponding service for transmission of the details to the post office of destination. The sender shall pay the corresponding charge for that service.
- 6.2 On receipt of the message received by telecommunications, the office of destination shall search for the item in question and take the necessary action.
- 6.3 Any request for alteration or correction of address concerning an insured item made by telecommunications shall be confirmed by post, by the first mail, as prescribed under 5.1. The CN 17 form shall then bear at the head, in bold letters, the note "Confirmation de la demande transmise par

voie des télécommunications du ...” (Confirmation of request made by telecommunications dated ...); pending such confirmation, the office of destination shall merely retain the item. However, the designated operator of destination may, on its own responsibility, act on the request made by telecommunications without waiting for confirmation by post.

- 6.4 If the sender of a request sent by telecommunications has asked to be notified by similar means, the reply shall be sent by this means to the office of origin. It shall inform the applicant as quickly as possible. The same shall apply if a request by telecommunications is not sufficiently explicit to identify the item with certainty.

#### Article 19-105

Withdrawal from the post. Alteration or correction of address **and/or name of the addressee**. Items posted in a country other than that which receives the request

1 Any office which receives a request for withdrawal of items from the post or alteration or correction of address **and/or name of addressee** made in accordance with article 19-105.2 shall verify the identity of the sender of the item. It shall ensure, in particular, that the address of the sender appears clearly in the place provided for that purpose on the CN 17 form. It shall then send the CN 17 form to the office of origin or destination of the item.

2 If the request concerns a registered or insured item, the original receipt must be presented by the sender and the CN 17 form shall bear the notation: “Vu l’original du récépissé” (Seen, original receipt). Before the receipt is given back to the sender, the following notation shall be made on it: “Demande de retrait (de modification ou de correction d’adresse) déposée le ... au bureau de ...” (Request for withdrawal from the post (or for alteration or correction of address) made on ... at the office of ...). This note shall be accompanied by an impression of the date-stamp of the office receiving the request. The CN 17 form shall then be sent to the office of destination via the office of origin of the item.

3 Any request made by telecommunications under the conditions laid down under 1 shall be sent direct to the office of destination of the item. If, however, it refers to a registered or insured item, a CN 17 form bearing the notations “Vu l’original du récépissé de dépôt” (Seen, original of certificate of posting) and “Demande transmise par voie des télécommunications déposée le ... au bureau de ...” (Request made by telecommunications on ... at the office of ...) shall, in addition, be sent to the office of origin of the item. After verifying the details, the office of origin shall write at the top of the CN 17 form, in very bold characters, the note “Confirmation de la demande transmise par voie des télécommunications du ...” (Confirmation of request made by telecommunications dated ...) and shall send it to the office of destination. The office of destination shall hold the registered or insured item until receipt of this confirmation.

4 So that the sender may be informed, the office of destination of the item shall inform the office which receives the request how it has been dealt with. However, when a registered or insured item is concerned, this information shall pass through the office of origin of the item. In the case of withdrawal, the withdrawn item shall be attached to this information.

5 Article 19-105 shall apply, by analogy, to the office which receives the request and to its designated operator.



## Section VII Remuneration

### A. *Transit charges*

#### Article 27-101

##### Transit charges. General provisions

#### 1 Accounting

- 1.1 Accounting for surface-mail transit charges and for charges for handling transit airmails shall be done as detailed in article **34-010** by the designated operator of transit, for each designated operator of origin. It shall be based on the weight of the mails received in transit, sent during the period concerned. The rates laid down in article **27-103** shall be applied.
- 1.2 The transit charges and charges for handling transit airmails shall be borne by the designated operator of origin of the mails. They shall be payable, subject to the exception provided for under 1.4, to the designated operators of the countries which are crossed or whose services take part in the land or sea conveyance of the mails.
- 1.3 The charges for the sea conveyance of mails in transit may be settled directly between the designated operators of origin of the mails and the shipping companies or their agents. The designated operator of the port of embarkation concerned shall give its prior agreement.
- 1.4 In the absence of special agreement between the designated operators concerned, the transit charge scales laid down in article **27-103** shall apply to airmail correspondence for any transit by land or by sea. Nevertheless, no land transit charges shall be payable for:
  - 1.4.1 the transshipment of airmails between two airports serving the same town;
  - 1.4.2 the conveyance of such mails from an airport serving a town to a depot situated in the same town and the return of the same mails for reforwarding.

2 If a dispute between designated operators concerning the annual results has to be settled, the designated operators concerned shall ask their member countries to act in accordance with the procedure provided for in article 153 of the General Regulations. The arbitrators shall be empowered to fix in a fair and reasonable manner the transit charges or terminal dues to be paid.

#### Article 27-102

##### Application of transit charges

- 1 In the absence of special agreement, direct sea conveyance between two countries by the ships of one of them shall be regarded as a third party service.
- 2 Sea transit shall begin when the mails are handed over to the shipping company appointed by the sending designated operator and shall end when the mails are handed over to the designated operator of destination, or when the designated operator of destination has been given the delivery order or any other relevant document, whichever is the earlier. Sea transit charges, payable by the sending designated operator, include all costs incurred by the shipping line at the port of arrival. If the designated operator of destination has to pay additional charges for services incurred prior to notification, such as port charges, canal tolls, terminal or pier charges for related service and any other similar charges for handling containerized or bulk dispatches, the designated operator of destination shall obtain reimbursement of these additional charges from the dispatching designated operator. However, any storage costs incurred after notification by the shipping line that the mails are available and physically accessible for collection, shall be borne by the designated operator of destination.
  - 2.1 Notwithstanding the provision of 2, the designated operator of destination of the mails shall collect from the designated operator of origin the sum corresponding to the port storage charges, when the dispatching office fails to send a copy of the CN 37 bill in time as provided for in article **17-135.5.6**.

2.2 Reimbursement of additional sea transit charges shall be claimed by means of a CN 62bis detailed account as in article **34-010**.

3 When a foreign transport service crosses the territory of a country without the participation of the latter's services in accordance with article **17-112**, mails thus forwarded shall not be subject to the payment of land transit charges.

4 A current sea mail contact address, including physical address, telephone, fax and e-mail address must be maintained in the List of addresses of heads and senior officials of postal entities for all countries sending and accepting sea mail. It may be necessary to include two addresses where different sections within a designated operator deal with import and export. Wherever possible, a generic e-mail address (e.g. seemail@xxpost.com) should be arranged by each designated operator to avoid pre-advice going astray.

#### Article **27-103**

##### Transit charges

1 The transit charges to be borne by the designated operator of origin of the mails shall be calculated on the basis of the following components:

1.1 A rate for handling transit dispatches, which shall be **0.541 SDR per kilogramme for 2018, 0.546 SDR per kilogramme for 2019, 0.552 SDR per kilogramme for 2020 and 0.557 SDR per kilogramme for 2021**.

1.2 A rate based on distance, which shall be:

1.2.1 for air transport: the basic air conveyance rate set by the Postal Operations Council using the formula in article **33-101**;

1.2.2 for land transport:

1.2.2.1 per kilogramme and per kilometre up to **1,000 kilometres: 0.326 thousandths of an SDR for the year 2018; 0.353 thousandths of an SDR for the year 2019; 0.384 thousandths of an SDR for the year 2020 and 0.416 thousandths of an SDR for the year 2021**;

1.2.2.2 per kilogramme and per supplementary kilometre up to **3,000 kilometres: 0.217 thousandths of an SDR for the year 2018; 0.203 thousandths of an SDR for the year 2019; 0.190 thousandths of an SDR for the year 2020 and 0.178 thousandths of an SDR for the year 2021**;

**1.2.2.2bis per kilogramme and per supplementary kilometre up to 5,000 kilometres: 0.195 thousandths of an SDR for the year 2018; 0.180 thousandths of an SDR for the year 2019; 0.167 thousandths of an SDR for the year 2020 and 0.155 thousandths of an SDR for the year 2021**;

1.2.2.3 per kilogramme and per supplementary kilometre: **0.137 thousandths of an SDR for the year 2018; 0.124 thousandths of an SDR for the year 2019; 0.113 thousandths of an SDR for the year 2020 and 0.103 thousandths of an SDR for the year 2021**;

1.2.2.4 the rate based on distance being calculated per 100-kilometre distance step, based on the mid-value in each step;

1.2.3 for sea transport:

1.2.3.1 per kilogramme and per nautical mile (1.852 km) up to 1,000 nautical miles: **0.123 thousandths of an SDR for the year 2018; 0.145 thousandths of an SDR for the year 2019; 0.170 thousandths of an SDR for the year 2020 and 0.199 thousandths of an SDR for the year 2021**;

1.2.3.2 per kilogramme and per supplementary nautical mile up to 2,000 nautical miles: **0.070 thousandths of an SDR for the year 2018; 0.081 thousandths of an SDR for the year 2019; 0.095 thousandths of an SDR for the year 2020 and 0.110 thousandths of an SDR for the year 2021**;

1.2.3.3 per kilogramme and per supplementary nautical mile up to 4,000 nautical miles: **0.055 thousandths of an SDR for the year 2018; 0.060 thousandths of an SDR for the year 2019; 0.065 thousandths of an SDR for the year 2020 and 0.071 thousandths of an SDR for the year 2021**;

- 1.2.3.4 per kilogramme and per supplementary nautical mile up to 10,000 nautical miles: **0.023** thousandths of an SDR for the year **2018**; **0.016** thousandths of an SDR for the year **2019**; **0.011 thousandths of an SDR for the year 2020** and **0.008** thousandths of an SDR for the year **2021**;
- 1.2.3.5 per kilogramme and per supplementary nautical mile: **0.014** thousandths of an SDR for the year **2018**; **0.009** thousandths of an SDR for the year **2019**; **0.005 thousandths of an SDR for the year 2020** and **0.003** thousandths of an SDR for the year **2021**;
- 1.2.3.6 the rate based on distance being calculated per 100-nautical-mile distance step, based on the mid-value in each step.

#### Article 27-104

##### Kilometric distances

1 The distances used to determine the transit charges for distances traversed by land according to article 27-103.1.2 shall be taken from the "List of Kilometric Distances relating to land sectors of mails in transit" published by the International Bureau.

#### Article 27-105

##### Extraordinary services. Multimodal transport

1 The transit charges specified in article 27-103 shall not be applicable to conveyance by extraordinary services specially established or maintained by a designated operator at the request of one or more other designated operators. The conditions of this class of conveyance shall be regulated by mutual consent between the designated operators concerned.

2 When surface mails from a designated operator are reforwarded by combined land and sea transport facilities, the conditions of such reforwarding shall be covered by a special agreement between the designated operators concerned.

#### Article 27-106

##### Calculation and accounting for charges for items in transit à découvert and missent items

##### 1 General

1.1 Each designated operator which forwards transit à découvert items shall have the right to collect from the designated operator of origin transit charges for the costs incurred for the handling and transportation of items in transit as well as the terminal dues increments to be paid to the designated operator of destination. Transit charges shall be calculated according to the net weight.

##### 1.2 Determination of transit charges for items forwarded in transit à découvert by groups of countries

1.2.1 The transit charges shall be fixed by groups of countries of destination. The number of groups shall not be higher than 10. The transit charges for each group shall correspond to the weighted average of the transit charges payable to the different destinations within the group. The weighting shall be based on the volume of mail in transit forwarded to each country within the respective group.

##### 1.3 Calculation of charges

##### 1.3.1 Charges corresponding to handling of items forwarded in transit à découvert

1.3.1.1 The charge for handling of items forwarded in transit à découvert shall be **1.000 SDR per kilogramme for 2018, 1.010 SDR per kilogramme for 2019, 1.020 SDR per kilogramme for 2020 and 1.030 SDR per kilogramme for 2021.**

##### 1.3.2 Charges corresponding to the transport of items forwarded in transit à découvert

1.3.2.1 Charges corresponding to the transport of items in transit à découvert forwarded by surface shall be calculated on the basis of the distance charges indicated in article 27-103.1.2.2. Charges for the transport of items in transit à découvert forwarded by air shall be calculated on the basis of the charges indicated in article 33-101.3.

- 1.3.3 Terminal dues increments
  - 1.3.3.1 Terminal dues increments shall be calculated on the basis of average differences between the terminal dues received by the designated operator of transit and those paid to the designated operator of destination.
- 1.4 Increase of transit charges for items forwarded in transit à découvert
  - 1.4.1 The amounts of transit charges, calculated as under 1.3, shall be increased by 10% for the transit à découvert items forwarded by surface and by 5% for the transit à découvert items forwarded by air. These increases are shown in form CN 51.
- 1.5 Charges applicable to missent items
  - 1.5.1 Each designated operator which forwards missent items shall have the right to collect from the designated operator of origin transit charges for the costs incurred for the handling and transportation as well as terminal dues increments to be paid to the designated operator of destination. The intermediate designated operator shall be authorized to claim from the dispatching designated operator the relevant charges calculated in accordance with paragraphs 1.2 to 1.4 plus additionally a charge of 2 SDR/kg.
- 1.6 Accounting for items in transit à découvert shall take place, in principle, on the basis of the data of statistical returns, but, if the transit designated operator so requests, it shall be based on actual weight.
- 1.7 Accounting shall take place on the basis of actual weight in the case of missent items and items posted on board ship or sent at irregular intervals or in too varying amounts. However, this accounting shall be done only if the intermediate designated operator asks to be paid for the transit service rendered. For this purpose, the CN 65 form should be used by the intermediate designated operator, accompanied by the relevant CN 43 verification notes. The intermediate designated operators may also agree with the designated operator of origin to base the accounting for missent items on statistics.
- 2 Statistical operations
  - 2.1 The statistical operations shall be conducted annually and alternately during May in odd years and October in even years.
  - 2.2 During the statistical period, à découvert transit items shall be accompanied by CN 65 bills. The CN 26 or CN 25 bundle label, as appropriate, and the CN 65 bill shall be overprinted with the letter "S". When there are no à découvert items, registered or unregistered, to be inserted in a mail which normally includes such items, one or two CN 65 bills, as necessary, endorsed "Néant" (Nil) shall accompany the letter bill of the mail.
  - 2.3 During the statistical period, all items in transit à découvert shall be placed with the CN 65 delivery bill in a closed bag, which may be of transparent plastic, inserted in the bag containing the letter bill.
  - 2.4 Each designated operator dispatching items in transit à découvert shall inform intermediate designated operators of any change occurring during an accounting period in the provisions laid down for the exchange of this mail.
- 3 Preparation and checking of CN 65 bills
  - 3.1 The weights shall be shown separately for each group of countries of destination on the CN 65 bills. These shall be specially numbered in two consecutive series, one for unregistered items and the other for registered items. The number of CN 65 bills shall be entered in the **relevant section** of the CN 31 or CN 32 letter bill. Transit designated operators may request the use of special CN 65 bills listing in a set order the most important groups of countries. All CN 65 bills shall be inserted into the bag containing the CN 31 letter bill.
  - 3.2 The weight of à découvert items for each group of countries shall be rounded up to the nearest decagramme when the fraction of the decagramme is equal to or greater than 5 grammes; it shall be rounded down to the nearest decagramme otherwise.
  - 3.3 If the intermediate office establishes that the actual weight of à découvert items differs by more than 20 grammes from the weight recorded, it shall amend the CN 65 bill and immediately notify the

- dispatching office of exchange of the mistake by CN 43 verification note. If the discrepancy noted is within the above-mentioned limits the entries made by the dispatching office shall hold good.
- 3.4 In the absence of a CN 65 bill or in the case of missent items contained in a dispatch, the CN 65 bill shall, in appropriate cases, be prepared routinely and the irregularity pointed out to the office of origin by CN 43 note.
- 3.5 If the total weight of missent items in a dispatch originating at an office of exchange does not exceed 50 grammes, routine preparation of a CN 65 bill in accordance with 3.4 shall not take place.
- 4 Items in transit à découvert for which accounts are prepared on the basis of actual weight
- 4.1 If the designated operator of transit requires the transit charges for à découvert items to be accounted for on the basis of actual weight, dispatches containing such items shall be accompanied by CN 65 bills, which are attached to the letter bill. The same procedure is used for items in transit à découvert which are excluded from statistical operations and for which accounts are prepared on the basis of the actual weight.
- 4.2 Items posted on board ship on the high seas, prepaid by means of postage stamps of the country whose flag the ship flies or in which it is registered, shall be accompanied by a CN 65 bill when handed over à découvert to the designated operator at an intermediate port of call. If the ship does not have a post office, the items shall be accompanied by a statement of weights which shall serve as a basis for the intermediate designated operator to claim land or sea transit/S.A.L. conveyance charges. The CN 65 bill or statement of weights shall include the weight of the items for each country of destination, the date, and the name and flag of the ship, and be numbered in a consecutive annual series for each ship. These particulars shall be checked by the office to which the items are handed over from the ship.
- 5 Preparation of CN 67 statements of weights
- 5.1 For items arriving in transit à découvert and for missent items, the creditor designated operator shall, annually at the end of the statistical period, prepare the CN 67 statement of weights on the basis of the data for this period from CN 65 bills. The total weight given in the CN 67 statement shall be multiplied by 12 and included in the CN 51 account. In cases where accounts have to be prepared on the basis of the actual weight of items, the CN 67 statements shall be prepared each month or quarter, on the basis of data from the relevant CN 65 bills.

**Article 27-107**

## Transit charges for diverted or misrouted mails

- 1 Designated operators of transit shall do their utmost to route the mails as specified by the dispatching designated operator. If the mails are nevertheless diverted or misrouted, transit charges shall be payable to the designated operators participating in the transit conveyance of such mails without article **34-012.9** being applicable. The designated operator of origin may in its turn claim reimbursement from the designated operator whose services made the dispatching error.

**Article 27-108**

## Airmails and surface airlifted (S.A.L.) mails in transit by surface

- 1 In the absence of special agreement between the designated operators concerned, airmails and S.A.L. mails conveyed frequently by surface for part of their journey in third countries shall be subject to payment of transit charges.
- 2 In the case referred to above, the transit charges shall be calculated in accordance with the actual gross weights shown on the CN 38 delivery bills for airmails and on the CN 41 bills and, where appropriate, the CN 36 labels for S.A.L. mails.

**Article 27-109**

Payment of transit charges for the transport of empty bags returned by surface or air

1 Transit charges for the transport of empty bags returned by surface or air shall be payable by the designated operator owning the receptacles. They shall be calculated on the basis of article **27-103**. Mails of empty bags shall be subject to payment of 30% of the transit charges applicable to letter-post mails.

2 The designated operator returning the empty bags shall not be entitled to the reimbursement of costs in its country if the weighted average distance for the transport of empty bags to the border of its country does not exceed 300 km. If the weighted average distance exceeds 300 km the transport charge, but not the handling charge, is paid for the number of km exceeding 300.

3 The transport charge payable for transport within the country returning the bags shall be fixed in the form of a single price per kilogramme. This single price shall include transport charge within the country, between the different offices of exchange and borders. It shall be calculated on the basis of the rates actually paid for conveyance of the mail within the country of destination, but not exceeding the transport charge defined in article **27-103**. The weighted average distance shall be calculated by the International Bureau in terms of the gross weight of all the empty bag dispatches sent from the country concerned.

4 Designated operators which claim reimbursement of transport costs shall be obliged to communicate to the International Bureau by 1 July the data needed for calculating the weighted average distance and remuneration. The International Bureau shall communicate the weighted average distance and the remuneration to all designated operators by 1 October and they shall enter into force from 1 January of the following year.

*B. Terminal dues*

**Article 30-101**

Terminal dues. General provisions

1 Accounting

1.1 For letter-post items, with the exception of M bags, accounting for terminal dues shall be done annually by the creditor designated operator, according to the actual weight of the mails and the actual number of registered items and of insured items received and, where applicable, the number of items received during the year concerned. The rates laid down in articles **29** and **30** of the Convention shall be applied.

1.2 For M bags, accounting for terminal dues shall be done annually by the creditor designated operator, on the basis of the weight subject to terminal dues in accordance with the conditions laid down in articles **29** and **30** of the Convention.

1.3 To enable the annual weight and number of items to be determined, the designated operators of origin of the mails shall permanently indicate, for each mail:

1.3.1 the weight of the mail (excluding M bags);

1.3.2 the weight of the M bags weighing more than 5 kilogrammes;

1.3.3 the number of M bags weighing less than 5 kilogrammes;

1.3.4 the number of registered items included in the mail;

1.3.5 the number of insured items included in the mail.

1.4 In the absence of a special agreement between the designated operators concerned, in relations between countries in the target system, the number of items shall be determined in accordance with articles **30-116** and **30-118**.

1.5 When it is necessary to determine the number and weight of bulk items, the modalities indicated in article **17-127** for this category of mail shall be applied.

1.6 The designated operators concerned may agree to account for terminal dues in their reciprocal relations by different statistical methods. They may also agree on a different periodicity from those laid down in article **30-119** for the statistical period.

2 If a dispute between designated operators concerning the annual results has to be settled, the designated operators concerned shall ask their member countries to act in accordance with the procedure provided for in article 153 of the General Regulations. The arbitrators shall be empowered to fix in a fair and reasonable manner the transit charges or terminal dues to be paid.

3 Exemption from terminal dues

3.1 The letter-post items relating to the postal service referred to in article **16-001.1** and undelivered postal items returned to origin in closed **dispatches shall** be exempted from terminal dues.

3.1.1 This exemption shall not apply to undelivered postal items which have been erroneously inserted in the network for which the designated operator has not collected the postage charges.

3.2 IBRS items and advices of delivery shall be exempted from terminal dues.

**3.3 Receptacles containing only the CN 31 letter bill and dispatches of empty receptacles shall be exempted from terminal dues.**

#### Article **30-102**

Voluntary membership of the target system by member countries

1 For the purposes of article **28.13** of the Convention, any member country may declare its voluntary accession to the target system. The designated operator of the country wishing to join shall send the International Bureau an official declaration to this effect, no later than 1 June of the year prior to that in which it wishes to join the target system. The International Bureau shall notify the countries of the target system within 30 days of receiving this declaration.

2 In its declaration, the designated operator of the acceding member country shall undertake to effect all statistical, accounting and other operations involved in participation in the target system with effect from 1 January of the following year.

3 The designated operator of the acceding member country shall notify its choice of one of the following sets of provisions:

3.1 the provisions applicable to countries and territories in the target system prior to 2010;

3.2 the provisions applicable to countries and territories in the target system as of 2010;

3.3 the provisions applicable to countries and territories in the target system as of 2012;

3.4 the provisions applicable to countries and territories in the target system as of 2014.

4 Before sending its declaration, or along with it, the designated operator of the member country wishing to accede and opting for the application of target system provisions as in 3.1, 3.2 or 3.3 shall notify the International Bureau of the charges provided for in articles **30-107** and **30-108**. Before 1 July of the year of accession, the International Bureau shall inform target system countries and the designated operator of the acceding country of the per-item and per-kilogramme rates payable to the acceding member country with effect from 1 January of the following year.

5 All provisions linked to the target system shall apply to the acceding member country from 1 January of the year in which its membership of the target system comes into effect, in accordance with its choice notified under paragraph 3.

### Article 30-103

#### Direct access to domestic services

1 All designated operators of Union countries shall communicate to the International Bureau the applicable conditions for direct access to their domestic services, including information on contact persons (Key Account Managers), services, products and charges, operational and financial requirements, as well as Internet links to websites providing further information. The designated operators shall see to it that any changes in their charges and other conditions which have an impact on direct access are communicated to the International Bureau before they enter into force.

2 On the basis of the information furnished, the International Bureau shall publish a direct access database on the UPU website. The International Bureau shall update the database periodically. An operational guide shall also be made available to participants by the International Bureau.

3 Designated operators of countries and territories having joined the target system from **2010, 2012 or 2016** shall notify the International Bureau as to whether or not they opt to make direct access available to other designated operators, as provided for in article **28.4.3** of the Convention. A designated operator opting for the two-year trial period shall, at the end of this period, notify the International Bureau of its decision either to cease making direct access available or to make it available to all designated operators on a non-discriminatory basis. The International Bureau should also be informed of any future request for direct access by the designated operator of a new target country to a target country designated operator. Pursuant to article **28.4.3**, this implies the obligation to make direct access available to all designated operators. The International Bureau will update the direct access database accordingly.

4 Designated operators of countries and territories in the transition system shall notify the International Bureau as to whether they opt to make direct access available to other designated operators, as provided for in article **28.4.4** of the Convention. A designated operator opting for the two-year trial period shall, at the end of this period, notify the International Bureau of its decision either to cease making direct access available or to make it available to all designated operators on a non-discriminatory basis. The International Bureau shall update the direct access database accordingly.

5 Designated operators willing to use the direct access offer of another designated operator should contact the latter to obtain the latest valid information and to agree bilaterally on any outstanding issues before starting service.

### Article 30-104

#### Supplementary remuneration **for registered**, insured and **tracked** items

1 General principles

1.1 **For registered and insured items:** The remuneration provided for in article **28.8** of the UPU Convention in respect of registered or insured items may be supplemented for designated operators opting to link the remuneration of these items with the offer of additional service features in their relations with other designated operators that also opt to link the remuneration of these items with the offer of additional service features. In order to qualify for the supplementary remuneration, participating designated operators must fulfil the conditions for each category of items defined in paragraphs 2 and 3.

1.2 **For tracked items:** The per item terminal dues remuneration for **tracked** items, which is calculated in accordance with article **29.5 to 15** of the Convention for the designated operators in the target system, and with article **30.4** of the Convention for the designated operators in the transition system, **shall** be supplemented for designated **operators in** their relations with other designated operators that also **offer the service**. In order to qualify for the supplementary remuneration, participating designated operators must fulfil the conditions for this category of items defined in paragraphs 2 and 3.



- 2 Additional service features
  - 2.1 In order for designated operators **offering tracked items and/or** opting to link the remuneration of registered **and/or insured items** with the offer of additional service features to qualify for supplementary remuneration, they shall **transmit electronic information for these items, as defined in article 17-131**.
- 3 Deadlines for transmission and quality targets
  - 3.1 In order for the inward registered, insured or **tracked** items to qualify for supplementary remuneration in a relation between a designated operator of origin and destination which meet the conditions set in paragraph 2, the designated operator of destination shall observe (in this specific relation) the following targets associated with the transmission of item scanning event information:
    - 3.1.1 **Fifty-six** percent of **the** items that receive an EMC (departure from office of exchange) event shall have an **EMD (arrival at inward office of exchange) event transmitted within 24 hours of the event time and date;**
      - 3.1.1.1 **The target in 3.1.1 shall be considered as achieved in cases where the number of items that receive an EMD event within the respective transmission time set out in paragraph 3.1.1 exceeds the number of items that receive an EMC event;**
      - 3.1.1.2 **Fifty-six** percent of the items **that receive an EMD** shall have an **EDH (arrival at collection point for pick up by recipient) or** EMH (attempted/unsuccessful delivery) **and/or** an EMI (final delivery) event, each transmitted within 24 hours of the event time and date.
- 4 Amount of the supplementary remuneration
  - 4.1 **On a monthly basis**, for the total number of inward registered or **insured items** in each category exchanged on a given relation between designated operators for which the conditions defined in paragraph 2.1 and the quality targets defined in paragraph 3 are met, a supplementary remuneration of 0.5 SDR per item will be paid by the designated operator of origin to the designated operator of destination.
  - 4.2 **On a monthly basis**, for the total number of inward tracked items exchanged on a given relation between designated operators for which the conditions defined in paragraph 2.1 and the quality targets in paragraph 3 are met, a supplementary remuneration per item shall be paid by the designated operator of origin to the designated operator of destination. The amount of this supplementary remuneration shall be 0.69 SDR per item in 2018, 0.71 SDR per item in 2019, 0.73 SDR per item in 2020 and 0.75 SDR per item in 2021.
- 5 Communication of the offer of **tracked or** additional service features
  - 5.1 The offer of **tracked or** additional service features associated with supplementary remuneration **for registered and insured items** shall be notified to the International Bureau. Relevant information will be presented in the Letter Post Compendium. The reporting and payment of the additional remuneration shall be effective the first quarter after the date of notification but not within less than two months after the date of notification.

#### Article 30-105

##### Measurement of performance. Reporting and validation

- 1 Measurement
  - 1.1 The performance against quality targets referred to in article **30-104** shall be measured by a suitable recognized system, which shall make use of the scanning information transmitted.
  - 1.2 Monthly **performance** reports shall be produced and shall be communicated to the designated operators participating in the supplementary remuneration programme **and/or offering tracked items as** described in article **30-104.2.1**.

- 2 Reporting
  - 2.1 The **monthly** reports shall present the performance **and corresponding remuneration** for the transmission of scanning information for the inward items received by each designated operator in its relation with each of the other designated operators participating in the supplementary remuneration programme **or those designated operators offering tracked items** as described in article **30-104.2.1**.
  - 2.2 The International Bureau shall complement **these monthly performance reports with quarterly reports aggregating the results of the monthly performance reports for the three months in each quarter. These quarterly reports shall sum up the supplementary remuneration due** with a list of relations by category of items on which the inward items qualify for the supplementary remuneration. These reports shall be communicated to the designated operators participating in the supplementary remuneration programme **or those designated operators offering tracked items** as described in article **30-104.2.1** according to the following schedule:
    - 2.2.1 Monthly **performance** reports – within one month following the end of the reporting period;
    - 2.2.2 Quarterly **aggregate** reports – **in conjunction with the monthly report in the month following the end of each quarter.**
  - 2.3 The monthly reports shall be considered as final if no query has been received by the International Bureau within one month of the issue of the most recent monthly report.
- 3 Treatment of queries
  - 3.1 Designated operators may query the results by bringing evidence to the International Bureau and shall also notify the affected designated operator in question. The POC shall make a binding decision on the query based on an evaluation carried out by the International Bureau, which must include any supporting information provided by the designated operator that submitted the query.
- 4 Costs
  - 4.1 The costs of administering these additional service features shall be borne by the participating designated operators.

#### Article **30-106**

##### Preparation and transmission of charges for supplementary remuneration accounts

- 1 The creditor designated operator shall be responsible for preparing quarterly or annual accounts and forwarding them to the debtor designated operator. The accounts shall be based on the quarterly **aggregate** reports **from monthly reports** provided by the International Bureau **as described in article 30-105.2.2**.
- 2 The detailed accounts shall be prepared and transmitted as follows:
  - 2.1 The creditor designated operator shall list on a CN 60 form the monthly total number of qualifying items and the corresponding supplementary remuneration as reported by the International Bureau.
  - 2.1bis The rules for the transfer and acceptance of accounting forms provided for in article 34-100bis shall be applied to CN 60 accounts.**
  - 2.2 The CN 60 accounts shall be sent to the debtor designated operator no later than one month following receipt from the International Bureau of the supplementary remuneration quarterly or annual reports to which they refer.
  - 2.3 In principle, the statements shall be regarded as fully accepted as rendered with no changes or amendments since the account information used is from a suitable recognized system and the reports are provided by the International Bureau. Statements that are not accepted shall be accompanied with evidence that the debtor designated operator has queried the results of the report published by the International Bureau for the month in question.
- 3 The debtor designated operator shall make payment for the amount billed within the six-week period provided for in article **34-004.10**.

**Article 30-107**

Calculation of the rates of terminal dues for countries applying articles **29.5 to 15** and **30.4 to 5** of the Convention

1 The charges referred to in article **29.5** of the Convention shall be in force on 1 June of the year preceding the calendar year to which the terminal dues rates apply. They shall be notified to the International Bureau by the same date and in compliance with the conditions provided for in article **30-108**.

2 On the basis of these charges, expressed in local currency, the International Bureau shall annually convert the values notified, expressed in SDR, into a rate per item and a rate per kilogramme, in accordance with paragraphs 3 and 4. To calculate the rates in SDR the International Bureau shall use the average monthly exchange rate of the period from 1 January to 31 May of the year preceding the terminal dues reference year. The resultant rates shall be notified by circular no later than 1 July.

3 A rate per item and a rate per kilogramme shall be determined by linear interpolation between the reference charges for the 20-gramme letter-post item and the 175-gramme letter-post item specified in article **29.5** of the Convention, at the weights of 10 grammes and 175 grammes, respectively. **These rates per item and per kilogramme shall be changed to a rate per item and rate per kilogramme with an item-to-kilogramme ratio of 12.8% at 91.9 grammes.** On the basis of these rates, the terminal dues revenue for an item of **37.6 grammes and an item of 375 grammes** shall be calculated.

4 The terminal dues rate per item and rate per kilogramme **for small (P) and for large (G) letter-post items** shall be determined following the steps below:

4.1 Calculate the floor terminal dues revenue for an item of **37.6** grammes, using the minimum rates provided for in article **29** of the UPU Convention;

4.2 Calculate the cap terminal dues revenue for an item of **37.6** grammes, using the maximum rates provided for in article **29** of the UPU Convention for the group of countries to which the country concerned belongs;

4.3 Compare the terminal dues revenue obtained in 3 with the values in 4.1 and 4.2, and:

4.3.1 if this value is below the value in 4.1, the applicable rates per item and per kilogramme shall be the minimum rates provided for in article **29** of the UPU Convention;

4.3.2 if this value is between the value in 4.1 and 4.2, multiply the minimum rates per item and per kilogramme by the ratio: revenue in 3 divided by revenue in 4.1. The resulting rates shall be rounded to three decimal places;

4.3.3 if this value is above the value in 4.2, use the maximum rates per item and per kilogramme provided for in article **29** of the UPU Convention for the group of countries to which the country concerned belongs.

5 The terminal dues **rate per item and rate per kilogramme for bulky (E) and small packet (E) letter-post items** shall be determined following the steps below:

5.1 Calculate the floor terminal dues revenue for an item of **375** grammes, using the minimum rates provided for in article **29** of the UPU Convention;

5.2 Calculate the cap terminal dues revenue for an item of **375** grammes, using the maximum rates provided for in article **29** of the UPU Convention for the group of countries to which the country concerned belongs;

5.3 Compare the terminal dues revenue obtained in 3 with the values in 5.1 and 5.2, and:

5.3.1 if this value is below the value in 5.1, the applicable rates per item and per kilogramme shall be the minimum rates provided for in article **29** of the UPU Convention;

5.3.2 if this value is between the value in 5.1 and 5.2, multiply the minimum rates per item and per kilogramme by the ratio: revenue in 3 divided by revenue in 5.1. The resulting rates shall be rounded to three decimal places;

**5.3.3** if this value is above the value in 5.2, use the maximum rates per item and per kilogramme provided for in article 29 of the UPU Convention for the group of countries to which the country concerned belongs.

**6** Other than for the 2020 terminal dues rates applicable to bulky (E) and small packet (E) letter-post items, the terminal dues rates calculated in accordance with paragraphs 4 and 5 shall be proportionally adjusted so that they do not lead to an increase of more than 13% in the terminal dues revenue for an item of 37.6 grammes for small (P) and for large (G) letter-post items and for an item of 375 grammes for bulky (E) and small packet (E) letter-post items, compared with the previous year.

**7** If no charge has been communicated to the International Bureau by 1 June, the charges used for the calculation for the previous year for the designated operator concerned shall apply. If the calculation is made for the first time for the designated operator concerned, the rates provided for in article 29.10 and 11 of the Convention shall apply.

**8** Notwithstanding the provisions set out under 2 and 6, the International Bureau must be informed of any reduction in the charge in the domestic service referred to in article 29.5 of the Convention by the designated operator concerned.

**9** The new rates calculated on the basis of this article shall come into effect on 1 January and remain in force for the entire calendar year. In cases of charges queried by other countries or reported by the International Bureau in accordance with article 30-108.6 and 7, the calculated rates shall be regarded as provisional, until the Postal Operations Council decides as provided for in article 30-108.8.

#### Article 30-108

Conditions applying to the notification of the reference charges for the calculation of terminal dues rates

**1** The domestic charges provided in article 29.5 of the Convention for the calculation of terminal dues rates (reference charges) shall correspond to domestic items equivalent to the basic services defined in article 17 of the Convention.

**2** Article 17-105 defines the specifications with respect to formats, dimensions and weight of items with which the reference charges shall conform.

**3** In terms of speed of treatment, the reference charges shall correspond to items equivalent to those defined in article 17-101.2.1 as **priority items**.

**4** The designated operators of countries in the target system shall notify to the International Bureau by 1 June the charges in force on 1 June of the year preceding the calendar year to which the terminal dues rates apply.

**5** In cases where the items to which the notified charges correspond are not compliant with the requirements set out in article 29.5 of the UPU Convention and in paragraphs 1 to 3 above, the Postal Operations Council shall decide, on the basis of a report by the International Bureau, on the appropriate reference charge to be used for the calculation of terminal dues. The following rules shall apply:

**5.1** In cases where, in the domestic service, the classification of items by format does not apply, the charges of items not classified by format, corresponding to the weights and dimensions provided for in article 17-105, shall be applied.

**5.2** In cases where the specifications of the items, as defined in 17-105, do not apply in the domestic service of a specific country, the charge corresponding to the item with specifications nearest to the reference item shall apply, the format followed by the weight being the determinant criteria.

**5.3** In cases where the conditions in paragraphs 1 to 3 are met by more than one item, the lowest charge shall apply.

**6** Any member country or designated operator applying article 29 of the Convention may query the use of a charge by another member country or its designated operator for the calculation of terminal dues rates

within the UPU. The query should be submitted to the International Bureau at least six weeks before the next Postal Operations Council starting date, and be made available with the result of the technical evaluation to Postal Operations Council members and the member country or designated operator whose charge is the subject of the query, at least two weeks before the starting date.

7 The International Bureau shall report to the Postal Operations Council any cases where the notified reference charges have been contested or do not appear to be compatible with article 29 of the Convention and with this article.

8 The Postal Operations Council shall decide on the cases in paragraph 6 and 7 at its next meeting following notification, on the basis of a technical evaluation by the International Bureau, whether the notified reference charges are compatible with article 29 of the Convention and with this article.

**9 In accordance with article 28bis.6bis of the Convention, any initial self-declared rates beginning with effect from 1 July 2020 shall be furnished to the International Bureau no later than 1 March 2020, and the resultant initial rates shall thereafter be published by the International Bureau by 1 April 2020. For the initial rates set on 1 July 2020, the International Bureau shall use the average monthly exchange rate of the period from 1 August 2019 to 31 December 2019.**

#### Article 30-109

Quality of service–linked terminal dues remuneration between designated operators of countries in the target system

1 Terminal dues remuneration between designated operators of countries in the target system shall be based on quality of service performance of the designated operators of the country of destination.

2 Participation in a UPU-agreed system, compliant with the UPU GSM Technical Design, for quality of service measurement of the inward flow in the country or territory of destination, for the link between terminal dues and quality of service, is voluntary. If the designated operator of a country or territory of origin does not participate in the measurement of the inward flow, it shall pay to the designated operator of the country or territory of destination participating in the measurement quality of service adjusted terminal dues, but not less than 100% of the base terminal dues rates (terminal dues rates without any incentive or adjustment for quality of service performance). If a designated operator of a country or territory of destination does not put in place a UPU-agreed system for quality-of-service measurement of the inward flow compliant with the UPU GSM Technical Design, it shall receive 100% of the base terminal dues rates.

3 Notwithstanding the provisions set out under 1 and 2, designated operators of countries in the target system as of 2010 which have a total annual inward mail volume below 100 tonnes and which do not participate in a UPU-agreed system for quality of service measurement of inward flows shall receive from, and pay to, all the other designated operators 100% of the base terminal dues rate.

4 For the application of paragraph 3, designated operators concerned shall notify to the International Bureau by 1 June of each year the total inward mail volumes of the previous calendar year. On the basis of their notifications, the International Bureau shall publish by 1 July the list of designated operators applying the provisions in paragraph 3 for the following year. In the absence of notification, the provisions in paragraph 2 shall apply.

5 Designated operators participating in a UPU-agreed quality of service measurement system, compliant with the UPU GSM Technical Design, for the link between terminal dues and quality of service shall, as an incentive, receive a terminal dues increase of 5% for their whole inward letter mail flow.

6 Subject to the minimum rates provided in article 29.10 to 11 of the Convention, designated operators shall be subject to a penalty if the quality targets fixed have not been met. This penalty shall be 1/3% of the terminal dues remuneration for each percent under the performance target. The penalty shall in no case exceed 10%. Owing to the 5% incentive for participation, the maximum penalty shall not lead to remuneration lower than 95% of the base terminal dues rates.

7 Provisional quality of service–linked terminal dues rates shall be calculated by the International Bureau and notified by circular no later than 1 July of each year. The provisional rates shall come into effect on 1 January of the following year and shall remain in force for the entire calendar year. The provisional terminal dues rates shall be calculated in accordance with article **30-107** but shall, in addition, include an incentive and an adjustment based on the quality of service results of the previous calendar year.

8 Final quality of service–linked terminal dues rates shall be calculated by the International Bureau following the publication of the final quality of service results relating to the calendar year in question. The final quality of service–linked terminal dues rates shall be notified by International Bureau circular no later than 1 May of the year following the calendar year in question and shall replace the provisional terminal dues rates previously issued for that calendar year.

9 Designated operators of countries joining the target system in 2014 and in 2016 shall apply the provisions of article **28.5** of the Convention and of paragraphs 1, 2, 5 and 6 above no later than the third year after joining the target system. During the first two years after joining the target system, they may benefit from the following transitional arrangements:

9.1 They may decide not to apply the provisions of article **28.5** of the Convention and of paragraphs 1, 2, 5 and 6 above, meaning that they may decide not to participate in a quality of service measurement system. This decision shall have no effect on their payable and receivable terminal dues rates.

9.2 They may decide to participate in a UPU-agreed quality measurement system without effect on their payable and receivable terminal dues rates.

9.3 They may decide to apply the provisions of article **28.5** of the Convention and of paragraphs 1, 2, 5 and 6 above concerning incentives and penalties, from either the first year or second year after joining the target system.

10 The Postal Operations Council shall fix the annual quality of service standards and targets in accordance with the provisions in article **30-110**.

#### Article **30-110**

Principles for setting or revising quality of service standards and targets for the quality of service–linked terminal dues remuneration

1 For the purpose of the quality of service–linked terminal dues remuneration, the annual quality of service standards and targets shall be set by the Postal Operations Council based on standards and targets applicable in the domestic service with respect to comparable items and conditions.

2 The Postal Operations Council shall also decide on requests from designated operators to change their standards and targets due to changes to their domestic standards or targets. The revised standards or targets approved by the Postal Operations Council shall enter into force from the latter of the date of the implementation of such changes in the domestic services and the date of the receipt by the International Bureau of the change request from the designated operators concerned.

3 These standards and targets shall not be less favourable than those established for the inward letter-post items pursuant to article **28.5** of the UPU Convention.

4 Subject to the provisions in paragraph 3, the standards shall be set in compliance with the following principles:

4.1 The applicable standard shall correspond to the standard of the domestic service whose charges are used for calculating terminal dues. In cases where the terminal dues rates are not based on domestic charges the standard shall correspond to the domestic standard for the priority letter service. The domestic standards shall be verifiable by being published on the website of the designated operator concerned, printed in its general conditions or confirmed in writing by its regulator.

4.2 In the absence of domestic standards, the applicable standard shall be established taking into account the ability of the designated operator concerned to achieve a minimum level of performance, defined by the Postal Operations Council.

- 4.3 The standards shall in principle have a critical tag time (CTT) no earlier than 15.00.
- 5 Subject to the provisions in paragraph 3, the targets shall be fixed in compliance with the following principles:
- 5.1 The target shall be set at the highest of the domestic target set by the regulator and the most recent annual performance of the designated operator concerned within a UPU-agreed measurement system, rounded down to the nearest full percentage, subject to a minimum target of 75% and a maximum target of 88%.
- 5.2 In the absence of annual performance results as in paragraph 5.1, the applicable target shall be the domestic target set by the regulator, subject to the minimum and the maximum targets above.
- 5.3 In the absence of a domestic target set by the regulator and of annual performance results as in paragraph 5.1, the initial target shall be set at the minimum target.
- 5.4 In principle, the target for a year shall not be set at a lower level than the target of the previous year.
- 5.5 At the request of the designated operator, the target may exceed the maximum target in 5.1.**

#### Article 30-111

Quality of service–linked terminal dues remuneration applicable to mail flows to, from and between designated operators of countries in the transition system

1 Designated operators of countries in the transition system **shall** base their terminal dues remunerations on quality of service performance and participate in a UPU-agreed quality of service measurement system **as from 2020, following a two-year grace period commencing in 2018**. The provisions of articles **30-109** and **30-110** shall also apply to them. Nevertheless, the terminal dues payable for mail to and from such countries shall not be lower than the terminal dues rates in article **30.3, 30.4 or 30.5** of the Convention.

2 Designated operators of countries in the transition system may participate in a UPU-agreed quality of service measurement system without being obliged to apply the provisions of article **30-109.2, 3, and 5** concerning incentives and penalties **during the two-year grace period**.

#### Article 30-112

Mechanism for revising the rates of terminal dues

1 A designated operator sending or receiving flows of more than **the flow threshold specified in article 29.17 of the Convention** (excluding M bags) may ask the corresponding designated operator for the application of the revision mechanism described below for determining the new rate of terminal dues suited to their traffic. This request may be **made subject** to the following conditions:

- 1.1 when a designated operator in the target system establishes that the average number of items per kilogramme (IPK) received from a designated operator in the transition system is higher than **13**;
- 1.2 when a designated operator in the transition system establishes that the average number of items per kilogramme sent to another designated operator is lower than **8**;
- 1.2.1 if the designated operator in the transition system requests the application of the revision mechanism under 1.2 for a flow to a designated operator in the target system, the latter can request the application of the mechanism also in the opposite direction when the other conditions for downward revision are fulfilled;
- 1.3 when a designated operator in the transition system establishes that the average number of items per kilogramme received from another designated operator is higher than **13**;
- 1.4 when a designated operator requests the application of the revision mechanism for a **flow**, the corresponding designated operator may do **likewise, independently of the flow size** when the other conditions for upward or downward revision are **fulfilled**.

2 The revision mechanism shall consist in carrying out a special statistical count to calculate the average number of items per kilogramme, in accordance with the procedures set out in articles **30-119** and **34-006**.

3 The designated operator intending to apply the revision mechanism shall so notify the corresponding designated operator at least **five** months in advance.

4 The request shall be supported by statistical data showing that the average number of items per kilogramme of the flow concerned deviates from the world average. These statistical data shall be obtained from a sampling of **the flow concerned over the second quarter of a calendar year. The flow may be sampled using continuous sampling or 12 observation days spread as uniformly as possible over the period.**

5 While respecting the time limit provided for under 3, the statistical period shall commence at the beginning of a **calendar year**.

6 **The new terminal dues rate for the traffic in question shall be** calculated in SDR as follows: rate per kilogramme = (Average number of items per kilogramme x rate per item provided for in article **30.4bis, 4ter and 5**) + rate per kilogramme provided for in article **30.3, 4bis, 4ter and 5**. **The average number of items per kilogramme is taken from the sampling under 5.**

7 In cases where the average number of items per **kilogramme falls** between **8** and **13**, the rate provided for in article **30.5** of the Convention shall apply to the traffic in question **for the following calendar year. For the calendar year for which the average number of items falls between 8 and 13, the average number of items per kilogramme from the sampling shall be used for calculating the terminal dues rate.** In addition, sampling for items per kilogramme shall cease if the average number of items per kilogramme **from the sampling performed under 5** falls between **8** and **13 for the whole calendar year**, until such time as the conditions for sampling have been satisfied and sampling has been reactivated.

#### Article **30-113**

Request for payment specific to bulk mail

1 The designated operator of destination shall be authorized to request the application of the payment specific to bulk mail when it establishes:

- 1.1 the receipt, in the same mail, or in one day when several mails are made up per day, of 1,500 or more items posted by the same sender;
- 1.2 the receipt, in a period of two weeks, of 5,000 or more items posted by the same sender;
- 1.3 **in the case of items received from countries (designated operators) with terminal dues accounting and billing on the basis of a rate per kilogramme only, the receipt, in a period of four weeks, of mails whose total weight is composed of at least 90% of bulky (E) or small packet (E) letter-post items.**

2 The designated operator of destination that wishes to apply the payment specific to bulk mail shall notify the designated operator of origin within two weeks of its receipt of the first instance of bulk mail. This notification shall be sent by fax or electronic means to the special address provided for in article **34-001.2** and it shall contain the dispatch number, date of dispatch, origin office of exchange, destination office of exchange and a photocopy of a sample of the mail pieces in question.

2.1 Except for the cases provided for under 3 and 4, specific payment shall not come into force until three months from the receipt of such notification from the designated operator of destination. Such specific payment shall only apply to bulk mails dispatched after the expiry of the three month notification period.

2.2 Receipt of bulk mails under 2.1 shall be established by the designated operator of destination in accordance with the provisions of article **17-138.6**.



3 Notwithstanding the provisions under 2.1, the designated operator of destination shall be authorized to apply with immediate effect the payment specific to bulk mail when it establishes:

3.1 the receipt, in the same mail, or in one day when several mails are made up per day, of 3,000 or more items posted by the same sender;

3.2 the receipt, in a period of two weeks, of 10,000 or more items posted by the same sender;

**3.3 in the case of items received from countries with terminal dues accounting and billing on the basis of a rate per kilogramme only, the receipt, in a period of four weeks, of mails whose total weight is composed of at least 90% of bulky (E) or small packet (E) letter-post items and which represents a 50% increase in the total weight of such mail received in the same four weeks the year before.**

4 The designated operator of destination that wishes to apply the payment specific to bulk mail with immediate effect shall:

**4.1 if based on provision 3.1 or 3.2, notify the designated operator of origin within three working days of the receipt of the bulk mail. This notification shall be in the form of a verification note specifying the dispatch number, date of dispatch, origin office of exchange, destination office of exchange and a photocopy of a sample of the mail pieces in question, sent by fax or electronic means to the special address provided for in article 34-001.2;**

**4.2 if based on provision 3.3, notify the sending designated operator that it will check, for a period of four weeks, the composition of the mail, to determine the percentage of total weight of bulky (E) or small packet (E) letter-post items. After this period, if the conditions in 3.3 are met, the bulk mail rates can be charged, provided that the total weight of such mail received has increased by more than 50% compared to the same four weeks the year before.**

5 Once the designated operator of destination has requested the application of the specific payment for bulk mail, within three months the designated operator of origin may request the application of the specific payment to all bulk mail it dispatches to that designated operator, unless the original request by the designated operator of destination is withdrawn.

6 Termination of specific payment for bulk mail invoked under 5 must be notified by the designated operator of origin three months in advance of termination or be decided by mutual consent.

**7 Notwithstanding the rules provided under 1 to 6, bulk mail provisions shall not be used in the target system between designated operators within the same terminal dues remuneration group when statistical counts for exchanges of mail are used for accounting.**

#### Article 30-114

Closed mails exchanged with military units

1 It shall be the responsibility of the designated operators of countries to which military units, warships or military aircraft belong to settle direct with the designated operators concerned the transit charges and terminal dues arising from the mails sent by those military units, ships or aircraft.

2 If these mails are redirected, the redirecting designated operator shall report the fact to the designated operator of the country to which the military unit, ship or aircraft belongs.

#### Article 30-115

General principles for statistical sampling and estimation of the number of items per kilogramme

1 The following principles shall apply to all types of mail flow sampling that are required for the purposes of payment of terminal dues based on per item and per kilogramme charges (e.g. sampling related to the revision mechanism, exchanges between designated operators in the target system).

1.1 Both sampling and estimation of the average number of items per kilogramme shall reflect the composition of the mail. As the composition of the mail varies with transportation mode, format,

container type, time of year (month), and day of week, the sample of the mail shall reflect these variations and resemble, as closely as is practical, the entire mail flow. Likewise, the method of estimation shall also reflect these variations.

- 1.2 The statistical sampling program shall be designed to achieve a target statistical precision of  $\pm 5\%$  with 95% confidence and on estimate of IPK of the average number of items per kilogramme and of the number of items exchanged between designated operators.
- 1.2.1 This target statistical precision defines a goal that all designated operators which conduct sampling should attempt to achieve through their designs. It does not define a minimum precision requirement.
- 1.3 The design of the sampling programme, the selection of the samples, the method of collecting data and the estimation process shall conform to generally accepted principles of mathematical statistics, probability sampling theory, and design of statistical surveys.
- 1.4 Within the constraints of these principles, each designated operator has sufficient flexibility to adapt the design of its sampling programme to the attributes of its mail flow and its resource constraints. However, each designated operator must notify the corresponding designated operator of its design decisions, including estimation approach, in advance of the observation period.

#### Article 30-116

Statistical counts for exchanges of mail between designated operators of countries in the target system

1 For exchanges of mail between designated operators of countries in the target system **prior to 2010**, a statistical count shall be carried out. However, to avoid sampling costs for small exchanges **between designated operators of countries in the target system prior to 2010**, the average number of items per kilogramme between designated operators of countries in the target system shall be applied for mail flows below a certain threshold, **if both designated operators concerned so agree**. The POC shall fix the threshold and the average number of items per kilogramme to be applied.

2 The POC shall also fix the thresholds and the average number of items per kilogramme to be applied in case of mails separated into two formats (S and E), and in case of mails separated into three formats (P, G and E).

3 For mail flows between designated operators of countries in the target system as of 2010 and later, a statistical count shall be carried out **as follows**:

**3.1 for countries in the target system as from 2010 and 2012, above the threshold of 50 tonnes;**

**3.2 for countries in the target system as from 2016, above the threshold of 75 tonnes for the years 2018 and 2019 and above 50 tonnes for the years 2020 and 2021;**

**3.3 the size of a flow shall be based on the last four approved consecutive quarters.**

**4 (Deleted.)**

**5** The statistical count shall conform to the principles set forth in article **30-115**. If designated operators exchange letter trays and flat trays, the estimates of items per kilogramme (IPK) by modes of transportation and quarter shall reflect the composition of the mail by container type and format. Except as otherwise provided below in paragraph **5.1**, the statistical count shall be carried out by means of continuous sampling, in which a systematic or randomized sample of receptacles is computer-selected for testing throughout the observation period. The designated operators concerned shall agree on the statistical forms to be used.

**5.1** For the years up to and including 2019, the statistical count may be carried out employing 48 days of observation per year of sampling with four days per month. Within an observation day, designated operators may employ subsampling, if it is not feasible to conduct a complete count of all the mail received during the day concerned. The receiving designated operator shall not be required to notify the dispatching designated operator in advance as to the observation days or mail receptacles that are chosen to be sampled.

- 6** Estimation of the annual number of items
- 6.1** The annual number of items shall be the weighted average of the numbers of items computed separately for each transportation mode and quarter. It shall be computed as follows:
- 6.1.1** The average number of items per kilogramme obtained by sampling a given transportation mode over a given quarter shall be multiplied by the total weight of mail for that transportation mode and quarter, the aim being to estimate the total number of items for that transportation mode and quarter.
- 6.1.2** The estimates of the total number of items per transportation mode and quarter shall be added together to calculate the estimated annual number of items.
- 7** Each designated operator must notify the corresponding designated operator of its sampling programme design decisions, including estimation approach, at least **three** months in advance of the observation period.
- 8** Where the sampling of items per kilogramme has not been performed or the results have not been made known within five months after the end of the fourth quarter, the other designated operator shall have the right to submit its own sampling results for the missing data. The statistical sampling and estimation shall conform to the principles set forth in article **30-115**, except that a target precision of  $\pm 7.5\%$ , instead of  $\pm 5\%$ , shall apply. If no data are available, the lower of the last agreed average number of items per kilogramme or the average number of items per kilogramme agreed for target member country flows shall be used.
- 9** Registered letter-post items designated by dispatch mail subclass code UR and **tracked** letter-post items designated by dispatch mail subclass code UX shall be excluded from statistical sampling. The statistical values shall instead be based on the actual counts of registered and **tracked** items recorded on forms CN 31, CN 33, CN 55 and CN 56.
- 10** **When sampling has been carried out in accordance with the thresholds in paragraph 3, the results from the sampling shall be used for settling the accounts.**

#### Article **30-117**

Statistical counts for exchanges of format-separated mails in the transition system

**Obis** **For mail exchanges to, from and between countries of the transition system, the average number of items per kilogramme shall be applied for flows below the threshold of 100 tonnes in the years 2020 and 2021.**

- 1** For exchanges of format-separated mails in the transition system a statistical count shall be carried out. However, to avoid sampling costs for small exchanges, an average number of items per kilogramme, specific to each format, shall be applied for mail flows below a certain threshold, unless one or both designated operators concerned insist on sampling to know the exact number of items per kilogramme.
- 2** The POC shall fix the thresholds and the average number of items per kilogramme to be applied in case of mails separated into two formats (P/G and E), and in case of mails separated into three formats (P, G and E).
- 3** The sampling shall reflect the composition of the mail, and shall conform to the principles set forth in article **30-115**. The statistical count shall be carried out for each format in accordance with the provisions in article **30-119**.

#### Article **30-118**

Alternative approach for statistical counts for exchanges of mail between designated operators of countries in the target system

- 1** For those exchanges between designated operators of countries in the target system where letter trays and/or flat tubs are exchanged, designated operators may take steps to develop estimates of items based upon receptacle type. The statistical count shall conform to the principles set forth in article **30-115**.

- 1.1 It is recommended that designated operators may instead employ continuous sampling, in which a systematic or randomized sample of receptacles is computer selected for testing throughout the observation period. The designated operators concerned shall agree on the statistical forms to be used.
- 1.2 Where continuous sampling is not performed, observation days shall be spread as uniformly as possible over the working days of the week (with only the working days for the office of exchange concerned taken into consideration), and shall reflect modes of transportation used for the entire mail flow. The statistical count shall consist of at least 48 days of observation per year of sampling with four days per month. Within an observation day, designated operators may employ subsampling, if it is not feasible to conduct a complete count of all the mail received during the day concerned.
- 2 Estimation of the annual number of items
  - 2.1 The annual number of items shall be the weighted average of the numbers of items computed separately for each receptacle type and transportation mode. It shall be computed as follows:
    - 2.1.1 The average number of items per kilogramme obtained by sampling a given receptacle type and transportation mode, shall be multiplied by the total weight of mail for that receptacle type and transportation mode, the aim being to estimate the total number of items for that receptacle type and transportation mode.
    - 2.1.2 The estimates of the total number of items per receptacle type and transportation mode shall be added together to calculate the estimated annual number of items.
- 3 Designated operators shall indicate the weight of mail sent by receptacle type (e.g. weight in letter trays, weight in flat tubs, weight in bags, etc.) on duly modified forms, and provide receptacle information by electronic data interchange (EDI), if possible.
- 4 Each designated operator must notify the corresponding designated operator of its sampling programme design decisions, including estimation approach, at least two months in advance of the observation period. Nevertheless, the receiving designated operator shall not be required to notify the dispatching designated operator in advance as to the observation days or mail receptacles that are chosen to be sampled.
- 5 Where the sampling of items per kilogramme has not been performed or the results have not been made known within five months after the end of the fourth quarter, the other designated operator shall have the right to submit its own sampling results for the missing data. The statistical sampling and estimation shall conform to the principles set forth in article **30-115**, except that a target precision of  $\pm 7.5\%$ , instead of  $\pm 5\%$ , shall apply. If no data are available, the lower of the last agreed average number of items per kilogramme or the average number of items per kilogramme agreed for target member country flows shall be used.

#### Article **30-119**

##### Special statistical count for the application of the revision mechanism

- 1 To apply the revision mechanism, in the absence of a special arrangement, such as a mutual agreement to use the UPU average number of items per kilogramme in mail flows from transitional to target member countries from the latest UPU flow study, a statistical count shall be carried out on the basis of a sampling of the flow in question.
  - 1.1 The sampling shall reflect the composition of the mail, and shall conform to the principles set forth in article **30-115**. The statistical count shall include at least **48** days of observation within the twelve-month period to which it refers. On an observation day, designated operators may employ subsampling, if it is not feasible to conduct a complete count of all the mail received during the day concerned.
    - 1.1.1 As an alternative to sampling on a specified set of days, designated operators may instead employ continuous sampling, in which a systematic sample of receptacles is selected for testing throughout the observation period. The designated operators concerned shall agree on the statistical forms to be used.

- 1.2 The observation days shall be spread as uniformly as possible over the working days of the week (only working days for the office of exchange concerned shall be taken into consideration) and the modes of transportation over the entire mail flow. They shall be chosen on a **yearly basis** as shown below:
- 1.2.1 **at least 48** observation days in a twelve-month period; each working day of the week shall be observed at least **twice per quarter**.
- 2 Estimation of the average number of items per kilogramme
- 2.1 **The** average annual number of items per kilogramme shall be the weighted average of the average numbers of items per kilogramme computed separately for each transportation mode and **quarter**. It shall be computed as follows:
- 2.1.1 The average number of items per kilogramme, obtained by sampling a given transportation mode over a given **quarter**, shall be multiplied by the total weight of mail for that transportation mode and **quarter**, the aim being to estimate the total number of items for that transportation mode and **quarter**.
- 2.1.2 The sum of the estimations of the total number of items for each transportation mode and **quarter** shall be divided by the total annual weight of **mail**.
- 3 The designated operator requesting application of the revision mechanism shall choose the statistical system to be **applied and** shall notify the corresponding designated operator thereof so that the latter can take any necessary control measures. Alternatively, the designated operator requesting application of the revision mechanism may agree with the corresponding designated operator to apply the UPU average number of items per kilogramme in mail flows from transitional to target countries from the latest UPU flow study.
- 4 The designated operator requesting application of the revision mechanism shall not be required to provide notification in advance of the observation days that it has chosen.

#### Article 31-101

**Invoicing and** payment of amounts due into the Fund to finance improving quality of service

#### **Obis Invoicing and payment of amounts due to countries classified in group IV as per article 31, paragraphs 1 to 4 of the Convention**

- 1 On the basis of the CN 64 statements or the CN 61 detailed accounts accepted or regarded as fully accepted which have been sent to it, **the International Bureau**, the organization responsible for billing, shall prepare CN 64bis statements for the designated operators of countries **classified in groups I, II and III**. These statements shall contain the following information:
- 1.1 the names of the designated operators of countries **classified in group IV** to which the data relate;
- 1.2 the SDR amount subject to the increases specified in article **31** of the Convention;
- 1.3 the total amount to be paid by the designated operator concerned.
- 2 **The** CN 64bis statement shall be sent by **electronic mail or** the quickest route (air or surface) for approval to each designated operator concerned. If, within one month of the date of dispatch of the statement, no comment has been received by the **International Bureau**, the amount of that statement shall be regarded as fully accepted.
- 3 On the basis of the information provided in the CN **61/64** statements, the International Bureau shall calculate the additional amount owed by each country in the target **system** to the countries in the transition system not reaching the minimum of 20,000 SDR, as set out in article **31.7** of the Convention, in proportion to the volumes sent to the beneficiary designated operator.
- 4 The invoice for the additional amount indicated in 3 shall be accompanied by a CN 64ter statement, containing the following information:
- 4.1 the names of the designated operators of countries **classified in group IV** to which the data relate;
- 4.2 the reference year;

- 4.3 the additional amount (in SDR) needed to reach the minimum of 20,000 SDR specified in article 31.7 of the Convention;
- 4.4 the share of this additional amount (expressed as a percentage) owed by the designated operator concerned, in proportion to the mail volumes exchanged;
- 4.5 the amount to be paid by the designated operator concerned.
- 4bis Invoicing and payment of amounts due to the Common Fund as per article 31.5 of the Convention:**
- 4bis.1 Billing shall be based on the terminal dues payable by the countries of groups I to III to the countries of group III.**
- 4bis.2 Countries classified in group III countries shall provide the International Bureau with a copy of the CN 61 forms detailing the mail flows described in article 31.5 of the Convention.**
- 4bis.3 On the basis of the CN 61 special accounts transmitted to it that are accepted or considered as fully accepted, the International Bureau shall prepare CN 64bis statements for the designated operators of contributing countries (countries classified in groups I, II and III). These statements shall contain the following information:**
- 4bis.3.1 The names of the designated operators of the countries classified in group III to which the data relate;**
- 4bis.3.2 The amount in SDR subject to the increases provided for in article 31 of the Convention;**
- 4bis.3.3 The total amount to be paid by the designated operator concerned.**
- 4bis.4 The CN 64bis statement shall be sent for approval to each designated operator concerned. If, within one month of the date of dispatch of the statement, no comment has been received by the International Bureau, the amount of that statement shall be regarded as fully accepted.**
- 5 The amounts of the CN 64bis and CN 64ter statements may be settled through the clearing system of the International Bureau.

*D. Air conveyance dues*

**Article 33-101**

Formula for calculating the basic rate and calculation of air conveyance dues for closed mails

1 The calculation of air conveyance dues on closed mails, priority items, airmail items and S.A.L. items only when reforwarded as airmail/priority in transit à découvert, as well as the relevant methods of accounting, are set out in this and the following articles in this chapter.

2 The maximum basic rate applicable in respect of air conveyance shall be calculated according to the formula below, the elements of which shall be taken from the International Airline Financial Statistics prepared by the International Civil Aviation Organization. This rate shall be rounded to the nearest thousandth of an SDR per kilogramme of gross weight and per kilometre; it shall apply proportionally to fractions of a kilogramme.

- T = (A – B – C + D + E + F), where
- T = Basic rate per t-km (priority for airmail is guaranteed within this rate).
- A = Weighted average operating expense per t-km performed.
- B = Cost of passenger services per t-km.
- C = Percentage of the cost of ticketing, sales and promotion (based on the number of passengers in relation to traffic volume).
- D = Expenses of non-operating items per t-km performed.
- E = 10% of (A – B – C + D) as profit.
- F = Income taxes per t-km performed.

3 Air conveyance dues shall be calculated according to the actual basic rate (less than and at most equal to the basic rate defined according to the formula under 2) and the kilometric distances given in the List

of Airmail Distances and to the gross weight of the mails. No account shall be taken of the weight of sacs collecteurs.

4 The maximum rate applicable in respect of the air conveyance of dispatches of empty bags shall correspond to 30% of the basic rate set in accordance with the provisions under 2.

5 When dues are payable for air conveyance within the country of destination, they shall be fixed in the form of a single price. This single price shall include all the dues for air conveyance within the country, regardless of the airport of arrival of the mails, less the corresponding surface conveyance costs. It shall be calculated on the basis of the rates actually paid for conveyance of the mail within the country of destination, but not exceeding the maximum rate defined according to the formula under 2, and according to the weighted average distances of the sectors flown by international mail on the internal network. Subject to article 33.7 of the Convention, the weighted average distance shall be calculated by the International Bureau in terms of the gross weight of all the airmails arriving at the country of destination, including the mail which is not reforwarded by air within that country.

5.1 Designated operators which apply a remuneration for terminal dues based on costs or on domestic rates shall communicate to the International Bureau, by 30 September of each year, the data for calculating the new weighted average distance.

5.2 Designated operators seeking payment for internal air conveyance shall submit to the International Bureau, by 30 September of each year, data enabling the calculation of weighted average distances and the associated internal air conveyance dues. Weighted average distances and the associated internal air conveyance dues shall come into force on 1 January of the following year. Designated operators failing to submit this data to the International Bureau by 30 September of each year shall not be entitled to claim internal air conveyance dues in the following year. In this regard, any such data submitted by designated operators shall be valid only for the specific year to which they refer and may not be carried over to subsequent years.

5.3 The International Bureau shall communicate internal air conveyance dues to all designated operators at least one month before 1 January.

6 Dues payable for air conveyance, between two airports in the same country, of airmails in transit may also be fixed in the form of a single price. This price shall be calculated on the basis of the rate actually paid for air conveyance of mail within the country of transit, but not exceeding the maximum rate defined according to the formula under 2, and according to the weighted average distances of the sectors flown by international mail on the internal air network of the country of transit. The weighted average distances shall be determined in terms of the gross weight of all the airmails transiting through the intermediate country.

7 The sum of the dues referred to under 5 and 6 may not exceed in total the amounts which actually have to be paid for conveyance.

8 The prices for international and internal air conveyance, obtained by multiplying the effective basic rate by the distance, which are used in calculating the dues mentioned under 3, 5 and 6, shall be rounded up to the nearest tenth of an SDR when the number made up by the figure of hundredths and that of thousandths is equal to or greater than 50; they shall be rounded down to the nearest tenth of an SDR in other cases.

#### Article 33-102

##### Accounting for air conveyance dues

1 Accounting for air conveyance dues shall be effected in accordance with articles 27-106 and 33-101.

2 Notwithstanding the rule mentioned under 1, designated operators may, by common consent, decide that accounts for airmail dispatches shall be settled on the basis of statistical returns. In that case, they shall arrange between themselves the method of compiling the statistics and preparing the accounts.

**Article 33-103**

Preparation of CN 66 and CN 67 statements of weights

1 Each creditor designated operator shall prepare a CN 66 statement, monthly or quarterly as preferred, on the basis of the airmail particulars entered on the CN 38 delivery bills. Mails carried over the same air sector shall be entered on the CN 66 statement by office of origin, then by country and office of destination, and in chronological order of the mails for each office of destination. When the copies of the CN 55 statement are used for the settlement of air conveyance dues inside the country of destination in accordance with article 33.5 of the Convention, CN 55 statements of weights prepared on the basis of CN 31 and CN 32 letter bills shall be used.

2 For à découvert priority items, airmail items and S.A.L. items reforwarded by air, the creditor designated operator shall prepare a yearly CN 67 statement. This shall be done at the end of each statistical period as laid down in article 27-106.2.1 and based on the particulars appearing on the CN 65 bills prepared during that period. The total weights shall be multiplied by 12 on the CN 67 statement. If the accounts have to be prepared on the basis of the actual weight of à découvert priority items, airmail items and S.A.L. items, CN 67 statements shall be drawn up as often as is provided for under 1 for CN 66 statements and on the basis of the corresponding CN 65 bills.

3 If, during an accounting period, a change occurring in the arrangements made for the exchange of priority items, airmail items and S.A.L. items reforwarded by air in transit à découvert results in a variation of at least 20% and exceeding 163.35 SDR in the total amounts to be paid by the dispatching designated operator to the intermediate designated operator, these designated operators, at the request of one or the other, shall agree to replace the multiplier referred to under 2 by another valid only for the year in question.

4 When the debtor designated operator so requests, separate CN 55, CN 66 and CN 67 statements shall be drawn up for each office of exchange which dispatches airmails or priority items, airmail items and S.A.L. items reforwarded by air in transit à découvert.

**Article 33-104**

Preparation of CN 51 detailed accounts and CN 52 general accounts

1 The creditor designated operator shall prepare, on a CN 51 form, detailed accounts showing the amounts due to it according to the CN 55, CN 66 and CN 67 statements of weights. Separate detailed accounts shall be prepared for closed airmails and for à découvert and missent items reforwarded by air or by surface. In the CN 51 detailed accounts prepared for closed airmails, the weights and sums due according to the CN 66 statements of weights shall be entered separately for LC/AO, CP and EMS.

2 The amounts to be included in the CN 51 detailed accounts shall be calculated:

- 2.1 for closed mails, on the basis of the gross weights appearing on the CN 55 and CN 66 statements;
- 2.2 for à découvert items reforwarded by air, according to the net weights shown on the CN 67 statements, increased by 5%;
- 2.3 for à découvert items reforwarded by surface, according to the net weights shown on the CN 67 statements, increased by 10%.

3 When the air conveyance dues within the country of destination are to be settled, the designated operator of that country shall send the CN 51 accounts relating thereto for acceptance together with the CN 55 and CN 56 statements.

4 CN 51 accounts, other than closed transit accounts, shall be prepared by the creditor designated operator on a monthly, quarterly, half-yearly or annual basis, as agreed between the designated operators concerned.

5 CN 51 closed transit accounts shall be prepared by the creditor designated operator on a quarterly, half-yearly or annual basis, as chosen by the creditor designated operator.



6 CN 51 detailed accounts may be summarized in a CN 52 general account, prepared quarterly by the creditor designated operators which have adopted the offset system of settling accounts. This account may, however, be prepared half-yearly after agreement between the designated operators concerned.

**Article 33-105**

Submission and acceptance of CN 55, CN 66 and CN 67 statements, CN 51 detailed accounts and CN 52 general accounts

**Obis The rules for the transfer and acceptance of accounting forms provided for in article 34-100bis shall be applied to forms CN 55, CN 66, CN 67, CN 51 and CN 52.**

1 **No later than** five months **after** the end of the period to which **the statements** refer, the creditor designated operator shall send the debtor designated operator CN 66 statements, the copies of CN 55 statements and CN 67 statements when payment for à découvert priority items, airmail items and S.A.L. items reforwarded by air is made on the basis of the actual weight, and the relevant CN 51 detailed accounts.

2 After verifying the CN 55, CN 66 and CN 67 statements and accepting the relative CN 51 detailed account, a copy of the CN 51 accounts shall be returned to the creditor designated operator. In case of amendment it shall be accompanied by the CN 55, CN 66 and CN 67 statements. If the creditor designated operator disputes the amendments made to these statements, the debtor designated operator shall confirm the actual data by sending photocopies of the CN 38 or CN 65 forms drawn up by the office of origin upon dispatch of the disputed mails, or by giving access to the corresponding electronic data, if these forms were exchanged electronically. Any dispute regarding amendments must be raised within two months of receipt of the amended statements and accounts. A creditor designated operator which has received no notice of amendment within two months of the date of dispatch of the accounts shall regard the accounts as fully accepted.

3 Designated operators that were net creditors for air conveyance dues in the preceding year have the option of receiving payment on a monthly, quarterly, half-yearly or annual basis. The option chosen shall remain in force for one calendar year with effect from 1 January.

4 Designated operators may use the direct system or the bilateral clearing system.

5 The provisions under 1 and 2 shall also apply to priority items and airmail items for which payment is made on the basis of statistics.

6 Under the direct billing system, the CN 51 accounts shall serve as a bill for direct settlement **and shall be sent to the debtor designated operator no later than five months after the end of the period to which they refer. The acceptance period for the CN 51 account shall be two months.** Any difference exceeding 9.80 SDR which may be noted by the debtor designated operator shall be indicated on the CN 51, which shall be returned to the creditor designated operator with the CN 55, CN 66 and CN 67 statements attached. The difference noted shall be incorporated in the next CN 51 account submitted to the debtor designated operator or shall be disputed within two months of receipt of the account in which the difference appears. Failing this, the designated operator which drew attention to the difference shall regard it as fully accepted and shall have it appear as such in its next CN 51 account amended accordingly.

7 Under the bilateral offsetting system, the creditor designated operator shall prepare both the CN 51 and the CN 52 accounts and **transmit** both simultaneously to the debtor designated operator on a monthly, quarterly, half-yearly or annual basis **no later than five months after the end of the period to which they relate. The acceptance period for the CN 51 and CN 52 accounts shall be two months.** If the CN 51 or CN 52 accounts have been amended, payment will be made on the basis of the amended amount.

8 Any amendments to CN 52 general accounts made by the debtor designated operator must be accompanied by the supporting CN 19 and CN 51 detailed accounts and CP 75 summarized accounts.

9 Whenever the statistics fall in October, annual payments for priority items and airmail items in transit à découvert may be made provisionally on the basis of statistics compiled during May of the previous year.

The provisional payments shall then be adjusted in the following year when the accounts based on the October statistics are approved or regarded as fully accepted.

10 If a designated operator is unable to carry out the annual statistical operations it shall reach agreement with the designated operators concerned to make the annual payment on the basis of the statistics of the preceding year and, if necessary, to use the special multiplier laid down in article **33-103.3**.

11 If the balance of a CN 51 or CN 52 account does not exceed 163.35 SDR, it shall be carried over to the next CN 51 or CN 52 account, when the designated operators concerned do not participate in the clearing system of the International Bureau.

**12 (Deleted.)**

13 Settlements may be made in accordance with the provisions of articles **34-002** and **34-005**.

#### Article **33-106**

##### Amendments to transit charges

1 The amendments made to the transit charges and air conveyance dues mentioned in article **27-106.1** shall:

1.1 come into effect exclusively on 1 January;

1.2 be notified at least three months beforehand to the International Bureau, which shall communicate them to all designated operators at least one month before the date laid down under 1.1.

#### Article **33-107**

##### Payment of air conveyance dues

1 Air conveyance dues shall be payable **by a designated operator** to the airline conveying the airmails for all or part of the distance flown. **In this regard, the designated operator shall, unless otherwise bilaterally agreed with the airline concerned, pay any undisputed air conveyance dues amounts no later than two months following receipt of the relevant invoice from the airline.**

2 Notwithstanding **the foregoing**, the airline conveying the airmails may request that the **air** conveyance dues be paid to any designated operator with which the airline has concluded an agreement to this effect.

3 **Air** conveyance dues for priority items, airmail items and S.A.L. items when transported by air in transit à découvert shall be paid to the designated operator which reforwards these items.

4 Unless other arrangements have been made, **air** conveyance dues for airmails directly transhipped between two different airlines shall be settled by the designated operator of origin:

4.1 either with the first **airline**, which shall then be responsible for paying the subsequent **airline**;

4.2 or with each **airline** involved in the transhipment.

#### Article **33-108**

##### Air conveyance dues for diverted or missent mails or receptacles

1 The designated operator of origin of a mail which has gone off its route in course of conveyance shall pay the conveyance dues for the mail relating to the sectors actually covered.

2 It shall settle the conveyance dues as far as the airport of offloading initially provided for on the CN 38 delivery bill when:

2.1 the actual forwarding route is not known;

- 2.2 the dues for the sectors actually covered have not yet been claimed; or
- 2.3 the diversion is attributable to the airline which effected the conveyance.
- 3 The supplementary dues relating to the sectors actually covered by the diverted mail shall be reimbursed as follows:
- 3.1 by the designated operator whose services have committed the error in the case of misrouting;
- 3.2 by the designated operator which has collected the conveyance dues paid to the airline when the latter has offloaded in a place other than that shown on the CN 38 delivery bill.
- 4 The provisions set out under 1 to 3 shall be applicable by analogy when part only of a mail is offloaded at an airport other than that indicated on the CN 38 delivery bill.
- 5 The designated operator of origin of a mail or receptacle missent owing to a labelling error shall pay the conveyance dues relating to the whole distance flown in accordance with article **33.3.1** of the Convention.

**Article 33-109**

Air conveyance dues for mail lost or destroyed

- 1 In case of loss or destruction of mail as a result of an accident occurring to the aircraft or through any other cause involving the liability of the air carrier, the designated operator of origin shall be exempt from any payment in respect of the air conveyance of the mail lost or destroyed, for any part of the flight of the route used.

*E. Settlement of accounts*

**Article 34-100bis**

**Transfer and acceptance of statements and accounts**

**(Deleted.)**

**Article 34-101**

Preparation, transmission and acceptance of CN 53, CN 54 and CN 54bis bills for exchanges of mail between designated operators of countries in the target system

**(Deleted.)**

**Article 34-102**

Preparation, transmission and acceptance of CN 53 and CN 54 bills for the revision mechanism

**(Deleted.)**

**Article 34-103**

Preparation of CN 55, CN 56 and CN 69 statements of mails

**(Deleted.)**

**Article 34-104**

Transmission and acceptance of CN 55, CN 56 and CN 69 statements of mails

**(Deleted.)**

Article **34-105**

Accounting for bulk mail

**(Deleted.)**

Article **34-106**

Accounting for mail intended for direct access to the domestic system

**(Deleted.)**

Article **34-107**

Preparation, transmission and approval of transit charges and terminal dues accounts

**(Deleted.)**

Article **34-108**

Special address for the transmission of forms concerning transit charges and terminal dues

**(Deleted.)**

Article **34-109**

Acceptance of transit charges and terminal dues accounts

**(Deleted.)**

Article **34-110**

Provisional payments of terminal dues

**(Deleted.)**

Article **34-111**

Electronic transfer of statements and accounts

**(Deleted.)**

Article **34-112**

Preparation and settlement of accounts

**(Deleted.)**

Article **34-113**

Settlement of accounts through the International Bureau

**(Deleted.)**

Article **34-114**

Payment of debts expressed in SDRs. General provisions

**(Deleted.)**

**Article 34-115**

Rules for payment of accounts not settled through the International Bureau clearing system

**(Deleted.)**

## Volume III

## Parcel Post Regulations

## Section III

## Charges, surcharges and exemption from postal charges

**Article 15-201**

Calculating air surcharges

- 1 Member countries or their designated operators shall set the air surcharges to be collected for air parcels.
- 2 The surcharges shall be related to the air conveyance dues and shall be uniform for at least the whole of the territory of each country of destination, whatever the route used.
- 3 Member countries or their designated operators shall be authorized to apply, for calculating air surcharges, smaller weight steps than one kilogramme.

**Article 15-202**

Special charges

- 1 Designated operators shall be authorized to collect in the cases mentioned below the same charges as in the domestic service.
  - 1.1 Charge on items posted outside normal counter opening hours, collected from the sender.
  - 1.2 Charge for collection at the sender's address, collected from the sender.
  - 1.3 Poste restante charge collected from the addressee; in the event of return to sender or redirection of a parcel addressed "poste restante", the guideline maximum amount shall be 0.49 SDR in accordance with article **18.5** of the Convention.
  - 1.4 Storage charge for any parcel of which the addressee has not taken delivery within the prescribed period. This charge shall be collected by the designated operator which effects delivery, on behalf of the designated operator in whose service the parcel has been kept beyond the prescribed period. In the event of return to sender or redirection of a parcel on which a storage charge has been collected, the guideline maximum amount shall be 6.53 SDR in accordance with article **18.5** of the Convention.
  - 1.5 Designated operators prepared to cover risks of force majeure shall be authorized to collect a charge for cover against risks of force majeure. In respect of uninsured parcels, this guideline maximum charge shall be 0.20 SDR per parcel in accordance with article **18.5** of the Convention. In respect of insured parcels, the guideline maximum amount is laid down in article **18-001.3**.
  - 1.6 Where a parcel is normally delivered to the addressee's address, no delivery charge may be collected from the addressee. Where delivery to the addressee's address is not normally provided, the advice of arrival should be delivered free of charge. In this case, if delivery to the addressee's address is offered as an option in response to the advice of arrival, a delivery charge may be collected from the addressee. This should be the same charge as in the domestic service.

## Section IV Basic and supplementary services

### Article 17-201

Operation of the service by transport companies

1 The designated operator which has the service operated by transport companies shall make arrangements with them to ensure full implementation by them of all the provisions of the Convention and its Regulations, with special reference to the arrangements for the exchange of parcels. The designated operator shall be responsible for all their relations with designated operators of the other contracting countries and with the International Bureau.

### Article 17-202

Weight system. Pound avoirdupois

1 The weight of the parcels shall be expressed in kilogrammes.

2 Designated operators of countries which by reason of their internal regulations are unable to adopt the metric-decimal system of weight may substitute for the weights expressed in kilogrammes the equivalents in pounds avoirdupois.

### Article 17-203

Special conditions relating to limits of weights

1 The exchange of parcels whose individual weight exceeds 20 kilogrammes shall be optional, with a maximum individual weight of 50 kilogrammes.

2 Designated operators of countries which set a weight of less than 50 kilogrammes shall, however, have the option of admitting parcels in transit in bags or other closed receptacles between the weights of 20 and 50 kilogrammes.

3 Parcels relating to the postal service as provided for in article 16-001.2 may weigh up to 20 kilogrammes. In relations between designated operators which have set a higher limit, parcels relating to the postal service may weigh more than 20 kilogrammes and up to 50 kilogrammes.

### Article 17-204

Limits of size

1 Parcels shall not exceed two metres for any one dimension or three metres for the sum of the length and the greatest circumference measured in a direction other than that of the length.

2 Designated operators which cannot accept, for any parcel or for air parcels only, the sizes prescribed under 1, may adopt instead one of the following dimensions:

2.1 1.50 metres for any one dimension or three metres for the sum of the length and the greatest circumference measured in a direction other than that of length.

2.2 1.05 metres for any one dimension or two metres for the sum of the length and the greatest circumference measured in a direction other than that of length.

3 Parcels shall not be smaller than the minimum size prescribed for letters.

**Article 17-205**

## Delivery procedure

1 As a general rule, parcels shall be delivered to the addressees as soon as possible and according to the provisions in force in the country of destination. When parcels are not delivered to the addressee's address the addressee shall, unless this is impossible, be advised of their arrival without delay.

**1bis If the provisions in force in the country of destination so permit, the delivery to the addressee's address may, at the request of the addressee, be replaced by alternative options for the delivery of the items to the addressee (e.g. pick-up point, delivery at another address).**

2 When delivering or handing over an ordinary parcel, the designated operator of destination shall obtain from the recipient a signature of acceptance, or register captured data from an identity card, or obtain some other form of evidence of receipt that is legally binding under the legislation of the country of destination to confirm acceptance. The requirements of this paragraph shall not apply to parcel-post items handled under the provisions of article **17-206**; paragraph 3 of this article shall apply to **ECOMPRO parcels**.

3 When delivering or handing over a parcel-post item under the provisions of article **17-206**, the designated operator of destination shall provide electronic evidence of delivery by scanning and transmitting the relevant tracking event and associated data element.

**Article 17-206****ECOMPRO parcels**

1 General principles

1.1 As an optional parcel-post delivery category, designated operators may agree between themselves to convey parcel-post items generated by e-commerce activities by air with priority and in accordance with the delivery specifications outlined in this article.

2 **ECOMPRO parcel** specifications

2.1 Designated operators shall accept and handle **ECOMPRO parcels** up to 30 kg, unless their national legislation restricts the maximum weight to 20 kg.

2.2 Each parcel shall be identified by a unique item identifier specific to the service and conforming to UPU **Technical Standard S10**.

2.3 Designated operators shall provide track and trace information in accordance with the provisions of article **17-216** and shall observe the indicative performance targets detailed in articles **17-217** and **17-218**.

2.4 Designated operators of destination that agree to deliver items under the delivery category specified herein shall endeavour to achieve the indicative delivery time target set at five days starting from the scanning and transmission of the relevant EMSEVT event approved by the POC for the measurement of delivery performance.

2.5 Customer inquiries shall be handled in accordance with the procedures outlined in article **21-003**.

**Article 17-207**

## Conditions of acceptance of parcels. Make-up and packing. Addressing

1 General packing conditions

1.1 Every parcel shall be packed and closed in a manner befitting the weight, the shape and the nature of the contents as well as the mode and duration of conveyance. The packing and closing shall protect the contents against crushing or damage by repeated handling and shall also be such that it is impossible to tamper with the contents without leaving clear traces thereof.

- 1.2 Every parcel shall be made up particularly securely if it has to be:
  - 1.2.1 conveyed over long distances;
  - 1.2.2 transhipped or handled many times;
  - 1.2.3 protected against major changes in climate, temperature or, in the case of conveyance by air, variations in atmospheric pressure.
- 1.3 It shall be packed and closed in such a way as not to endanger the health of officials and so as not to present any danger if it contains articles of a kind likely to injure officials called upon to handle it or to soil or damage other parcels or postal equipment.
- 1.4 It shall have, on the packing or the wrapping, sufficient space for service instructions and for affixing stamps and labels.
- 1.5 The following shall be accepted without packing, and the address of the addressee may be given on the article itself:
  - 1.5.1 articles which can be fitted together or put and kept together by a strong cord with lead or other seals, so as to form one single parcel which cannot come apart;
  - 1.5.2 parcels in one piece, such as pieces of wood, metal, etc., which it is not the custom of the trade to pack.
- 2 Addresses of the sender and the addressee
  - 2.1 To be admitted to the Post, every parcel shall bear, in roman letters and in arabic figures on the parcel itself or on a label firmly attached to it, the complete addresses **and names** of the addressee and the sender. If other letters and figures are used in the country of destination, it shall be recommended that the address be given also in these letters and figures. Addresses written in pencil shall not be allowed; nevertheless, parcels of which the address is written in indelible pencil on a surface previously dampened shall be accepted.
  - 2.2 Only one person or a corporate body may be designated as addressee. However, addresses such as "Mr. A at ... for Mr. Z at ..." or "Bank A at ... for Mr. Z at ..." may be admitted, it being understood that only the person indicated under A shall be regarded by designated operators as the addressee. In addition, the addresses of A and Z shall be in the same country.
  - 2.3 The office of posting shall also advise the sender to put in the parcel a copy of his address and that of the addressee.
- 3 Certificate of posting
  - 3.1 A certificate of posting shall be handed over free of charge to the sender of a parcel at the time of posting.

**Article 17-208**  
Special packing

- 1 Articles of glass or other fragile objects shall be packed in a strong box filled with an appropriate protective material. Any friction or knocks during transport either between the objects themselves or between the objects and the sides of the box shall be prevented.
- 2 Liquids and substances which easily liquefy shall be enclosed in perfectly leak-proof containers. Each container shall be placed in a special strong box containing an appropriate protective material to absorb the liquid should the container break. The lid of the box shall be fixed so that it cannot easily work loose.
- 3 Fatty substances which do not easily liquefy, such as ointments, soft-soap, resins, etc., and silk-worm eggs, the conveyance of which presents few difficulties, shall be enclosed in a first packing (box, bag of cloth, plastic, etc.) which is itself placed in a box stout enough to prevent the contents from leaking.



- 4 Dry colouring powders, such as aniline blue, etc., shall be admitted only in perfectly leak-proof metal boxes, placed in turn in strong boxes with an appropriate absorbent and protective material between the two containers.
- 5 Dry non-colouring powders shall be placed in strong containers (box, bag). These containers shall themselves be enclosed in a stout box.
- 6 Live bees, leeches and parasites shall be enclosed in boxes so constructed as to avoid any danger.
- 7 Packing shall not be required for articles in one piece, such as pieces of wood, metal, etc., which it is not the custom of the trade to pack. In this case, the address of the addressee should be given on the article itself.
- 8 In addition, the following conditions shall be complied with:
- 8.1 Precious metals shall be packed either in a stout metal box or a case made of wood. The latter shall have a minimum thickness of 1 cm for parcels up to 10 kilogrammes and 1.5 cm for parcels over 10 kilogrammes. The packing may also consist of two seamless bags forming a double wrapping. When cases made of plywood are used, their thickness may be limited to 5 mm on condition that the edges of the cases are reinforced by metal angle strips.
- 8.2 The wrapping of the parcel containing live animals as well as the dispatch note shall be provided with a label bearing in bold letters the words "Animaux vivants" (Live animals).
- 8.3 Cremated remains shall be shipped in funeral urns. The urns shall be placed in strong outer packaging containing an appropriate protective material resistant to external influences to prevent breakage. If available, the cremation certificate should be attached to the outer packaging, or made easily accessible. The sender is responsible for obtaining all the necessary permissions required by the national laws in the country of origin and the country of destination prior to dispatching these items.

#### Article 17-209

##### Indication of method of forwarding

- 1 Every air parcel shall bear at the time of dispatch a special blue label inscribed "Par avion" (By air-mail), with, if desired, a translation in the language of the country of origin. The method of forwarding shall also be clearly indicated on the dispatch note relating to the parcel, either by means of the special blue airmail label or by a corresponding indication in the appropriate box.
- 2 If the dispatch note is included in a self-adhesive document pack pasted firmly to the parcel and provided with a duly marked blue tick-box, the label mentioned in 1 shall not be mandatory, either on the dispatch note document pack or on the parcel.

#### Article 17-210

##### Formalities to be complied with by the sender

- 1 **(Deleted.)**
- 2 A **CP 72 manifold set, or a CN 23 customs declaration (in duplicate where requested by the destination designated operator)**, shall accompany each parcel. The contents of the parcel shall be shown in detail on the customs declaration and indications of a general kind shall not be admitted. The **CP 72 manifold set, or the CN 23 customs declaration (in duplicate where requested)**, shall be attached to the outside of the parcel, in such a way as to prevent its loss.
- 2bis The standard number of CN 23 customs declarations to accompany a parcel (or letter-post item whose contents exceed 300 SDR in value) shall be limited to two. Where designated operators agree in advance, a single CN 23 customs declaration may accompany the parcel (or letter-post item) and shall be affixed directly to the outside of the item.**

3 **In accordance with article 08-002**, customs data provided in accordance with the instructions on the CN 23 customs declaration, **including the addresses and names** of the sender and addressee, **shall** be transmitted electronically, **in compliance with UPU EDI Messaging Standard M33 (ITMATT V1)**, to the designated operator of the country of destination. The designated operator of origin may share all or part of these data with the customs administration in the country of origin for export purposes, and the designated operator of destination may share all or part of the data referenced above with the customs administration in the country of destination for customs import purposes.

4 The use of the data from the paper CN 23 customs declaration provided for in paragraph 3 above shall be restricted to processes related to the exchange of mail and customs formalities in respect of the export or import of postal items and may not be used for any other purpose.

5 All provisions of article **20-001** shall also apply to the data from the paper CN 23 customs declaration provided for in paragraph 3 above. In case of a discrepancy between data on the CN 23 customs declaration and the electronic data provided pursuant to paragraph 3 above, the CN 23 customs declaration shall constitute the customs declaration.

6 The sender may also attach to the **CN 23 declaration or CP 72 manifold set** any document (invoice, export licence, import licence, certificate of origin, certificate of health, etc.) necessary for customs treatment in the dispatching country and in the country of destination.

7 The **addresses and names** of the sender and addressee, and all other particulars to be furnished by the sender, shall be identical on the parcel and the dispatch note. In the event of a discrepancy, the particulars appearing on the parcel shall be regarded as valid.

#### Article **17-211**

Formalities to be complied with for the merchandise return service

1 A CN 23 customs declaration shall be attached to the outside of each parcel sent by the customer/addressee via the merchandise return service, in such a way as to prevent its loss.

2 The CN 23 form shall be prepared by the original seller in accordance with the formalities described in article **17-210**. In addition, the CN 23 shall include the original item identifier and be clearly marked "Merchandise Return Service (MRS)".

3 The customer/addressee returning the parcel shall complete the CN 23 form, which shall already contain the customs declaration information provided by the original seller, including the total weight of the parcel, by indicating the date and providing a signature. By signing the customs declaration form, the customer/addressee certifies that the description of the content mentioned on the form corresponds to the merchandise authorized to be returned to the original seller.

4 The customer/addressee shall obtain proof of posting.

#### Article **17-212**

Sender's instructions at the time of posting

1 At the time of posting of a parcel, the sender shall be required to indicate the treatment to be given in case of non-delivery. For this purpose **the sender** shall insert a cross in the appropriate box of the **CN 23 customs declaration or the CP 72 manifold set**.

2 **The sender** may give only one of the following instructions:

2.1 return to the sender, **in accordance with the period of retention prescribed by the regulations of the country of destination**, by the most economical route or by air;

2.2 **(Deleted.)**

2.3 **(Deleted.)**

2.4 abandonment of the parcel by the sender.

3 The sender may reproduce or have printed only one of the permitted instructions on the **CN 23 customs declaration or CP 72 manifold set**. The instruction marked on the **CN 23 or CP 72 manifold set** shall be reproduced on the parcel itself, either by sticking a copy of the CP 72 "Address label" on the parcel, or by reproducing in some other way the instructions given on that form. It shall be in **either French or English** or in a language known in the country of destination.

4 **If the sender wishes to request redirection in case of non-delivery, the parcel shall bear the indication "Réexpédition demandée" (Redirection requested) in either French or English or in a language known in the country of destination.** If the sender wishes to forbid any redirection, the parcel (or letter-post item whose contents exceed 300 SDR in value) shall bear the indication "Ne pas réexpédier" (Do not redirect) in **either French or English** or in a language known in the country of destination. **The destination designated operator's efforts to comply with such instructions and to prevent the redirection shall be considered as a "good faith" endeavour and not subject to penalty or liability.**

5 Parcels shall be returned without advice if the sender has given no or contradictory instructions.

6 **Designated operators may delete from the CN 23 form sender's instructions that are applicable only to parcels whenever such a form is used for postal items other than parcels.**

#### Article 17-213

Formalities to be complied with by the office of origin

1 The office of origin or the dispatching office of exchange shall be responsible for affixing a CP 73 label on the parcel beside the address and on the dispatch note. This label shall show clearly the serial number of the parcel. If the designated operator of origin so permits, that part of the CP 73 label which is to be affixed to the dispatch note may be replaced by a preprinted indication having the same layout as the corresponding part of the label.

2 The weight of the parcel in kilogrammes and hundreds of grammes shall be given on the parcel and on the dispatch note. Each fraction of a hundred grammes shall be rounded up to the next hundred.

3 A date-stamp impression shall be applied on the dispatch note, unless a posting/collection scan (EMA) or the information captured by such a scan is provided by the designated operator of origin to the designated operator of destination.

4 The postage stamps or any other method of showing prepayment authorized by the regulations adopted by the country of origin or by its designated operator shall be affixed either on the parcel, or on the dispatch note.

5 Designated operators may agree to dispense with the formalities mentioned under 1 to 4.

#### Article 17-214

General principles of the exchange of parcels

1 Designated operators may exchange, via one or more of their number, closed mails as well as à découvert parcels according to needs and service requirements.

2 When exceptional circumstances oblige a designated operator temporarily to suspend its services wholly or in part, it shall immediately inform the designated operators concerned.

3 When the conveyance of parcels in transit through a country takes place without the participation of the designated operator of that country, this form of transit shall not involve the liability of the member country or the designated operator of the transit country.

4 Designated operators may send surface parcels by air, with reduced priority. The designated operator of destination shall indicate, by providing an appropriate entry in the Parcel Post Compendium of Information, the details of the office of exchange or the airport of destination that will accept such parcels.

5 Each designated operator shall prepare CP 81 and CP 82 tables stating on what conditions it accepts parcels in transit for countries for which it can act as intermediary. These shall show, in particular, the rates to be assigned to it.

6 The official Compendium of Information of general interest relating to the implementation of the postal parcels service provides the details on the exchange of parcels.

7 On the basis of that information and of the CP 81 and CP 82 tables of intermediate designated operators, each designated operator shall decide on the routes to be used for forwarding its parcels. These data also enable it to set the charges to be collected from senders.

8 Designated operators shall send the CP 81 and CP 82 tables direct to each other, using an electronic method, at least one month before their application. They shall send copies of them to the International Bureau. Subsequent amendments to these tables shall be announced in the same way. If this is not possible, notification shall be provided by ordinary mail. The time limit for notification shall not apply to the cases mentioned in article **27-205.1**.

9 Each designated operator shall forward by the routes and means that it uses for its own parcels those parcels transferred to it by another designated operator for transit across its territory.

10 In the event of the interruption of a prescribed route, parcels in transit shall be forwarded by the best route available.

11 If the use of the new dispatch route occasions higher costs (additional land or sea rates), the transit designated operator shall act in accordance with article **27-205.1**.

12 Transit shall be effected under the conditions laid down by these Regulations, even when the designated operator of origin or destination of the parcels does not participate in the postal parcels service.

13 In the relations between designated operators of countries separated by one or more intermediate territories parcels shall follow the routes which the designated operators concerned have agreed upon.

14 Every designated operator providing the air parcel service shall forward by the air routes that it uses for its own items of that type, air parcels transferred to it by another designated operator. If the forwarding of air parcels by another route offers advantages over the existing air routes, the air parcels shall be forwarded by that route.

15 Designated operators which do not participate in the air parcel service shall forward such parcels by the air communications they use for the conveyance of their airmail correspondence. In the absence of an air link, air parcels shall be forwarded by such designated operators by the surface route normally used for other parcels.

16 **(Deleted.)**

17 All designated operators shall include a barcoded identifier complying with UPU Technical Standard S9 on their receptacle labels.

18 Designated operators that send more than 25 tonnes of parcels per year must, and all other designated operators are encouraged to:

18.1 electronically pre-advise all outbound dispatches using UPU-standard compliant messages specifying the S9 identifiers of the receptacles contained in each dispatch;

18.2 electronically confirm receipt of inbound receptacles, that have been pre-advised, using UPU-standard compliant response and/or event reporting messages.

19 (Deleted.)

20 (Deleted.)

21 (Deleted.)

22 If a dispatch identifier is used (barcode representation or representation in electronic messaging), it shall be compliant with UPU Technical Standard S8.

#### Article 17-215

##### Barcode application and specifications

1 All designated operators shall apply one, and only one, barcoded item identifier on all outward international postal parcels (i.e. air, S.A.L., surface). The specifications shall be as follows:

- 1.1 Each parcel must be identified by only one unique item identifier conforming to **UPU Technical Standard S10**. It shall be located in close proximity to and on the same side as the address of the addressee. The item identifier shall be encoded in both human-readable and barcoded form, as prescribed in the standard.
- 1.2 Originating, transit or destination designated operators may apply additional barcodes that do not use an S10 format, provided that the additional barcodes do not obscure any part of the sender's address or return address, or any part of the S10 item identifier applied by the originating designated operator.
- 1.3 A transit or destination designated operator may apply an item identifier that is compliant with **UPU Technical Standard S10** and identical in data content to the one applied by the originating designated operator. In this case, it is not necessary to obliterate or remove the subsequent S10 identifier applied if the item is forwarded to another designated operator or returned to the originating designated operator.
- 1.4 If a transit or destination designated operator applies an S10-format barcode that differs in data content from the original S10 identifier applied, this subsequent S10-format barcode shall be obliterated or removed if the item is to be forwarded to another designated operator or returned to the originating designated operator.
- 1.5 Designated operators may agree bilaterally to the use of unique item identifiers and barcodes which are already in use on international parcels.
- 1.6 Designated operators may agree bilaterally to the use of licence plates which conform to **UPU Technical Standard S26** (Licence plates for **parcels**).

#### Article 17-216

##### Electronic exchanges to support mail processes

1 Designated operators shall provide track and trace information using **UPU EDI Messaging Standard M40 (EMSEVT v3.0)** about **all** outward and inward parcels on their national territory and shall ensure that the data are exchanged with all partner designated operators. **The following characteristics apply to the EMSEVT messages sent:**

- 1.0bis **The provision of the following EMSEVT tracking events is mandatory, when applicable to a parcel: EMA, EMB, EMC, EMD, EDB, EME, EDC, EMF, EDH or EMH, EMI, EMJ, and EMK. Other EMSEVT V3 events are optional.**
- 1.0ter **When the tracking events listed below are provided, certain data elements optional in the M40 standard are mandatory, as shown in the last column:**

<i>Event</i>	<i>Description</i>	<i>Additional mandatory data element(s)</i>
<b>EMA</b>	<b>Posting/collection</b>	<b>office-of-origin-ID</b>
<b>EMB</b>	<b>Arrival at outward office of exchange</b>	<b>outward-OE</b>

<i>Event</i>	<i>Description</i>	<i>Additional mandatory data element(s)</i>
EMC	Departure from outward office of exchange	outward-OE
EMD	Arrival at inward office of exchange	receiving-OE
EDB	Item presented to import Customs	receiving-OE
EME	Held by Customs	receiving-OE import-customs-retention-reason
EDC	Items returned from import Customs	customs-return-point-ID
EMF	Departure from inward office of exchange	inward-OE
EDH	Item arrival at collection point for pick-up	collection-point-ID
EMG	Arrival at delivery office	delivery-office-ID
EMH	Attempted/unsuccessful delivery	delivery-office-ID unsuccessful-delivery-action-taken unsuccessful-delivery-reason
EMI	Final delivery	delivery-office-ID
EMJ	Arrival at transit office of exchange	transit-OE
EMK	Departure from transit office of exchange	transit-OE

**2** All designated operators shall capture and exchange pre-dispatch and dispatch receipt information in accordance with UPU **EDI Messaging Standards M41 (PREDES v2.1)** and **M13 (RESDES v1.1)** inclusive of the following data elements, in addition to data elements that are mandatory in the corresponding standard:

**2.1** PREDES version 2.1 data element requirements

<i>Description</i>	<i>Additional mandatory data element(s)</i>
Parcels accounting information	parcel-bill-column-6-total parcel-bill-column-7-total parcel-bill-column-8-total parcel-bill-column-9-total
Receptacle information	receptacle-items

**2.2** RESDES version 1.1 data element requirements

<i>Description</i>	<i>Additional mandatory data element(s)</i>
Transportation information	carrier
Receptacle information	receptacle-items (count)

**2.3** For e-commerce dispatches, the provision of individual parcel weight in PREDES is mandatory.

**2bis** Capture and exchange of electronic advance data – M33 ITMATT V1 and M41 PREDES v2.1 messages:

**2bis.1** In accordance with the provisions of article 08-002, designated operators shall capture and exchange electronic advance data. The data shall replicate the information documented on the appropriate UPU customs declaration form and shall be compliant with UPU EDI Messaging Standard M33 (ITMATT V1).

**2bis.2** All designated operators providing ECOMPRO parcels shall capture and exchange M33 ITMATT V1 messages.

**2bis.3** In accordance with article 08-002, designated operators shall equally ensure that the S10 item identifiers of all items containing goods are included in the PREDES electronic message (UPU EDI Messaging Standard M41) sent to the designated operator of destination.

**Article 17-217**

Tracking and tracing – Indicative targets for transmission times

1 Designated operators shall endeavour to observe the following targets associated with the transmission of item event information from the time of the actual event in the transmission of such information to partner designated operators:

1.1	EMC	Departure from outward office of exchange	Within 24 elapsed hours
<b>1.2</b>	<b>EMA</b>	<b>Posting/Collection</b>	<b>Within 24 elapsed hours</b>
<b>1.3</b>	<b>EMB</b>	<b>Arrival at outward office of exchange</b>	<b>Within 24 elapsed hours</b>
1.4	EMJ	Arrival at transit office of exchange	Within 24 elapsed hours
1.5	EMK	Departure from transit office of exchange	Within 24 elapsed hours
1.6	EMD	Arrival at inward office of exchange	Within 24 elapsed hours
<b>1.7</b>	<b>EDB</b>	<b>Item presented to import Customs</b>	<b>Within 24 elapsed hours</b>
<b>1.8</b>	<b>EME</b>	<b>Item held by import Customs</b>	Within 24 elapsed hours
<b>1.9</b>	<b>EDC</b>	<b>Item returned from import Customs</b>	<b>Within 24 elapsed hours</b>
1.10	EMF	Departure from inward office of exchange	Within 24 elapsed hours
<b>1.11</b>	<b>EDH</b>	<b>Item arrival at collection point for pick-up by recipient</b>	<b>Within 24 elapsed hours</b>
<b>1.12</b>	<b>EMH</b>	<b>Attempted/Unsuccessful (physical) delivery</b>	Within <b>24</b> elapsed hours
<b>1.13</b>	<b>EMI</b>	Final delivery	Within <b>24</b> elapsed <b>hours</b>

2 Designated operators shall endeavour to observe the following targets associated with the transmission of dispatch information in the exchange of such information with partner designated operators:

<b>2.1</b>	PREDES	Pre-advice of dispatch information	Within 24 elapsed hours
<b>2.2</b>	RESDES	Advice of dispatch receipt information	Within 24 elapsed hours

**Article 17-218**

Tracking and tracing – Indicative performance targets for transmitting data

1 Designated operators are encouraged to observe the following indicative targets associated with the transmission of item event information in the exchange of such information with partner designated operators:

- 1.1 Ninety percent of parcels that receive an EMC event should have an EMD event transmitted within 24 hours of the event time and date.
- 1.2 Ninety percent of parcels that receive an EMD event should have an **EDH or** EMH and/or an EMI event transmitted within **24** hours of the event time and date.

**Article 17-219**

Steps to be taken in the event of temporary suspension and resumption of services

1 If services are temporarily suspended, the designated operator or designated operators concerned must be notified of the fact by telecommunications, indicating, if possible, the probable duration of the suspension of services. The same procedure shall be applied when the suspended services are resumed.

2 The International Bureau must be notified of the suspension or resumption of services if a general announcement is considered necessary. If necessary, the International Bureau shall notify designated operators by telecommunications.

3 The designated operator of origin shall have the option of refunding the postage charges, special charges and air surcharges to the sender if, owing to the suspension of services, the benefit accruing from conveyance of the item in question was obtained only in part or not at all.

#### Article 17-220

##### Different methods of transmission

1 The exchange of parcel mails shall be effected, as a general rule, by means of receptacles. Adjacent designated operators may agree to the handing over of certain categories of parcels unenclosed.

2 In the service between designated operators of non-adjacent countries, the exchange shall, as a general rule, be effected in closed mails.

3 Designated operators may agree to effect exchanges in transit à découvert. The transmission of parcels in transit à découvert to an intermediate designated operator shall be strictly limited to cases where the make-up of closed mails for the country of destination is not justified. However, it shall be obligatory to make up closed mails if an intermediate designated operator states that the parcels in transit à découvert are such as to hinder its work.

3.1 Transit à découvert shall be possible only under the following conditions:

3.1.1 the intermediate designated operator makes up mails for the designated operator of destination;

3.1.2 the designated operator of origin and the intermediate designated operator agree to this service and to its date of commencement in advance and in writing or by e-mail.

4 Surface airlifted parcels (S.A.L.) shall be exchanged on the conditions agreed upon between the designated operators concerned.

#### Article 17-221

##### Transmission in closed mails

1 In the normal circumstances of transmission in closed mails, the receptacles (bags, baskets, crates, etc.) shall be marked, closed and labelled in the manner laid down below.

2 Making up of bags

2.1 Mails, including those made up solely of empty bags, shall be contained in bags the number of which shall be kept to the strict minimum. The bags shall be in good condition to protect their contents. Each bag shall be labelled.

2.2 The bags shall be closed, sealed preferably with lead. The seals may also be made of light metal or plastic. The sealing shall be so done that it cannot be handled or tampered with without showing signs thereof. The impressions of the seals shall reproduce, in very legible roman letters, the name of the office of origin or an indication sufficient to identify that office. However, if the designated operator of origin so wishes, the impressions of the seals need only reproduce an indication of the name of the designated operator of origin. The designated operator of origin may also use numbered seals.

2.3 The bags shall be packed and closed in such a way as not to endanger the health of officials.

2.4 For the make-up of air parcels, bags either entirely blue or with wide blue bands shall be used. For making up surface mails or surface airlifted mails, surface bags of a colour other than that of the airmail bags (e.g. beige, brown, white, etc.) shall be used. Designated operators of destination must, however, check all the bag labels in order to ensure correct processing.

2.5 The bags shall show legibly in roman letters the office or country of origin and bear the word "Postes" (Post) or any other similar expression distinguishing them as postal dispatches.



- 3 Labelling of mails
  - 3.1 The labels of the bags shall be made of sufficiently rigid canvas, of plastic, of strong cardboard, of parchment, or of paper glued to wood. They shall be provided with an eyelet. Yellow ochre coloured CP 83, CP 84 and CP 85 labels shall be used. Their layout and text shall comply with UPU **Technical Standard S47** and/or conform to the templates annexed hereto and mentioned below:
    - 3.1.1 CP 83 in the case of surface receptacles;
    - 3.1.2 CP 84 in the case of airmail receptacles;
    - 3.1.3 CP 85 in the case of surface airlifted (S.A.L.) receptacles.
  - 3.2 The labels or addresses of closed receptacles containing air parcels shall bear the indication or label "Par avion" (By airmail).
  - 3.3 In addition, a special closing may be adopted for receptacles other than bags, provided that the contents are sufficiently protected.
- 4 The following label characteristics shall apply to parcel mail:
  - 4.1 Receptacles containing insured parcels, whether alone or together with uninsured parcels, shall display code V in the zone defined for special content codes;
  - 4.2 A special content descriptor taken from UPU code list 176 shall be displayed on the label if one of the following values is applicable (one is displayed at most, based on the order of appearance **below**):
    - 4.2.1 "PRIOR" when the receptacle contains priority mail conveyed by surface;
    - 4.2.2 "Remboursement" when the receptacle contains exclusively COD parcels;
  - 4.3 The label of the receptacle containing the **parcel** bill shall bear a bold letter "F" in the zone defined for this purpose;
  - 4.4 The receptacle gross weight shall be shown on the label, rounded up to the nearest hectogramme when the fraction of the hectogramme is equal to or greater than 50 grammes and rounded down to the nearest hectogramme otherwise;
  - 4.5 The label shall include a barcoded receptacle identifier in compliance with UPU Technical Standard S9;
  - 4.6 Origin designated operators that use numbered seals in closing receptacles may display the seal number on the receptacle labels.
- 5 Intermediate offices shall not enter any serial number on the labels of bags or packets of closed mails in transit.
- 6 Insured parcels shall be sent in separate receptacles. In case of dispatch in the same bag as uninsured parcels, insured parcels shall be placed in an inner receptacle sealed with wax or lead. The outer bag containing insured parcels shall be in good condition. It shall be provided, if possible, at the edge of its mouth with piping making it impossible to open the bag illicitly without leaving visible traces.
- 7 **(Deleted.)**
- 8 COD parcels shall be sent in separate receptacles, if their number so justifies.
- 9 By special agreement between the designated operators concerned, the label of the receptacle containing the parcel bill may be marked with the number of bags making up the mail and, if applicable, the number of parcels sent à découvert.
- 10 Cumbersome parcels, or those whose nature necessitates it may be sent unenclosed: in order to determine the mail of which they are part, such parcels shall be provided with a CP 83 or CP 84 label. Labels of unenclosed insured parcels shall be endorsed with the letter V. However, parcels going by sea, with the exception of cumbersome parcels, shall be sent in receptacles.

**11** As a general rule, bags and other receptacles containing parcels shall not weigh more than 32 kilogrammes.

**12** For conveyance purposes, bags of parcels and unenclosed parcels may be placed in containers. The methods of using containers shall be subject to special agreement between the designated operators concerned.

**Article 17-222**

Use of barcodes

**(Deleted.)**

**Article 17-223**

Parcel bills

**Obis** Designated operators may agree bilaterally or multilaterally that the parcel dispatches that they exchange need not be accompanied by a paper parcel bill, since PREDES version 2.1 messages provide similar information electronically.

**1** In the absence of such agreement, all the parcels to be forwarded by surface, S.A.L. or air shall be entered by the dispatching office of exchange on a CP 87 parcel bill. The gross weight of the dispatch, rounded to the nearest hundred grammes, shall always be entered on the CP 87.

**2** The parcel bill shall be inserted in one of the receptacles comprising the mail. Where appropriate, it shall be inserted in one of the bags containing insured **parcels**.

**3** The parcel bills relating to mails containing insured parcels shall be inserted in a pink envelope. If the insured parcels are placed in a wax-sealed inner receptacle, the pink envelope containing the parcel bill shall be attached to the outside of this receptacle.

**4** The parcel bill shall be completed with all the details called for.

**5** **(Deleted.)**

**6** As regards service parcels and prisoner-of-war and civilian internee parcels sent by air, the air conveyance dues shall be credited to the designated operators concerned.

**7** Where parcel bills are completed without an automated system, in the absence of special agreement, dispatching offices shall number the parcel bills according to an annual series for each office of destination separately for surface mail, S.A.L. mail and airmail (or priority and non-priority mail). Each mail dispatch shall thus bear a separate number. In the case of the first dispatch of each year the parcel bill shall bear, in addition to the serial number of the mail dispatch, that of the last mail dispatch of the preceding year. If a dispatch series is cancelled, the dispatching office shall enter on the parcel bill beside the number of the last mail dispatch the indication "Dernière dépêche" (Last mail dispatch). In the case of sea and air services, the name of the ship carrying the mail dispatch or, where appropriate, the air service used shall be shown, whenever possible, on the parcel bills.

**8** Where parcel bills are completed with an automated system and in conformity with UPU standards, dispatching offices of exchange shall sequentially number the CP 87 parcel bills within a mail dispatch series, with the numbering re-initialized annually at the beginning of the calendar year. Each mail dispatch shall thus bear a separate dispatch number where each successive mail dispatch number is incremented by 1 in a rising sequence and is consistent with the incrementing dispatch date. In the case of the first mail dispatch of each calendar year, the parcel bill shall bear, in addition to the serial number of the mail dispatch, that of the last mail dispatch of the preceding year. If a mail dispatch series is cancelled, the dispatching office shall notify the destination office of exchange by means of a verification note. In the case of sea and air services, the name of the ship carrying the mail dispatch or, where appropriate, the air service used shall be shown, whenever possible, on the parcel bills.

- 9 If air parcels are sent from one country to another by surface routes along with other parcels, their presence shall be shown by an appropriate note on the CP 87 parcel bill.
- 10 Every insured parcel shall be entered on the parcel bill with the letter "V" in the "Observations" column.
- 11 Every parcel redirected or returned to sender shall be entered on the parcel bill with the note "Réexpédié" (Redirected) or "Retour" (Return) in the "Observations" column.
- 12 The number of receptacles comprising the mail and, unless otherwise agreed between the designated operators concerned, the number of receptacles to be returned, shall be entered on the parcel bill. In the absence of special agreement, designated operators shall number the receptacles of the same mail. The serial number of each receptacle shall be written on the CP 83 and CP 84 label.
- 13 Where closed mails are exchanged between designated operators of countries which are not adjacent, the dispatching office of exchange shall prepare for each of the intermediate designated operators a CP 88 special parcel bill. That office shall insert thereon the total number of parcels and the gross weight of the mail. The CP 88 parcel bill shall be numbered in an annual series for each dispatching office of exchange and for each intermediate designated operator. In addition, it shall bear the serial number of the relative mail. The last number of the year shall be shown on the first parcel bill of the following year. In the case of sea services, the name of the ship carrying the mail shall be entered on the CP 88 parcel bill, whenever this is possible.
- 14 When air parcels are forwarded by surface mail, the dispatching office of exchange shall prepare a CP 88 special parcel bill for the transit designated operators concerned.
- 15 The special CP 88 parcel bill shall be sent unenclosed or in any other way agreed between the designated operators concerned, accompanied, where appropriate, by the documents required by the intermediate countries.

#### Article 17-224

##### Drawing up of CP 87 parcel bills

- 1 With the exception of those categories of parcels mentioned in 2 to 8 below, all parcels sent to designated operators of destination shall be entered in bulk in the CP 87 parcel bill. The number and total weight of these parcels, including the weight of the bags, and rounded to the nearest hundred grammes, shall be indicated in the "Bulk entry" section of the parcel bill.
- 2 Parcels which are redirected, parcels returned to sender or parcels forwarded in transit à découvert shall always be entered individually, with the amount of dues payable entered in columns 6 to 9. The weight specified in column 4 of the parcel bill shall be rounded up to the nearest hundred grammes. The number and weight of these parcels shall not be included in the number and gross weight of the parcels indicated in the "Bulk entry" section of the parcel bill. The number and gross weight of the parcels indicated in the "Bulk entry" section of the parcel bill shall always include all parcels other than those redirected, returned to sender, or forwarded in transit à découvert.
- 3 Insured parcels shall also be entered individually but without mention of the corresponding rate. Their number and weight shall be included in the number and total weight of the parcels indicated in the "Bulk entry" section of the parcel bill.
- 4 When the designated operators concerned have agreed to detailed entry of parcel bills, all ordinary parcels sent to designated operators of destination shall be entered individually in the parcel bill, but without the corresponding inward land rate. In accordance with paragraph 2, their number and weight, including the weight of the bags, shall be included in the number and total weight of the parcels indicated in the "Bulk entry" section of the parcel bill.
- 5 For e-commerce dispatches, detailed entry shall be performed in accordance with § 4 and the individual parcel weight shall be entered.

6 The presence of COD parcels shall be indicated in the bulk entry section of the form.

7 Service parcels and prisoner-of-war and civilian internee parcels for which, under article **16.1** and **2** of the Convention, no rates are allocated shall not be included in the number and total weight of the parcels indicated on the parcel bill. Article **17-223.6** shall be applicable for the dispatch of such parcels by air.

8 Depending on the settlement method agreed upon, parcels sent via the merchandise return service may need to be entered individually, indicating the amount of dues payable or the corresponding rate. The number and weight of these merchandise returns shall not be included in the number and gross weight of the parcels indicated in the "Bulk entry" section of the parcel bill.

#### Article **17-225**

##### Dispatch of documents accompanying parcels

1 The accompanying documents referred to in article **17-210.1** and **2** shall be affixed to the relevant parcel.

2 The CP 72 manifold set shall be pasted on the parcel.

3 If the CP 72 manifold set cannot be pasted on the parcel or if the parcel is to be accompanied by other documents not included in the set, the accompanying documents shall be placed in a CP 91 or CP 92 transparent adhesive envelope. This shall be affixed to the parcel.

4 Where applicable, the COD money order forms, franking notes and advices of delivery shall be dispatched in the same way.

5 The designated operators of origin and destination may agree to attach the accompanying documents to the parcel bill.

6 In the case provided for in 5, the designated operators concerned may agree to send the parcel bill and the documents accompanying the parcels by air to the office of exchange of destination.

7 In the case of parcels on which the CP 72 manifold set cannot be pasted or to which the transparent adhesive envelope cannot be affixed because of the size or the nature of the wrapping of the parcels, the accompanying documents shall be attached firmly to the parcel.

8 Designated operators which are unable to use transparent adhesive envelopes shall have the option of sending the accompanying documents by attaching them firmly to the parcels.

9 The designated operators of origin and destination may agree that documents accompanying parcels exchanged in direct mails shall be dispatched in accordance with any other system which suits them.

#### Article **17-226**

##### Routeing of mails

1 Closed mails including closed transit mails shall be forwarded by the most direct route possible.

2 When a mail consists of several receptacles, these shall as far as possible remain together and be forwarded by the same transportation.

3 The designated operator of origin may consult with the designated operator providing the closed transit service regarding the route to be followed by the closed mails which it regularly dispatches. The designated operator of origin shall not enter information about the routeing to be followed by the designated operator providing the closed transit on the delivery bill (CN 37, CN 38, CN 41 or CN 47) or electronic equivalent, nor on the CP 83, CP 84 or CP 85 labels. The route information appearing on the delivery bill (CN 37, CN 38, CN 41 or CN 47) or electronic equivalent, and on the CP 83, CP 84 or CP 85 labels shall be

limited to the route intended to transport the mails from the designated operator of origin to the designated operator providing the closed transit.

4 Mail in closed transit shall, in principle, be forwarded by the same transportation used by the designated operator of the country of transit for the transport of its own mails. If, on a regular basis, there is insufficient time between arrival of the mail in closed transit and transport departure, or the volumes regularly exceed the capacity of a flight, the designated operator of the country of origin shall be so informed.

5 In the event of a change in a route for the exchange of closed mails established between two designated operators via one or more designated operators providing closed transit, the designated operator of origin of the mail shall inform those designated operators providing closed transit of the change of route.

#### Article 17-227

Direct transshipment of air parcels and of surface airlifted (S.A.L.) parcels

1 Direct transshipment of closed mail at the transit airport shall preferably be performed between flights operated by the same airline but, where this is not possible, it may be performed between flights operated by different airlines. The designated operator of origin must make prior arrangements with the airline or airlines involved. The designated operator of origin may request one airline to make the arrangements with the other airline but must have confirmation that such arrangements, including ground handling and accounting, are in place. The use of the additional CN 42 label should also be determined.

2 In case of direct transshipment, the designated operator of origin shall enter information about the transshipment airport on the delivery bill (CN 38, CN 41, CN 47) or electronic equivalent, and on the receptacle label (CP 84, CP 85).

3 If mails documented for direct transshipment fail to connect with the scheduled flight at the transshipment airport, the designated operator of the country of origin shall ensure that the airline shall follow the arrangements in its agreement with the airline for direct transshipment referred to under 1 or shall contact the origin designated operator for instructions. Such arrangements for direct transshipment must include provision for later flights operated by the same airlines.

4 Mails transhipped directly at the transit airport either between flights operated by the same airline, or between flights operated by the different airlines, shall not be subject to transit charges between the designated operator at the transshipment airport and the origin DO.

5 In the cases referred to under 1 and where the designated operators of origin and of destination and the airline concerned agree in advance, the airline making the transshipment may prepare, if necessary, a special delivery bill to replace the original CN 38 or CN 41 bill. The parties concerned shall mutually agree on the relevant procedures and form in conformity with article 17-228.

6 Where arrangements for direct transshipment are not possible, the designated operator of the country of origin may plan closed transit, in accordance with article 17-226.

7 When surface mails from a designated operator are forwarded as closed transit by air by another designated operator, the conditions of such closed transit shall be covered by a special agreement between the designated operators concerned.

#### Article 17-228

Preparation and checking of CN 37, CN 38 or CN 41 delivery bills

1 The delivery bills shall be completed, in accordance with their layout, on the basis of the particulars appearing on the bag labels or with the address. The total number and total weight of the bags and items in each mail shall be entered in bulk by category. Designated operators of origin may elect to enter each bag individually should they wish to do so. However, any intermediate or transit country must list each transit receptacle individually, maintaining the designated operator of origin, office of destination, dispatch and

receptacle number indicated by the designated operator of origin. The six-character IMPC code identifying the origin and destination of the receptacle shall be recorded in columns 2 and 3 respectively.

2 Any intermediate office or office of destination which notices errors in the entries on the CN 38 or CN 41 delivery bill shall immediately correct them. It shall report them by CP 78 verification note to the last dispatching office of exchange and to the office of exchange which made up the mail. Designated operators may agree to make systematic use of electronic mail or any other appropriate means of telecommunication for reporting irregularities.

3 When the mails forwarded are inserted in containers sealed by the postal service, the serial number and the number of the seal of each container shall be entered in the column of the CN 37, CN 38 or CN 41 bill reserved for that purpose.

#### **Article 17-229**

##### Missing CN 37, CN 38, CN 41 or CN 47 delivery bill

1 Designated operators may agree to make systematic use of electronic mail or any other appropriate means of telecommunication for settling cases where the delivery bill is missing.

2 An electronically transmitted delivery bill form, duly signed by the sending designated operator, printed by the carrier at destination or at an intermediate location, shall be considered valid by the destination office.

3 In the absence of the delivery bill or its electronic equivalent, the receiving office shall prepare one as a substitute in accordance with the load received and have the carrier countersign it. This substitute delivery bill may be sent to the dispatching office as an attachment to a CP 78 verification note or be kept in case of later disputes over the mail concerned.

4 If a CN 46 substitute delivery bill prepared by the carrier is received by the destination office in place of the original delivery bill, this substitute bill shall be accepted. This fact may be reported to the office of origin by means of a CP 78 verification note, accompanied by this CN 46 substitute delivery bill.

5 If a CP 78 verification note is raised and if the airport of loading cannot be determined, this verification note shall be sent straight to the office of dispatch of the mail for it to forward the note to the office through which the mail transited.

#### **Article 17-230**

##### Steps to be taken in the event of an accident

1 When, as a result of an accident in course of conveyance, a ship, train, aircraft or any other transport facility is unable to continue its journey and deliver the mail at the scheduled ports of call or stations, the crew shall hand over the mails to the post office nearest to the place of the accident or to the office best able to reforward the mail. If the crew are unable to do this, that office, having been informed of the accident, shall take immediate action, taking over the mail and reforwarding it to its destination by the quickest route after its condition has been checked and any damaged items put in order.

2 The designated operator of the country in which the accident occurred shall inform all designated operators of previous ports of call or stations, by telecommunications, of the fate of the mail. These designated operators in turn shall advise by the same means all other designated operators concerned.

3 If delivery bill information was not sent electronically, designated operators which had mail on the transport facility involved in the accident shall send a copy of the CN 37, CN 38, CN 41 or CN 47 delivery bills to the designated operator of the country where the accident occurred.

4 The qualified office shall then notify the offices of destination of the mails involved in the accident by CP 78 verification note giving details of the circumstances of the accident and the results of the check of the mails. One copy of each verification note shall be sent to the offices of origin of the relative mails and another

to the designated operator of the country to which the transport company belongs. These documents shall be sent by the quickest route (air or surface).

#### Article 17-231

Steps to be taken in the event of an interrupted flight, or of diversion or missending of airmail or surface airlifted (S.A.L.) mails

1 When an aircraft interrupts its flight for a length of time likely to delay the mails or when, for any reason whatsoever, the mails are unloaded at an airport other than that given on the CN 38 delivery bills, the designated operator of the country of origin shall ensure that the airline follows the arrangements in its agreement with the airline for direct transshipment or shall contact the origin designated operator for instructions.

2 The designated operator which receives missent airmail or surface airlifted (S.A.L.) mail dispatches or bags owing to a labelling error shall attach a new label to the dispatch or bag, with an indication of the office of origin, and reforward it to its correct destination.

3 In every case the office which did the reforwarding shall inform the office of origin of each dispatch or bag by CP 78 verification note, indicating in particular on the verification note the air service from which the mail was taken, the services used (air or surface) for onward transmission to its destination and the cause of missending, such as transportation or labelling error.

4 Designated operators may also agree to substitute the paper-based reporting of irregularities (CP 78 verification notes) with an agreed reconciliation process using electronically captured information.

#### Article 17-232

Preparation of trial notes

1 To determine the most favourable route for the dispatch of parcels, the dispatching office of exchange may send to the office of exchange of destination a trial note in the form of the specimen CN 44. This note shall be attached to the parcel bill on which its presence shall be indicated. If the CN 44 form is missing when the mail arrives, the office of destination shall make out a duplicate. The trial note, duly completed by the office of destination, shall be returned by the quickest route to the address indicated or, in the absence of such an indication, to the office which prepared it.

#### Article 17-233

Transfer of mails

1 All mail dispatches shall be handed over by designated operators in good condition. However, a dispatch may not be refused because of damage or theft.

2 The receiving designated operators shall ensure that the carriers can hand over the parcels to a competent service.

3 Delivery bill information shall be prepared by the sending office and provided to the destination office as well as other parties, if any, involved in the transport of dispatches. The information shall preferably be provided electronically, **using PRECON and CARDIT messages**; when this is not possible, the delivery bill information shall be shared via UPU forms instead.

4 The following rules apply to the exchange of electronic messages corresponding to delivery bill information:

4.1 A **CARDIT message** shall be sent by the origin designated operator.

4.2 The origin designated operator shall require the transport service to capture electronically the receipt of the mail, and to send the data in a RESDIT "**received**" event message to confirm receipt of each receptacle of the consignment.

- 4.3 The origin designated operator shall require the transport service to capture electronically the handover of the mail, and to send a RESDIT “**delivered**” message.
- 4.4 A **PRECON message** shall be sent by the origin designated operator to the designated operator to which the consignment is addressed. This may take place even when there are no CARDIT/RESDIT exchanges in place with transport to this destination.
- 4.5 The designated operator taking receipt of the mail shall send a **RESCON message** to the origin designated operator, in order to acknowledge receipt of the mail.
- 4.6 In the case of air transport, the origin designated operator shall require the airline to store the CARDIT data and the RESDIT receipt and delivery events data in its system and to share the data with the associated entity responsible for ground services at the airports of origin, transit and destination.
- 4.7 In the event of an inquiry, the designated operators shall share the available information, including that received from the airline.
- 5 When UPU forms are used, the following delivery bill forms apply, depending on the type of mail and conveyance:
- 5.1 CN 37 for surface mail (mail categories C and D) other than dispatches of empty bags;
- 5.2 CN 38 for airmail (mail category A) other than dispatches of empty bags;
- 5.3 CN 41 for surface air lifted (S.A.L.) mail (mail category B) other than dispatches of empty bags;
- 5.4 CN 47 for dispatches of empty bags, for all mail categories.
- 6 The following rules apply to the generation of delivery bill forms:
- 6.1 The dispatching office shall retain a copy of the delivery bill; if a transport service is involved, this service or an associated agent shall sign this copy as a receipt for the mails.
- 6.2 A copy is sent to the destination office.
- 6.3 If a transport service is involved, an additional copy is given to this service and shall be transported to the destination; this copy shall be retained by the transport service after being signed by the receiving office.
- 6.4 In case of air transport, the two copies of the delivery bill that are transported to the destination office shall be inserted in a CN 45 envelope. These shall be conveyed in the aircraft’s flight portfolio or other special pouch in which the flight documents are kept. Upon arrival at the airport of offloading of the mails, the first copy, duly signed as a receipt for the mails, shall be kept by the airline which has carried the mails. The second copy shall accompany the mails to the post office to which the delivery bill is addressed.
- 6.5 Designated operators that have developed an electronic receipting system for mail dispatches that they receive from transport carriers may use the receipting records of that system instead of the delivery bill process described under 6.4. In lieu of the signed copy of the delivery bill forms, the receiving designated operator can provide the transport carrier with a printed copy of the electronic receipting record for that dispatch.
- 6.6 When the transfer of mails between two corresponding offices involves a sea service, an additional copy shall be sent to destination, preferably electronically, or otherwise via airmail, in order to pre-advise destination.
- 6.7 If delivery bills are produced by electronic means and transmitted online to a transport service or a cooperating agent without the direct participation of the designated operator’s staff and printed out there, the designated operators or companies involved in the transport operations may agree that a signature shall not be required on the delivery bills.
- 6.8 The weight of bags or other receptacles containing insured air parcels shall be shown separately on the CN 38 delivery bill. The letter “V” shall also be written in the “Observations” column opposite this entry.



**Article 17-234**

## Check of mails

1 Every office of exchange receiving a mail shall immediately check the receptacles and their fastening. It shall also check the origin and destination of the bags making up the mail and entered on the delivery bill, and then the parcels and the various documents which accompany them. These checks shall be made in the presence of the other interested parties whenever this is possible.

2 The office of destination shall keep a close check on whether the mails arrive in the sequence in which they were dispatched, particularly in the case of mails containing insured parcels.

3 When the receptacles are opened, the constituent parts of the fastening (string, lead seal, label) shall be kept together; to achieve this, the string shall be cut in one place only. Dated digital photographic images of the labels, bag, seal, cover, packet or item concerned may be provided.

4 Any irregularities discovered shall be reported without delay by a CP 78 verification note. When the office of exchange of destination has not sent off a CP 78 note by the first available post, it shall be considered, until the contrary is proved, as having received all the bags and parcels in good condition.

5 When the findings of an office of exchange are such as may involve the liability of a transport undertaking, they must where possible be countersigned by the representative of that undertaking as well as by the designated operator of transit or of destination taking over the mails, which shall confirm that there are no irregularities. This signature may be made either on the CP 78 verification note, a copy of which shall be handed to the undertaking, or, as the case may be, on the CN 37, CN 41 or CN 38 delivery bill accompanying the mail. Should there be any reservations with respect to the carrier service, the copies of the CN 37, CN 38 or CN 41 delivery bill must indicate such reservations. By analogy, designated operators exchanging information electronically may apply the procedures outlined in article **17-233.4**.

6 Designated operators may agree to substitute procedures provided in 4 and 5 with information sent electronically concerning inward receipt (RESDES message, EMSEVT event EMD) and delivery (EMSEVT events EMH/EMI). Designated operators may also agree to substitute the paper-based reporting of irregularities (CP 78 verification notes) with an agreed reconciliation process using electronically captured information.

7 The discovery, at the time of the check, of any irregularities whatsoever may in no case be the cause of the return of a parcel to sender except as provided for in article **19-201.3** and **4**.

**Article 17-235**

## Discovery of irregularities and processing of verification notes

1 When an intermediate office receives a mail in bad condition, it shall check the contents if it thinks that they have not remained intact and put it in new packing just as it is. It shall copy the particulars from the original label on to a new one and apply to the latter an impression of its date-stamp, preceded by the endorsement "Remballé à ..." (Repacked at ...). The fact shall be reported by means of a CP 78 verification note, to be prepared in four or five copies, as appropriate. One copy shall be retained by the office which prepared it, and the others shall be sent to:

- 1.1 the office of exchange from which the mail was received (two copies);
- 1.2 the dispatching office of exchange (if this is not the office referred to above); and
- 1.3 the office of destination (inserted in the repacked mail).

2 In the event of the absence of a mail or one or more of the bags comprising it, or of any other irregularity the fact shall be notified as described in 1. However, intermediate offices of exchange shall not be bound to check the documents accompanying the parcel bill.

3 If the office of exchange of destination discovers errors or omissions in the parcel bill it shall immediately make the necessary corrections. It shall take care to cross out the incorrect entries in such a way

as to leave the original entries legible. These corrections shall be made in the presence of two officials; unless there is an obvious error, the corrections shall be accepted in preference to the original statement. The office of exchange shall also carry out the routine checks when the receptacle or its fastening gives grounds for presuming that the contents have not remained intact or that some other irregularity has occurred. The irregularities which have been established shall be notified without delay to the dispatching office of exchange by a CP 78 verification note, to be prepared in three or four copies as appropriate. One copy shall be retained by the office of exchange which prepared it and the others shall be sent to:

3.1 the dispatching office of exchange (two copies); and

3.2 the intermediate office of exchange from which the mail was received (if the mail was not received direct).

4 The absence of a mail or of one or more of the bags comprising it, or of the parcel bill, shall be notified as described in 3. If the parcel bill is missing, the office of exchange of destination shall prepare a replacement parcel bill.

5 The absence of a surface parcel mail or air parcel mail shall be notified at the latest on receipt of the first mail following the missing mail. Similarly, the absence of one or more bags or parcels sent unenclosed in a surface parcel mail or air parcel mail shall be notified at the latest on receipt of the first mail following the said mail.

6 The office of exchange of destination shall have the right not to make corrections and not to make out a CP 78 verification note if the errors or omissions in respect of the rates due do not exceed 10 SDR per parcel bill.

7 Verification notes shall be sent preferably by telefax or by any other electronic means of communication or, if sent by mail, by the quickest route in a special envelope marked in bold letters "Bulletin de verification" (Verification note). These envelopes may either be pre-printed or distinguished by a stamp impression clearly reproducing the indication. Irregularities concerning insured parcels which involve the liability of member countries or designated operators shall always be notified immediately by electronic means, if available.

8 The offices to which the CP 78 verification notes are sent shall return them as promptly as possible after having examined them and indicated thereon their observations, if any; they shall retain one copy. The returned verification notes shall be attached to the parcel bills to which they relate. Corrections made to a parcel bill which are unsupported by documentary evidence shall not be considered valid. Furthermore, acceptance or rejection of a CP 78 verification note or a requirement for further information shall be indicated by checking the appropriate box located at the end of the CP 78 verification note. However, if these verification notes are not returned to the office of exchange which issued them within a period of one month from the date of their dispatch they shall be considered, until the contrary is proved, as duly accepted.

9 Designated operators may agree to substitute procedures provided in 3, 7 and 8 with information sent electronically concerning inward receipt (RESDES message, EMSEVT event EMD) and delivery (EMSEVT events EMH/EMI). Designated operators may also agree to substitute the paper-based reporting of irregularities (CP 78 verification notes) with an agreed reconciliation process using electronically captured information.

#### **Article 17-236**

##### Discrepancies of weight or size of parcels

1 Unless there is an obvious error, the view of the office of origin shall prevail as regards the establishment of the weight or size.

2 Discrepancies in weight less than 500 grammes relating to ordinary parcels may not be made the subject of verification notes or the cause of the parcels being returned.

3 Discrepancies in weight of insured parcels up to ten grammes above or below the weight stated may not be queried by the intermediate designated operator or designated operator of destination unless the external condition of the parcel makes it necessary.

#### Article 17-237

Receipt by the office of exchange of a damaged or insufficiently packed parcel

1 Any office of exchange which receives a damaged or insufficiently packed parcel shall send it on, after having repacked it if necessary. The original packing, the address and the labels shall be preserved as far as possible. The weight of the parcel before and after repacking shall be shown on the actual packing of the parcel. This indication shall be followed by the note "Remballé à ..." (Repacked at ...) stamped with an impression of the date-stamp and signed by the officials who did the repacking.

2 If the condition of the parcel is such that the contents could have been removed or damaged, this fact shall be reported to the dispatching office of exchange by means of a sufficiently explicit note on the CP 78 verification note. The parcel shall also be automatically opened and its contents checked. The results of this check shall be given in a CN 24 report. This shall be prepared in duplicate, one copy shall be retained by the office of exchange which prepared it and the other attached to the parcel.

3 The procedure described in 2 shall also apply if the parcel shows a discrepancy in weight such as to suggest the removal of the whole or part of the contents.

#### Article 17-238

Notification of irregularities for which designated operators may be liable

1 Any office of exchange which, on the arrival of a mail, discovers the absence of, theft from or damage to one or more parcels shall proceed as follows:

1.1 It shall indicate in as much detail as possible on the CP 78 verification note or in the CN 24 report the condition in which it found the outer packing of the mail. Dated digital photographic images of the labels, bag, seal, cover, packet or item concerned may be provided. Unless this is impossible for a stated reason, the receptacle, the string, the lead or other seal and the label shall be kept intact for a period of six weeks from the date of verification. They shall be sent to the designated operator of origin if it so requests.

1.2 It shall send a duplicate of the verification note to the last intermediate office of exchange, if any, at the same time as to the dispatching office of exchange.

2 If it considers it necessary, the office of exchange of destination may, at the expense of its designated operator, inform the dispatching office of exchange of its discoveries by telecommunications.

3 Where offices of exchange in direct contact are concerned, the respective designated operators of these offices may agree on the method of procedure in the case of irregularities for which they may be liable.

#### Article 17-239

Check of mails of parcels forwarded in bulk

1 Articles 17-234 to 17-238 shall be applicable only to rifled and damaged parcels as well as to parcels entered individually on the parcel bills. The other parcels shall be simply checked in bulk.

2 The designated operator of origin may agree with the designated operator of destination to limit to certain categories of parcels the detailed check and the preparation of the CP 78 verification notes and CN 24 reports. The same may be agreed with the intermediate designated operators.

3 If the number of parcels found in the mail differs from the number given on the parcel bill, the verification note shall correct only the total number of parcels.

4 If the gross weight of the mail given on the parcel bill does not correspond to the gross weight found, the verification note shall correct only the gross weight of the mail.

#### Article 17-240

##### Reforwarding of a parcel arriving out of course

1 Any parcel arriving out of course shall be reforwarded to its proper destination by the quickest route (air or surface).

2 Any parcel reforwarded in application of this article shall be subject to the rates for forwarding to its proper destination and the charges and fees mentioned in article **19-202.4.3**.

3 The reforwarding designated operator shall report the matter in a CP 78 verification note to the designated operator from which the parcel has been received.

4 It shall treat the parcel arriving out of course as if it had arrived in transit à découvert. If the rates which have been allocated to it are insufficient to cover the costs of reforwarding, it shall credit the true designated operator of destination and, where appropriate, the intermediate designated operators with the relative conveyance rates. It shall then credit itself, through a claim on the designated operator responsible for the office of exchange which missent the parcel, for the amount of the expense which it has incurred. This designated operator shall collect them from the sender if the error is ascribable to him. The claim and its cause shall be notified by means of a verification note.

5 Designated operators may agree to substitute procedures provided in 3 and 4 with information sent electronically concerning inward receipt (RESDES message, EMSEVT event EMD) and transit (EMSEVT events EMJ/EMK). Designated operators may also agree to substitute the paper-based reporting of irregularities (CP 78 verification notes) with an agreed reconciliation process using electronically captured information.

6 As an alternative to the rates and expenses described in 4, receiving designated operators may elect to charge the designated operator responsible for missending the parcel only the costs of reforwarding the parcel to the proper country of destination.

#### Article 17-241

##### Return of empty receptacles

1 Wherever appropriate in this article, the reference to "bags" shall mean "bags or other receptacles used and owned by designated operators for the carriage of mails".

2 In the absence of special agreement between the designated operators concerned, bags shall be returned empty by the next post in a mail for the country to which they belong and if possible by the normal route followed on the outward journey. The number of bags returned by each mail shall be noted on the CP 87 parcel bill.

3 The owners of empty bags shall decide whether they wish their bags to be returned or not and, if so, by what means of transport. Nevertheless, the designated operator of destination shall have the right to return bags that cannot be incinerated easily and cheaply in its country. The designated operator of origin shall bear the cost of returning such bags.

4 If the designated operators of transit and destination agree, empty receptacles being returned by surface may be placed in the receptacles containing postal parcels. In all other cases, empty receptacles shall be placed in separate dispatches. The special dispatches containing only returned empty receptacles shall be described on CN 47 bills. Receptacles of empty receptacles may be sealed by agreement between the designated operators concerned. The labels shall be endorsed "Sacs vides" (Empty bags).

5 The return shall be carried out between offices of exchange appointed for the purpose. The designated operators concerned may agree among themselves as to the procedure for the return. In long-

distance services, they shall, as a general rule, appoint only one office responsible for receiving the empty bags returned to them.

6 The empty bags shall be rolled into suitable bundles. Where appropriate, the label blocks, labels of canvas, parchment or other stout material shall be placed inside the bags.

7 If the check made by a designated operator establishes that bags belonging to it have not been returned to its service within a period longer than that required for their transmission (round trip), it shall be entitled to claim reimbursement of the value of the bags as provided for under 8. The designated operator in question may refuse this reimbursement only if it can prove the missing bags were returned.

8 Each designated operator shall fix, periodically and uniformly for each kind of bag used by its offices of exchange, a value in SDRs and communicate it to the designated operators concerned through the International Bureau. In case of reimbursement, the cost of replacing the bags shall be considered.

9 By prior agreement, a designated operator may use the bags belonging to the designated operator of destination for making up its own airmails. The bags of third parties may not be used.

10 Mails of empty bags shall be treated like letter-post mails which do not give rise to the payment of terminal dues but are subject to the payment of 30% of the transit charges applicable to letter-post mails.

11 A dispatching designated operator may indicate whether or not it would like to have the receptacles used for a particular dispatch returned. This indication shall be made on the parcel bill used for the dispatch.

#### Article 18-201

##### Merchandise return service for the original seller

#### 1 General

1.1 Designated operators may bilaterally agree to offer a supplementary merchandise return service comprising acceptance of prepaid returns with priority transportation.

1.2 The purpose of the merchandise return service shall be to enable the original seller to pay for returned parcels posted by its customers/the addressees residing abroad after successful delivery.

1.3 Designated operators that operate this service shall comply with the provisions outlined in the user guide as approved by the POC.

1.4 Designated operators may otherwise agree bilaterally on another service to be applied among themselves.

#### 2 Formalities

2.1 Authorized addressees returning parcels via the merchandise return service shall utilize the customs declaration information provided by the original seller in order to comply with the formalities outlined in article 17-211.

#### 3 Charges for the merchandise return service (outward land rates and air conveyance dues)

3.1 A designated operator sending parcels via the merchandise return service shall be entitled to collect charges corresponding to costs incurred for the service from the designated operator of the country of origin of the returned merchandise.

3.2 The charges shall be fixed as follows:

3.2.1 A charge for the outward land rates shall be set at 85% of the inward land base rates for an air parcel (with a rate per kilogramme and per item) of the designated operator that is returning parcels, with a floor rate of 2.85 SDR per item and 0.28 SDR per kilogramme.

3.2.2 Air conveyance dues shall be calculated in accordance with article 33-202.

- 4 Accounting for the merchandise return service charges
- 4.1 Unless bilaterally agreed otherwise, the accounting of merchandise return service charges shall be based on current settlement procedures, supported by the electronic exchange of information.
- 5 Merchandise return service reporting
- 5.1 A monthly report shall be produced by a bilaterally agreed third party, based on the EMC and EMD events transmitted to the designated operator of the original seller. This report shall provide the number of returned parcels with EMC and EMD events transmitted and the total weight corresponding to these items detailed by designated operator of origin. All additional weight information shall be provided by sending a PREDES message, and shall include a RESDES message for comparison and reconciliation purposes.

#### Article 18-202

##### Cumbersome parcels

- 1 **(Deleted.)**
- 2 The following shall be called a “cumbersome parcel”; any parcel:
  - 2.1 whose dimensions exceed the limits laid down in these Regulations or those which designated operators shall set between themselves;
  - 2.2 which, by reason of its shape or structure, does not readily lend itself to loading with other parcels or which requires special precautions.
- 3 **Cumbersome parcels shall be subject to a supplementary charge, the guideline maximum amount of which is laid down in 6.1. However, the air surcharges in respect of these parcels shall not be increased.**
- 4 The exchange of cumbersome parcels shall be restricted to those designated operators which admit such items.
- 5 Marking of cumbersome parcels
  - 5.1 **(Deleted.)**
  - 5.2 **(Deleted.)**
  - 5.3 **(Deleted.)**
  - 5.4 Every cumbersome parcel and the front of its dispatch note shall bear a label showing in bold letters the word “Encombrant” (Cumbersome).
  - 5.5 Designated operators which admit the limits of sizes set out in article **17-204.1** may charge as cumbersome any parcel whose dimensions exceed the limits set out in article **17-204.2** but which weighs less than 10 kilogrammes. In such a case, the word “Encombrant” shall be supplemented on the dispatch note only by the words “en vertu de l’article ” (pursuant to article **18-202.5.5**).
- 6 Charges
  - 6.1 The guideline maximum amount of the supplementary charge referred to in 3 is 50% of the principle charge.

## Section V

### Prohibitions and customs matters

#### Article 19-201

##### Treatment of parcels wrongly admitted

1 Parcels containing articles mentioned in article **19.2**, **19.3.1**, **19.3.2**, **19.4.3** and **19.5** of the Convention, and wrongly admitted to the Post shall be dealt with according to the legislation of the country of the designated operator of origin, transit or destination establishing their presence.

2 In the case of the insertion of a single item of correspondence prohibited within the meaning of article **19.5** of the Convention, this shall be treated as an unpaid letter-post item. The parcel shall not be returned to sender on this account.

3 The designated operator of destination shall be authorized to deliver to the addressee, under the conditions prescribed by its regulations, an uninsured parcel originating in a country which admits insurance and containing articles listed in article **19.6.1.2** and **19.6.1.3** of the Convention. If delivery is not permitted, the parcel shall be returned to sender.

4 The provisions in 3 shall be applicable to parcels the weight or the dimensions of which appreciably exceed the permitted limits. However, these parcels may, where appropriate, be delivered to the addressee if he first pays any charges which may be due.

5 **When** a parcel or part of its contents **wrongly admitted to the post** is neither returned to sender **nor delivered to the addressee**, the designated operator of origin shall be notified without delay how the parcel has been dealt with. This notification shall clearly indicate the prohibition under which the parcel falls **and** the articles which gave rise to seizure. A wrongly admitted parcel **which** is returned to origin shall be accompanied by a similar notification. The designated operator of destination or transit may deliver or forward to the addressee the part of the contents which is not subject to prohibition.

6 In the event of the seizure of a wrongly admitted parcel, the designated operator **of transit or destination** shall **notify** the designated operator of origin through the dispatch of a CN 13 **report** or, if agreed bilaterally, by using the appropriate standard UPU EDI item-level message (EME tracking event and corresponding retention code).

7 Designated operators shall implement procedures to provide for situations where postal items face events which prevent the continuation of conveyance, such as when wrongly admitted items are discovered at an intermediate location.

7.1 In cases of closed dispatch transit, the designated operator (of transit) shall provide an incident report giving as many details as possible to the designated operator (of origin) when a postal item is retained in transit. The report shall be issued within one working day (24 hours) following the discovery of the incident.

7.2 In cases of direct transshipment, the agreement between the designated operator (of origin) and the carrier should dictate how the retained postal item should be handled. However, in cases where the carrier is unable to resolve the issue through contacts with the designated operator (of origin) within seven days from the receipt of the report, the carrier may request assistance to resolve the incident from the designated operator at the intermediate location.

7.2.1 Designated operators shall incorporate language in their agreements with carriers to account for events which prevent the continuation of conveyance, such as when wrongly admitted items are discovered at an intermediate location. Such contractual language shall request the carrier to notify incidents and to request instructions for the resolution of the incident within one working day (24 hours) following the discovery of the incident.

7.3 Upon notification of a retained item, the designated operator (of origin) shall provide specific instructions on how to resolve the incident. An initial response shall be made within one working day (24 hours) following receipt of the report. The initial response from the designated operator of origin may not necessarily resolve the reported event, but rather serve as an acknowledgement that it has been reported and that further investigation is under way. Updated reports shall be provided by the

designated operator of origin every 72 hours until resolution of the event. These guidelines for the timeframe are based in terms of normal business days and take account of holidays, time zone differences and weekends.

#### Article 19-202

##### Conditions of redirecting a parcel

- 1 A parcel may be redirected within the country of destination at the request of the sender, at the request of the addressee, or automatically if the regulations of that country permit.
- 2 A parcel may be redirected out of the country of destination only at the request of the sender or of the addressee. In this case the parcel shall comply with the conditions required for the onward transmission.
- 3 A parcel may also be redirected by air at the request of the sender or the addressee. Payment of the air surcharge in respect of the onward transmission shall be guaranteed.
- 4 For the first and any subsequent redirection of each parcel, the following may be collected:
  - 4.1 the charges authorized by the **national** regulations of the designated operator concerned for such redirection, in the case of redirection within the country of destination;
  - 4.2 the rates and air surcharges entailed in the onward transmission, in the case of redirection out of the country of destination;
  - 4.3 the charges and fees which the former designated operators of destination do not agree to cancel.
- 5 The charges, rates and fees mentioned in 4 shall be collected from the addressee.
- 6 If the charges, rates and fees mentioned in 4 are paid at the time of redirection the parcel shall be dealt with as if it had originated in the redirecting country and been addressed to the country of the new **destination**.

#### Article 19-203

##### Periods of retention

- 1 When an addressee has been notified of the arrival of a parcel, it shall be held at his disposal for a fortnight or, at most, for a month from the day after that on which the advice is sent. Exceptionally, this period may be increased to two months if the regulations of the country of destination permit.
- 2 When it has not been possible to notify an addressee of the arrival of a parcel, the period of retention prescribed by the regulations of the country of destination shall apply. The same shall also apply to parcels addressed poste restante. This period shall start to run from the day after the day from which the parcel is held at the addressee's disposal. It shall not exceed two months. The parcel shall be returned within a shorter period if the sender has so requested in a language known in the country of destination.
- 3 The periods of retention prescribed in 1 and 2 shall be applicable, in the case of redirection, to parcels to be delivered by the new office of destination.
- 4 If, at the end of the customs inspection of a parcel, a period of more than three months has elapsed, the designated operator of destination shall request instructions concerning this parcel from the designated operator of origin.
- 5 If the designated operator of destination does not comply with provisions 1 to 4 above, it shall pay the rates and charges due for return to origin.



**Article 19-204**

## Parcels automatically retained

1 For every parcel automatically retained or pending because of theft or damage or for some other cause of the same kind, the designated operator of destination shall prepare a CP 78 verification note. However, this procedure shall not be compulsory in cases of force majeure or when the number of parcels automatically retained is such that the sending of an advice is physically impossible.

2 The CP 78 verification note shall be prepared by the intermediate designated operator concerned for every parcel automatically retained in course of transmission either by the postal service (accidental interruption of traffic) or by the Customs. The reservation made under 1 shall also apply in such cases.

3 The CP 78 verification note shall include all the particulars shown on the CP 74 and CP 73 labels and the date of posting of the parcel. The CP 78 verification note shall be sent by the quickest route to the designated operator of the sender's country of residence.

4 The CP 78 verification note shall be accompanied by a copy of the dispatch note. In the cases referred to in 1 and 2, the CP 78 shall be endorsed in bold letters "Colis retenu d'office" (Parcel automatically retained). If the parcel is pending owing to theft or damage, a CN 24 report shall be prepared. A copy of the report giving information on the extent of the damage shall accompany the CP 78.

5 Several parcels posted at the same time by the same sender and addressed to the same addressee may be the subject of one CP 78 verification note, even if these parcels were accompanied by several dispatch notes. In such a case, all these notes shall be attached to the CP 78.

6 As a general rule, a CP 78 shall be exchanged between the office of destination and the office of exchange of origin. However, any designated operator may request that the CP 78 concerning its service be sent to its central administration or to a specially appointed office. The name of that office shall be notified to designated operators through the International Bureau. The designated operator of the sender's country of residence shall be responsible for advising the sender. The exchange of CP 78 verification notes shall be expedited as much as possible by all the offices concerned.

**Article 19-205**

## Return to sender of undelivered parcels

1 If a parcel cannot be delivered or if it is held officially, it shall be dealt with in accordance with the instructions given by the sender within the limits set in article **17-212**.

2 A parcel which it has not been possible to deliver shall be returned immediately if:

2.1 the sender has requested its immediate return;

2.2 the sender has made an unauthorized request;

2.3 the sender's instructions at the time of posting have not achieved the desired result.

3 A parcel which it has not been possible to deliver shall be returned immediately after the expiry:

3.1 **(Deleted.)**

3.2 of the periods of retention laid down in article **19-203**;

3.3 of a period corresponding to the period of retention applied in the domestic service if a COD parcel has not been paid for within that limit.

4 Every parcel shall be returned by the route normally used for dispatching the lowest priority mails. It shall not be returned by air unless the sender has guaranteed the payment of the air surcharges. However, when the designated operator returning the item no longer uses surface conveyance, it shall return undeliverable items by the most appropriate means in use.

5 An office which returns a parcel shall give the reason for non-delivery on the parcel and on the dispatch note. It shall use for this purpose a stamped impression or a CN 15 label. If there is no dispatch note, the reason for the return shall be entered on the parcel bill. The endorsement shall be made in French. Each designated operator has the option of adding a translation in its own language and any other appropriate particulars.

6 The office of destination shall strike out the address particulars with which it is concerned and write "Retour" (Return) on the front of the parcel and on the dispatch note. It shall also apply its date-stamp beside this indication.

7 Parcels shall be returned to sender in their original packing. They shall be accompanied by the dispatch note prepared by the sender. If a parcel has to be repacked or the original dispatch note replaced, the name of the office of origin of the parcel, the original serial number and, if possible, the date of posting shall appear on the new packing and on the dispatch note.

8 If an air parcel is returned to sender by surface, the "Par avion" (By airmail) label and any notes relating to transmission by air shall be automatically struck through.

9 A parcel returned to sender shall be subject to the rates entailed in the further transmission. It shall also be subject to the uncanceled charges and fees which are due to the designated operator of destination at the time of return to sender. That parcel shall be treated by the designated operator according to its own legislation. However, if the sender has abandoned a parcel which it has not been possible to deliver to the addressee, neither the sender nor other designated operators shall be required to cover any postal charges, customs duties or other fees which may be incurred in respect of such a parcel.

10 The allocation and recovery of rates, charges and fees paid on the parcel shall be made as mentioned in article **27-209**. They shall be indicated in detail on a CP 77 statement of charges. This statement shall be affixed at one edge to the dispatch note.

11 The rates, charges and fees provided for under 9 shall be collected from the sender. Designated operators may however refrain from calculating the exact amount of these charges and instead fix standard rates for parcels to be returned to sender.

12 Parcels returned to the sender and undeliverable to him shall be dealt with by the designated operator concerned in accordance with its own legislation.

#### Article **19-206**

##### Return to sender of wrongly accepted parcels

1 Any parcel wrongly accepted and returned to sender shall be subject to the rates, charges and fees prescribed in article **19-205.9**.

2 These rates, charges and fees shall be payable by the sender, if the parcel has been wrongly admitted in consequence of an error of the sender or if it falls within one of the prohibitions laid down in article **19** of the Convention.

3 They shall be payable by the designated operator responsible for the error, if the parcel has been wrongly admitted in consequence of an error attributable to the postal service. In this case the sender shall be entitled to a refund of the charges paid.

4 If the rates which have been allocated to the designated operator returning the parcel are insufficient to cover the rates, charges and fees mentioned in 1, the outstanding charges shall be recovered from the designated operator of the sender's country of residence.

5 If there is a surplus, the designated operator which sends back the parcel shall return the balance of the rates to the designated operator of the sender's country of residence for refund to the sender.

**Article 19-207**

## Return to sender due to suspension of services

1 The return of a parcel to the sender due to the suspension of services shall be free of charge. The unallocated rates collected for the outward journey shall be credited to the designated operator of the sender's country of residence for refund to the sender.

**Article 19-208**

## Non-compliance by a designated operator with given instructions

1 When the designated operator of destination or an intermediate designated operator has not complied with the instructions given at the time of posting or subsequently, it shall bear the conveyance charges (outward and return) and any other uncancelled charges or fees. Nevertheless, the charges paid for the outward journey shall remain the responsibility of the sender if he declared, at the time of posting or subsequently, that in the event of non-delivery he would abandon the parcel.

2 The designated operator of the sender's country of residence shall be authorized automatically to bill the charges referred to in 1 to the designated operator which has not complied with the instructions given and which, although duly informed, has allowed three months without finally settling the matter. The period shall run from the date on which that designated operator was informed of the case.

3 The provision in 2 shall also apply if the designated operator of the sender's country of residence has not been informed that the non-compliance appeared to be due to force majeure or that the parcel had been detained, seized or confiscated in accordance with the **national** regulations of the country of destination

**Article 19-209**

## Parcels containing items whose early deterioration or decay is to be feared

1 Articles contained in a parcel whose early deterioration or decay is to be feared shall be separated from other parcels in order to avoid deterioration to other parcels. If separation is impossible, the spoilt or decayed articles shall be destroyed. This provision shall apply in accordance with the national legislation of the member country.

2 When a parcel has been destroyed in accordance with 1, a formal report of the destruction shall be drawn up. A copy of the report accompanied by the dispatch note shall be sent to the office of origin.

**Article 19-210**Treatment of requests for withdrawal of parcels from the post or for alteration or correction of address **and/or name of the addressee**

1 The sender of a parcel may ask for it to be returned or for **the address and/or name of the addressee** to be altered. He must guarantee payment of the amounts due for any onward transmission.

2 However, designated operators shall have the option of not accepting the requests referred to in 1 when they do not accept them in their internal service.

3 Preparation of request

3.1 Every request for withdrawal of items from the post or for alteration or correction of **the address and/or name of the addressee** shall entail completion by the sender of a CN 17 form. One form may be used for several items posted at the same time, at the same office, by the same sender to the same addressee.

3.2 In handing in the request at the post office the sender shall prove his identity and produce the certificate of posting, if any. The designated operator of the country of origin shall assume responsibility for the proof of identity.

- 3.3 A request for simple correction of address (without alteration of the name or status of the addressee) may be made direct to the office of destination by the sender. The charge prescribed in 4 shall not be collected in such a case.
- 3.4 Through notification of the International Bureau, any designated operator may make provision for CN 17 requests concerning it to be exchanged through its central administration or through a specially appointed office. This notification shall include the name of this office.
- 3.5 Designated operators which exercise the option provided for under 3.4 shall bear any charges which may result from the transmission in their internal service by post or by telecommunication of the communications to be exchanged with the office of destination. Recourse to telecommunication or other similar service shall be compulsory when the sender has himself used such means and the office of destination cannot be advised in time by post.
- 3.6 If the item is still in the country of origin, the request shall be dealt with according to the legislation of that country.
- 4 Charges
- 4.1 The sender shall pay, for each request, a special charge the guideline maximum amount of which shall be 1.31 SDR.
- 4.2 The request shall be forwarded by post or by telecommunication at the sender's expense. The forwarding conditions and the provisions relating to the use of telecommunications are set out in 6 below.
- 4.3 The charges prescribed under 4.1 and 4.2 shall be levied only once for each request for withdrawal from the post or alteration or correction of **the address and/or name of the addressee** involving several items posted at the same time, at the same office, by the same sender to the same addressee.
- 5 Transmission of request by post
- 5.1 If the request is to be sent by post, the CN 17 form, accompanied if possible by a perfect facsimile of the envelope or of the address of the item, shall be sent direct to the office of destination under registered cover by the quickest route (air or surface).
- 5.2 If requests are exchanged through the central administrations, a copy of the request may, in an emergency, be sent direct by the office of origin to the office of destination. Requests sent direct shall be acted on. The items concerned shall be withheld from delivery until the arrival of the request from the central administration.
- 5.3 On receipt of the CN 17 form, the office of destination shall search for the item in question and take the necessary action.
- 5.4 The action taken by the office of destination on every request for withdrawal from the post or alteration or correction of address shall be communicated immediately to the office of origin by the quickest route (air or surface), using a copy of the CN 17 form with the "Reply of the office of destination" part duly completed. The office of origin shall inform the applicant. The same shall apply in the following circumstances:
- 5.4.1 fruitless searches;
- 5.4.2 items already delivered to the addressee;
- 5.4.3 item confiscated, destroyed or seized.
- 5.5 A non-priority or surface item shall be returned to origin by priority or by air following a request for withdrawal from the post when the sender undertakes to pay the corresponding difference in postage. When an item is redirected by priority or by air following a request for alteration or correction of address, the difference in postage corresponding to the new route shall be collected from the addressee and retained by the delivering designated operator.

- 6 Transmission of request by telecommunications
- 6.1 If the request is to be made by telecommunications, the CN 17 form shall be handed over to the corresponding service for transmission of the details to the post office of destination. The sender shall pay the corresponding charge for that service.
- 6.2 On receipt of the message received by telecommunications, the office of destination shall search for the item in question and take necessary action.
- 6.3 Any request for alteration or correction of address concerning an insured item made by telecommunications shall be confirmed by post, by the first mail, as prescribed under 5.1. The CN 17 form shall then bear at the head, in bold letters, the note “Confirmation de la demande transmise par voie des télécommunications du ...” (Confirmation of request made by telecommunications dated ...); pending such confirmation, the office of destination shall merely retain the item. However, the designated operator of destination may, on its own responsibility, act on the request made by telecommunications without waiting for confirmation by post.
- 6.4 If the sender of a request sent by telecommunications has asked to be notified by similar means, the reply shall be sent by this means to the office of origin. It shall inform the applicant as quickly as possible. The same shall apply if a request by telecommunications is not sufficiently explicit to identify the item with certainty.

## Section VII Remuneration

### A. *Transit charges*

#### Article 27-201

##### Transit land rates

- 1 The rates applied to calculate the transit land rate in accordance with article 32.2 of the Convention are given below:
- 1.1 per kilogramme and per kilometre up to **1,000 kilometres: 0.326 thousandths of an SDR for the year 2018, 0.353 thousandths of an SDR for the year 2019, 0.384 thousandths of an SDR for the year 2020 and 0.416 thousandths of an SDR for the year 2021;**
- 1.2 per kilogramme and per supplementary kilometre up to **3,000 kilometres: 0.217 thousandths of an SDR for the year 2018, 0.203 thousandths of an SDR for the year 2019, 0.190 thousandths of an SDR for the year 2020 and 0.178 thousandths of an SDR for the year 2021;**
- 1.2bis per kilogramme and per supplementary kilometre up to 5,000 kilometres: 0.195 thousandths of an SDR for the year 2018, 0.180 thousandths of an SDR for the year 2019, 0.167 thousandths of an SDR for the year 2020 and 0.155 thousandths of an SDR for the year 2021;**
- 1.3 per kilogramme and per supplementary kilometre: **0.137 thousandths of an SDR for the year 2018, 0.124 thousandths of an SDR for the year 2019, 0.113 thousandths of an SDR for the year 2020 and 0.103 thousandths of an SDR for the year 2021;**
- 1.4 the distance rate shall be calculated per 100-kilometre distance step, based on the mid-value in each step.
- 2 For parcels in transit à découvert, intermediate designated operators shall be authorized to claim a single rate of 0.51 SDR per item for handling costs incurred at the intermediate office of exchange.
- 2.1 When intermediate designated operators continuously capture and transmit EMJ and EMK events in accordance with article 17-216.1 and the targets listed in article 17-217 for parcels in transit à découvert, they shall be entitled to an additional single rate of 0.40 SDR per item.

**Article 27-202**

Application of transit land rates

- 1 No transit land rate shall be payable for:
  - 1.1 the transfer of airmails between two airports serving the same town;
  - 1.2 the transport of such mails between an airport serving a town and a warehouse situated in the same town and the return of the same mails for reforwarding.
- 2 Each of the designated operators taking part in conveyance shall be authorized to collect for each parcel the transit land rates applicable to the relevant distance step. If there is no land route, only the single rate mentioned in article **27-201.2** shall apply.
- 3 Reforewarding, where applicable after warehousing, by the designated operators of an intermediate country of mails in transit à découvert entering and leaving by the same port or airport (transit not involving a land route) shall be subject to the single rate per parcel mentioned in article **27-201.2**, but not to transit land rates.
- 4 When a foreign transport service crosses the territory of a country without the participation of the latter's services in accordance with article **17-214.3**, parcels thus conveyed shall not be subject to the transit land rate.

**Article 27-203**

Sea rate

- 1 The rates applied to calculate the sea rate in accordance with article **32.3** of the Convention are given below:
  - 1.1 per kilogramme and per nautical mile (1.852 km) up to 1,000 nautical miles: **0.123** thousandths of an SDR for the year **2018**, **0.145** thousandths of an SDR for the year **2019**, **0.170 thousandths of an SDR for the year 2020** and **0.199** thousandths of an SDR for the year **2021**;
  - 1.2 per kilogramme and per supplementary nautical mile up to 2,000 nautical miles: **0.070** thousandths of an SDR for the year **2018**, **0.081** thousandths of an SDR for the year **2019**, **0.095 thousandths of an SDR for the year 2020** and **0.110** thousandths of an SDR for the year **2021**;
  - 1.3 per kilogramme and per supplementary nautical mile up to 4,000 nautical miles: **0.055** thousandths of an SDR for the year **2018**, **0.060** thousandths of an SDR for the year **2019**, **0.065 thousandths of an SDR for the year 2020** and **0.071** thousandths of an SDR for the year **2021**;
  - 1.4 per kilogramme and per supplementary nautical mile up to 10,000 nautical miles: **0.023** thousandths of an SDR for the year **2018**, **0.016** thousandths of an SDR for the year **2019**, **0.011 thousandths of an SDR for the year 2020** and **0.008** thousandths of an SDR for the year **2021**;
  - 1.5 per kilogramme and per supplementary nautical mile: **0.014** thousandths of an SDR for the year **2018**, **0.009** thousandths of an SDR for the year **2019**, **0.005 thousandths of an SDR for the year 2020** and **0.003** thousandths of an SDR for the year **2021**;
  - 1.6 the distance rate shall be calculated per 100-nautical-mile distance step, based on the mid-value in each step

**Article 27-204**

Application of sea rate

- 1 If necessary, the distance steps used to determine the amount of the sea rate applicable between two member countries shall be calculated on the basis of a weighted average distance. This shall be determined in terms of the tonnage of the mails carried between the respective ports of the two member countries.

2 Sea conveyance between two ports of the same member country may not give rise to the collection of the sea rate when the designated operator of that member country already receives, for the same parcels, payment in respect of land conveyance.

3 The sea rate for intermediate designated operators or services shall be applicable to air parcels only where the parcel is conveyed by an intermediate sea service. For this purpose every sea service provided by the designated operator of origin or destination shall be regarded as an intermediate service.

4 In the case of an increase, this shall also be applied to parcels originating in the member country to which the services providing sea conveyance belong. Nevertheless, this obligation shall not apply either in the relations between a member country and the territories for whose international relations it is responsible, or in the relations between these territories.

5 Article **32-202** shall apply in case of modification of the sea rate.

#### Article **27-205**

Application of new rates following unforeseeable changes in routing

1 Reasons of force majeure or any other unforeseeable occurrence may oblige a designated operator to use, for the conveyance of its own parcels, a new dispatch route which causes additional sea or land conveyance costs. In such a case, it shall be required to inform immediately by telecommunications all the designated operators whose parcel mails or à découvert parcels are sent in transit through its country. From the fifth day following dispatch of this information, the intermediate designated operator shall be authorized to charge the designated operator of origin the land and sea rates corresponding to the new route.

#### Article **27-206**

Weight of mails used for remuneration of designated operators

1 For the purposes of remunerating designated operators of destination or transit, the gross weight of the mails shall include the weight of receptacles (trays, mailbags, etc.) but shall not include that of shipping or airline containers. Designated operators of origin and destination may however agree bilaterally to use net weights, whatever the method of entry used for completing CP 87 or CP 88 parcel bills.

#### Article **27-207**

Allocation of rates

1 Allocation of rates to the designated operators concerned shall be made, in principle, in respect of each parcel.

#### Article **27-208**

Rates and dues credited to other designated operators by the designated operator of origin of the mail

1 In the case of exchange of closed mails, the designated operator of origin of the mail shall credit the designated operator of destination and each intermediate designated operator with its land and sea rates, including the exceptional rates authorized by the Convention or its Final Protocol thereto.

2 In the case of exchange in transit à découvert the designated operator of origin of the mail shall credit:

2.1 the designated operator of destination of the mail with the rates enumerated in 1 as well as rates due to the subsequent intermediate designated operators and to the designated operator of destination of the parcel;

2.2 the designated operator of destination of the mail with the amounts in respect of air conveyance dues to which it is entitled for reforwarding air parcels;

2.3 the intermediate designated operators preceding the designated operator of destination of the mail with the rates enumerated in 1.

3 Charges for handling closed transit mails shall be borne by the designated operator of origin of the mails. The rate applied shall be **0.541 SDR per kilogramme for 2018, 0.546 per kilogramme for 2019, 0.552 SDR per kilogramme for 2020 and 0.557 SDR per kilogramme for 2021.**

4 Transhipment at the same airport, in the course of transmission, of air parcels conveyed successively by several separate air services shall be performed without remuneration.

#### Article 27-209

Allocation and recovery of rates, charges and fees in the case of return to sender or redirection

1 When rates, charges and fees have not been paid at the time of return to sender or redirection, the returning or redirecting designated operator shall proceed as indicated below.

2 In the case of exchange of direct mail the returning or redirecting designated operator shall recover from the designated operator to which the mail is addressed:

2.1 the rates due to it and to the intermediate designated operators;

2.2 the charges and fees due to it and which it has incurred.

3 The designated operator returning or redirecting the parcel in a closed mail shall credit the intermediate designated operators with the rates due to them.

4 In the case of transmission in transit à découvert, the intermediate designated operator shall be debited by the designated operator which returns or redirects the parcel with the amounts mentioned in 2. It shall credit itself by debiting the designated operator to which it hands over the parcel, with the sum due to it and with that due to the returning or redirecting designated operator. This procedure shall be repeated, if need be, by each intermediate designated operator.

5 The air conveyance dues for parcels returned to sender or redirected by air shall be recovered, where appropriate, from the designated operator of the countries where the request for return or redirection originated.

6 The allocation and the recovery of the rates, charges and fees in the case of the redirection of missent parcels shall be made in accordance with article **17-240.4**.

7 Air conveyance dues for air parcel dispatches re-routed in the course of conveyance shall be settled in accordance with articles **33-201** and **33-204**.

#### C. *Rates for parcel post*

#### Article 32-201

Inward land rates

1 Principle

1.1 **The** inward land rates referred to in article **32.1** of the Convention shall comprise the basic rates and bonus payments (supplementary rates) based on the service features provided by the designated operator and approved by the International Bureau in accordance with these Regulations and the POC resolutions.

1.2 For the purposes of determining inward land rates:

1.2.1 the term “designated operator” shall be considered to refer to each country or territory which operates an independent parcel-post service;



- 1.2.2 the country or territory in which the parcel-post service is operated by the designated operator of another country or territory shall be considered to be part of the country or territory of the designated operator operating the service;
- 1.2.3 the terms “notify”, “notified” and “notification” shall refer to the receipt by the International Bureau of a request or required information in the forms prescribed in the Regulations.
- 2 Base rate
- 2.1 The base rate shall be a country-specific rate per parcel and per kilogramme. These rates shall be calculated by setting the rate at 71.4% of the inward land rates set by an individual designated operator for the year 2004, plus any inflation adjustments requested under article **32-202.1**.
- 2.2 The global minimum base rate shall correspond to 4.25 SDR for a parcel of 5 kilogrammes. The global minimum base rate results from the application of the following formula: 2.85 SDR per parcel plus 0.28 SDR per kilogramme.
- 2.3 Each designated operator shall collect at least this global minimum base rate. If the value of the base rate indicated in 2.1 is below 4.25 SDR, the base inward land rates shall be determined in accordance with 2.4.
- 2.4 The values of the base rates calculated under 2.1 that fall below 2.85 SDR per parcel and/or 0.28 SDR per kilogramme shall be adjusted to these minimum **rates**.
- 3 System of bonus payments (supplementary rates)
- 3.1 The participation of a designated operator in the system of bonus payments shall be subject to:
- 3.1.1 the mandatory acceptance of liability for lost, rifled and damaged parcels according to article **22** of the Convention;
- 3.1.2 the mandatory application of the **barcoded S10** item identifier as defined in article **17-215.1**;
- 3.1.3 the provision to the International Bureau of a sample of the **barcoded S10** item identifier applied to parcels by the designated operator and of any information regarding the modification of that identifier;
- 3.1.4 the entry of service feature information in the Parcel Post Compendium Online or, where this is not possible, the notification of this information in writing (by registered mail, fax or e-mail) to the International Bureau;
- 3.1.5 the validation of these features by the International Bureau, which is carried out on the basis of the information notified in accordance with 3.1.4 to the International Bureau at 31 August and 31 December.
- 3.2 Any designated operator that meets the conditions for participating in the bonus payment system (supplementary rates) may increase its base rate by up to 40%, on the basis of the service features provided, as defined below.
- 3.3 The bonus payment system shall be applied to the base rate or to the global minimum inward land **rate**.
- 4 Definition of service features and corresponding bonus payments
- 4.1 Service feature 1: track and trace
- 4.1.1 The following bonuses shall be applied to the base rate if the designated operator provides track and trace information on parcels and continuously transmits the mandatory tracking events to all partner operators, in accordance with article **17-216.1.1**, the objectives set in article **17-217** and the following minimum performance requirements for bonus eligibility set by the Postal Operations Council:
- 4.1.1.1 2% for EMC events;
- 4.1.1.2 2% for EMD events; and
- 4.1.1.3 **between 1% and 11%** for **EDH/EMH/EMI** events.

- 4.1.2 A bonus of 5% shall be applied to the base rate if the designated operator provides track and trace information on parcels and continuously transmits the mandatory tracking data elements for events **EDB/EME** and **EDC**, in accordance with article **17-216.1.1**, and the objectives set in article **17-217**.
- 4.1.3 A bonus of 5% shall be applied to the base rate if the designated operator provides track and trace information on parcels and continuously transmits the necessary data elements concerning dispatches, in accordance with article **17-216.3** and the objectives set in article **17-217.3**.
- 4.2 Service feature 2: home delivery
  - 4.2.1 A bonus of 5% shall be applied to the base rate if the designated operator provides home delivery, which shall include (except for voluntary P.O. box customers) an initial attempt at physical delivery to the addressee's address; an advice left at the addressee's address if the addressee or any other person at the address is absent; **alternative options for delivery of the parcel to the addressee at their request (e.g. pick-up point, delivery at another address) if the provisions in force in the country of destination so permit as referred to in article 17-205.1bis**; and, for taxable or dutiable items, the option offered to the addressee of paying the tax or duty and taking physical delivery of the item.
  - 4.2.2 If governmental or legally binding restrictions mean that a designated operator faces certain limitations in implementing service feature 2, it may still claim the 5% bonus.
- 4.3 Service feature 3: delivery standards
  - 4.3.1 A bonus of 5% shall be applied to the base rate if the designated operator has entered in the Parcel Post Compendium Online or, where this is not possible, notified to the International Bureau in writing (by registered mail, fax or e-mail) the following information:
    - 4.3.1.1 Delivery standards for air and surface parcels, as defined by the matrix and headings in the Parcel Post Compendium Online.
    - 4.3.1.2 Indicative average customs clearance time for air and surface parcels.
    - 4.3.1.3 The relevant information on the delivery standards, including a source for verification of this information, such as delivery time information published on the website of the designated operator concerned, printed in its general conditions or confirmed in writing by the regulator, government or designated operator.
- 4.4 Service feature 4: use of IBIS
  - 4.4.1 The following bonuses shall be applied to the base rate if a designated operator uses IBIS to handle all inquiries with designated operators using this common system, in accordance with article **21-003.3**, and achieves the target fixed in article **21-003.7.5**.
    - 4.4.1.1 3% for on-time responses.
    - 4.4.1.2 1% for opening requests received (within a maximum average time of 16 working hours).
    - 4.4.1.3 1% for opening replies received (within a maximum average time of 16 working hours).
- 5 Verification and validation of service features provided
  - 5.1 For each designated operator, the International Bureau shall check and, where appropriate, validate the service features provided, in accordance with the procedure approved by the POC.
  - 5.2 Service feature 1: track and trace
    - 5.2.1 The International Bureau shall check and, where appropriate, validate service feature 1 on the basis of UPU track and trace reports or, in the absence of such reports, on the basis of internationally recognized reports provided by the designated operator.
  - 5.3 Service feature 2: home delivery
    - 5.3.1 The International Bureau shall check and, where appropriate, validate service feature 2 on the basis of information entered by the designated operator in the Parcel Post Compendium Online or, where this is not possible, notified in writing (by registered mail, fax or e-mail) to the International Bureau and on the basis of obligatory evidence provided by the designated operator or any other officially available information.

- 5.3.1.1 The information on the nature of the limitation of governmental or legally binding restrictions shall be published in an appropriate entry in the Parcel Post Compendium Online. The accuracy of entries provided by designated operators for the Parcel Post Compendium Online may be subject to review by the International Bureau in cases where doubts are raised by partner designated operators. In such cases, entitlement to the 5% bonus may also be reviewed.
- 5.4 Service feature 3: delivery standards
- 5.4.1 The International Bureau shall check and, where appropriate, validate service feature 3 on the basis of the information entered by the designated operator in the Parcel Post Compendium Online or, where this is not possible, notified in writing (by registered mail, fax or e-mail) to the International Bureau.
- 5.5 Service feature 4: use of IBIS
- 5.5.1 The International Bureau shall check and, where appropriate, validate service feature 4 on the basis of reports transmitted by the supplier of IBIS.

## Article 32-202

### Modifications of the inward land rates

- 1 Designated operators wishing to claim an inflation-linked increase in their base inward land rate shall notify to the International Bureau their claim in writing (by registered mail, fax or e-mail). Such notification must be received by the International Bureau at the latest by 31 August of any given year. The claim shall indicate the official source and name of the organization responsible for the country's official overall consumer price index, and be accompanied by documentary evidence, subject to the following additional rules:
- 1.1 In no case shall the inflation-linked increase exceed 5%; moreover, the aforementioned inflation-linked increase may relate only to the inflation experienced in a 12-month period starting no earlier than 1 January of the year preceding that for which the adjustment is claimed and ending no later than 31 July of the year in which such a claim is made. Furthermore, inflation-linked increases shall not comprise any periods already claimed for by the designated operator concerned in a prior claim.
- 1.2 Subject to fulfilment of the conditions described above, increases in the base inward land rates due to inflation-linked adjustment shall only come into force on 1 January of the year subsequent to that in which the relevant notification was received by the International Bureau.
- 2 Any designated operator wishing to obtain a new bonus relating to the service features it provides shall notify its request to the International Bureau:
- 2.1 No later than 31 August for verification of services features applicable to rates entering into force on 1 January of the following year.
- 2.2 No later than 31 December for verification of services features applicable to rates entering into force on 1 July of the following year.
- 2.3 Designated operators shall enter by the same deadlines any updates to their data concerning service features already provided in the Parcel Post Compendium Online.
- 3 Before making any changes to bonuses, the International Bureau shall check twice a year whether the service elements have been put in place and are continuously operational, in accordance with the procedure approved by the POC for validating service features. The bonuses may be adjusted upwards or downwards, depending on the outcome of the checks.
- 4 Modifications to the bonus payment of the inward land rates based on the service features provided shall come into force on 1 January or on 1 July.
- 4.1 The International Bureau shall notify applicable inward land rates to all designated operators no later than 30 September for rates coming into force on 1 January of the following year, and 31 March for rates coming into force on 1 July.
- 5 At the initiative of designated operators, reductions in the inward land rates may come into force on 1 January **or** 1 July. **They** shall be notified to designated operators by the International Bureau without delay.

**6** Designated operators may submit an inward land rate review request concerning the calculation or content of inward land rates (including base rates and bonus payments) as referred to in article 32-201. Such requests shall be submitted to the International Bureau no later than 31 October for rates coming into force on 1 January of the following year, and no later than 30 April for rates coming into force on 1 July. The Postal Operations Council shall establish the relevant inward land rate review procedures and, as necessary, decide on such reviews in accordance with these procedures.

**Article 32-203**  
**ECOMPRO parcels**

**1** Rates applicable to ECOMPRO parcels referred to in article 17-206 are self-declared. The rates for ECOMPRO parcels shall be notified to the International Bureau no later than 31 August for rates entering into force on 1 January of the following year.

**1bis** The International Bureau shall notify applicable ECOMPRO rates to all designated operators no later than 30 September for rates entering into force on 1 January of the following year.

*D. Air conveyance dues*

**Article 33-201**  
Calculation of air conveyance dues

**1** Air conveyance dues relating to air parcel mails shall be calculated according to, on the one hand, the actual basic rate and the kilometric distances given in the "List of Airmail Distances" and, on the other, the gross weight of the mails. The actual basic rate may be less than and at most equal to the rate mentioned in article 33.1 of the Convention.

**2** The air conveyance dues payable to the intermediate designated operator for à découvert air parcels shall be fixed in principle as indicated in 1, but per half kilogramme for each country of destination. Nevertheless, when the territory of the country of destination of these parcels is served by one or more lines with several stops in that territory, dues shall be calculated on the basis of a weighted average rate. This shall be determined on the basis of the weight of the parcels offloaded at each stop. The dues to be paid shall be calculated for each individual parcel, the weight of each being rounded upwards to the next half-kilogramme.

**Article 33-202**  
Calculation of air conveyance dues for the merchandise return service

**1** Air conveyance dues relating to the merchandise return service shall be based on a rate per kilogramme, according to the table CP 81 or CP 82 of the designated operator returning the merchandise or according to the air conveyance dues provided for in article 33-201 if tables CP 81 or CP 82 have not been established.

**2** Parcels sent via the merchandise return service shall not be transmitted in transit à découvert.

**Article 33-203**  
Air conveyance dues for lost or destroyed air parcels

**1** The designated operator of origin shall be exempt from any payment in respect of the air conveyance of air parcels lost or destroyed as a result of an accident occurring to the aircraft or through any other cause involving the liability of the air carrier. This exemption shall apply for any part of the flight of the line used.

**Article 33-204**

Air conveyance dues for diverted or missent mails or bags

1 The designated operator of origin of a mail which has gone off its route in course of conveyance shall pay the conveyance dues for the mail relating to the sectors actually covered.

2 It shall settle the conveyance dues as far as the airport of offloading initially provided for on the CN 38 delivery bill or the electronic equivalent when:

2.1 the actual forwarding route is not known;

2.2 the dues for the sectors actually covered have not yet been claimed; or

2.3 the diversion is attributable to the airline which effected the conveyance.

3 The supplementary dues relating to the sectors actually covered by the diverted mail shall be reimbursed as follows:

3.1 by the designated operator that committed the error in the case of misrouteing;

3.2 by the designated operator which has collected the conveyance dues paid to the airline when the latter has offloaded in a place other than that shown on the CN 38 delivery bill or the electronic equivalent.

4 The provisions set out under 1 to 3 shall be applicable by analogy when part only of a mail is offloaded at an airport other than that indicated on the CN 38 delivery bill or the electronic equivalent.

5 The designated operator of origin of a mail or bag missent owing to a labelling error shall pay the conveyance dues relating to the whole distance flown in accordance with article **33.3.1** of the Convention.

**Article 33-205**

Payment of air conveyance dues for the transport of empty bags

1 Air conveyance dues for the transport of empty bags shall be payable by the designated operator owning the bags.

2 The maximum rate applicable in respect of the air conveyance of dispatches of empty bags shall correspond to 30% of the basic rate set in accordance with the provisions of article **33.1** of the Convention.

*E. Settlement of accounts***Article 34-200bis**

**Transfer and acceptance of statements and accounts**

**(Deleted.)**

**Article 34-201**

Preparation of accounts

**(Deleted.)**

**Article 34-202**

Settlement of accounts

**(Deleted.)**

**Article 34-203**

Settlement of accounts through the International Bureau

**(Deleted.)**

**Article 34-204**

Adjustment of outstanding debts arising from the settlement of accounts through the International Bureau clearing system

**(Deleted.)**

**Article 34-205**

Payment of debts expressed in SDRs. General provisions

**(Deleted.)**

**Article 34-206**

Rules for payment of accounts not settled through the International Bureau clearing system

**(Deleted.)**

Done at Berne, on **31 March 2017**.

For the Postal Operations Council:



Masahiko Metoki  
Chairman



Bishar A. Hussein  
Secretary General

## Final Protocol to the Regulations to the Convention

At the moment of proceeding to approval of the Regulations to the Convention, the Postal Operations Council has agreed the following:

### Volume I Rules in common

#### Article R I Marking of items sent free of postal charges

1 Notwithstanding article **16-002.1.3**, France reserves the right to apply the provisions concerning items for the blind in accordance with its national regulations.

#### Article R II Forms

1 Notwithstanding article **13-002**, the designated operators of **Austria**, Brazil, Germany, Hungary (Rep.), Luxembourg, **Poland** and the United States of America may modify the dimensions and format of the CN 07 form.

2 Notwithstanding article **13-002.2**, France may make the following changes to the CN 07 form:

2.1 add a barcode with bars and/or digits that complies with the technical specifications accepted in the standards approved by the UPU;

2.2 include a quiet zone in the lower part;

2.3 add in the addressee box standard orange-coloured machine-readable guide lines for writing and enlarge the "Addressee of item" and "Return to" areas;

2.4 incorporate the English version of the mandatory information to be completed at destination.

3 Notwithstanding article **13-002.2**, **Austria and Italy** may make the following changes to the CN 07 form:

3.1 colour the addressee box in white and add internal machine-readable guide lines for writing;

3.2 colour the quiet zone in the lower part in white;

3.3 in the "Nature of the item" box, delete the products for which the advice of delivery service is not provided;

3.4 move from the lower left-hand side to the lower right-hand side the information concerning the signature of the form.

4 Notwithstanding article **13-002.2**, **Austria may also modify the position and layout of the indications on the CN 07 form for internal machine-readability purposes.**

**5 Notwithstanding article 13-002.2, Italy may make the following change to the CN 07 form: add a 2D barcode for internal purposes.**

**6 Notwithstanding article 13-002 and with a view to appropriately providing electronic advance data to designated operators of destination, Japan may change the text of the instructions concerning the languages to be used in completing the CN 22, CN 23 and CP 72 forms as follows: “To accelerate customs clearance, complete this declaration in English, French or in a language accepted in the destination country by using very legible roman letters and arabic numerals. If available, add the importer’s/addressee’s telephone number and electronic mail address, as well as the sender’s telephone number. If other character sets and numerals are used in the destination country, this declaration may also be completed in those character sets and numerals, subject to prior provision of the relevant electronic data by the sender.”**

**7 Notwithstanding article 13-002, Japan may delete from the CN 23 form any fields and descriptions applicable only to parcels whenever such a form is used for postal items other than parcels.**

Article R III

Maximum limits for insured items

**1 Notwithstanding article 18-001, Sweden reserves the right to restrict the value of the contents of registered and insured letter-post items for Sweden, according to the following maximum limits:**

	Maximum commercial value of contents	Maximum insured value	Maximum indemnity
Registered letter-post items	500 SDR	–	30 SDR (M bag: 150 SDR)
Insured letter-post items	1,000 SDR	1,000 SDR	1,000 SDR
Uninsured parcels	1,000 SDR	–	40 SDR per parcel + 4.50 SDR per kg
Insured parcels	1,000 SDR	1,000 SDR	1,000 SDR

**2 The restriction cannot be circumvented by partial insurance of the value exceeding 1,000 SDR. There are no new restrictions on the nature of the contents of registered and insured items. Items with a value exceeding these limits will be returned to origin.**

**3 Notwithstanding article 18-001, Denmark reserves the right to restrict the value of the contents of inward registered or insured letter-post items or insured postal parcels containing money or securities of any kind payable to the bearer, according to the following maximum limits:**

	Maximum commercial value of contents	Maximum insured value	Maximum indemnity
Registered items	100 SDR	–	30 SDR
Insured items	4,000 SDR	4,000 SDR	4,000 SDR

**3.1 These limits cannot be circumvented by taking out partial insurance on the amount exceeding 4,000 SDR.**



Article R IV  
Insured items

1 Notwithstanding article **18-001**, France reserves the right to limit the value of the contents of insured letter-post items for France, according to the following maximum limits:

	Maximum commercial value of contents	Maximum insured value	Maximum indemnity
Insured items	630 SDR	630 SDR	630 SDR

2 These limits may not be circumvented by partial insurance of the value exceeding 630 SDR. Items with a value exceeding this limit will be returned to origin. It is not possible to declare a value lower than the commercial value of the item.

Article R V  
Delivery procedure

1 Notwithstanding article **18-001.6.2.5.1**, the designated operators of Australia, Canada and New Zealand are authorized not to obtain a signature of acceptance or some other form of evidence of receipt from the recipient when delivering or handing over an insured parcel without a barcode that meets all applicable UPU standards.

2 Notwithstanding article **18-001.6**, the designated operators of Australia, Canada and New Zealand are authorized not to obtain a signature of acceptance or some other form of evidence of receipt from the recipient when delivering or handing over an ordinary parcel without a barcode that meets all applicable UPU standards.

Article R VI  
Dangerous goods admitted exceptionally

1 Notwithstanding article **19-001**, France reserves the right to refuse items containing the goods specified in that article.

Article R VII  
Admissible radioactive materials, infectious substances, and lithium cells and lithium batteries

1 Notwithstanding article **19-003**, France reserves the right to refuse items containing the goods specified in that article.

Article R VIII  
Items subject to customs control

1 Notwithstanding article **20-001**, the United Kingdom of Great Britain and Northern Ireland will not accept liability for obtaining the signature of the sender on the forms CN 22 and CN 23 to the effect that the item does not contain any dangerous article prohibited by the postal regulations.

Article R IX  
Treatment of inquiries

1 Notwithstanding article **21-001**, the United States of America reserves the right not to accept CN 08 inquiries from the designated operator of origin for registered items or insured or ordinary parcels sent as transit à découvert items and declines to accept liability for these types of prohibited items.

2 The United States of America, when acting as an intermediate designated operator, shall be authorized not to indemnify other designated operators which erroneously send transit à découvert insured or ordinary parcels in violation of the requirement that only closed transit items are accepted.

#### Article R X

##### Application of the liability of designated operators

1 Notwithstanding the provisions of article **22-001**, Canada and the United States of America, in all cases of a parcel allegedly returned to the sender without the reason for return being given, reserve the right to process a CN 08 inquiry only if the original wrapping and or mailing container is attached for examination.

#### Article R XI

##### Delivery of a rifled or damaged registered or insured item

1 Notwithstanding article **23-001.1** and **2**, the United States of America reserves the right to not apply the provisions to registered letter-post items.

2 Notwithstanding the new provisions of article **23-001**, Argentina reserves the right to withhold application of those provisions until it has carried out a study determining the mechanisms for recovery and management of the costs associated with the operating procedures.

3 Notwithstanding articles **23-001.1** and **2**, Brazil reserves the right to defer application of the relevant provisions concerning the CN 24 report.

4 Notwithstanding the provisions of article **23-001**, Canada reserves the right to report on the delivery of a rifled or damaged registered item to the addressee, the designated operator of the country of origin and/or the sender by electronic or any other means.

5 Notwithstanding article **23-001.1** and **2**, the United States of America reserves the right to apply current procedures.

#### Article R XII

##### Procedures for determining the liability of designated operators

1 Notwithstanding article **25-005.2** and **3**, the United States of America reserves the right to not apply the provisions to registered letter-post items.

2 Notwithstanding article **25-005.2**, Argentina reserves the right to not issue the CN 24 form.

3 Notwithstanding article **25-005.2** and **3**, Canada shall be authorized to not apply the provisions in the case of registered items.

## Volume II

### Letter Post Regulations

#### Article R XIII

##### Special charges

1 Notwithstanding article **15-102.2.6**, France reserves the right not to apply the storage charge to items for the blind, in accordance with its national regulations.

## Article R XIV

## Conditions of application of postage charges

1 Notwithstanding the provisions of article **15-103.2**, Ireland reserves the right to adopt 25 grammes as a first weight step in its scale of letter-post charges.

## Article R XV

## Printed papers. Maximum weight

1 Notwithstanding article **17-103.2.2**, Canada and Ireland shall be authorized to limit to 2 kilogrammes the maximum weight of inward and outward printed papers.

## Article R XVI

## Special provisions applicable to each category of items

1 Notwithstanding article **17-107.2.2**, Afghanistan and Japan reserve the right to enclose or attach pictures or slips of paper in aerogrammes under the same conditions as in their domestic service.

2 Notwithstanding article **17-107.4.5**, in the absence of bilateral agreement, Canada and the United States of America will not accept as enclosures in dispatches of printed papers any cards, envelopes or wrappings bearing the address of the sender or of his agent in the country of destination of the original item.

3 Notwithstanding article **17-107.5.1**, Australia will accept for delivery as items for the blind only those items that are recognized as items for the blind in its domestic service.

4 Notwithstanding article **17-107.5**, France shall apply the provisions concerning items for the blind in accordance with its national regulations.

5 Notwithstanding article **17-107.4.5**, in the absence of bilateral agreement, Iraq will not accept as enclosures in printed papers posted in bulk any cards, envelopes or wrappings bearing a sender's address that is not located in the country of origin of the item.

6 Notwithstanding article **17-107.5.2**, Azerbaijan, India, Indonesia, Lebanon, Nepal, Tajikistan, Turkmenistan, Ukraine, Uzbekistan and Zimbabwe shall admit sound recordings as items for the blind only if these are sent by, or addressed to, an officially recognized institute for the blind.

7 Notwithstanding article **17-107.7**, Canada shall be authorized not to accept or handle M bags containing audio-visual articles or informational materials received from other countries.

8 Any reservation made in relation to bulk mail shall have no impact on the application of article **17-107**.

9 Notwithstanding article **17-107.8.1**, Greece reserves the right to regard as "bulk mail" the receipt, in the same mail or in one day when several mails are made up per day, of 150 items posted by the same sender as well as the receipt, in a period of two weeks, of 1,000 or more items posted by the same sender.

10 Notwithstanding article **17-107.7**, the Dem. People's Rep. of Korea reserves the right not to accept M bags that contain commercial samples or other non-dutiable commercial articles or informational materials that are not subject to resale.

## Article R XVII

## Standardized items

1 Canada, Kenya, Tanzania (United Rep.), Uganda and the United States of America shall not be obliged to discourage the use of envelopes whose format exceeds the dimensions recommended in article **17-111** when those envelopes are widely used in their countries.

2 Afghanistan and India shall not be obliged to discourage the use of envelopes whose format is larger or smaller than the dimensions recommended in article **17-111** when those envelopes are widely used in their countries.

3 Article **17-111.1** and **4**, shall not apply to Japan.

#### Article R XVIII

##### Bags

1 The provisions of article **17-119.2.10** shall not apply to Great Britain **and Switzerland**, whose national legislation requires a lower weight limit. Health and safety legislation in **these countries** limits the weight of mail bags to 20 kilogrammes.

2 Notwithstanding article **17-119.2.10**, the Dem. People's Rep. of Korea reserves the right to limit the weight of mailbags to 20 kilogrammes.

**3 Notwithstanding article 17-119.2.10, Iceland reserves the right to limit the weight of mailbags containing letter-mail items to 20 kilogrammes.**

**3bis Notwithstanding article 17-119.2.10, Finland reserves the right to limit the weight of mailbags containing letter-mail items to 20 kilogrammes.**

#### Article R XIX

##### Labelling of mails

1 France shall apply the provisions of article **17-129.8** to items for the blind without prejudice to its national regulations.

#### Article R XX

##### Routeing of mails

1 Azerbaijan, Bolivia, Estonia, Latvia, Tajikistan, Turkmenistan and Uzbekistan will recognize only the costs of the conveyance effected in accordance with the provision concerning the line shown on the CN 35 receptacle labels of airmail dispatches and on the CN 38 delivery bills.

2 Having regard to the provision in 1, France, Great Britain, Greece, Italy, Senegal, Thailand and the United States of America will forward closed airmails only on the conditions laid down in article **17-132.4**.

#### Article R XXI

##### Registered M bags

1 Canada and the United States of America shall be authorized not to accept registered M bags and not to provide registered handling for such bags received from other countries.

#### Article R XXII

##### IBRS accounting charges

1 Azerbaijan, Cape Verde, Egypt, Kazakhstan, Kyrgyzstan, Morocco, Oman, Nepal, Qatar, **the Russian Federation**, and Uzbekistan reserve the right to claim compensation of the costs of the IBRS service even when the annual number of items returned is less than or equal to the threshold laid down in article **18-104.4.4**.

## Article R XXIII

## International reply coupons issued before 1 January 2002

1 International reply coupons of the earlier type issued before 1 January 2002 and exchanged up to 30 June 2006 shall be settled direct between the designated operators concerned in accordance with the provisions indicated hereinafter. They may no longer be the subject of the General liquidation account of international reply coupons prepared by the International Bureau.

2 In the absence of a special agreement, after this transitional period, international reply coupons of the earlier type shall no longer give rise to a settlement between designated operators.

3 In the liquidation accounts between designated operators, the value of reply coupons shall be calculated at the rate of 0.74 SDR per coupon.

4 Exchanged reply coupons shall be sent every year, at the latest within six months after the end of the period concerned, to the designated operators that issued them, with their total number and value indicated on a statement conforming to the annexed specimen CN 02bis.

5 Reply coupons charged by mistake to the account of a designated operator other than the issuing designated operator shall be deducted from the latter's account and returned to the designated operator that wrongly sent them; they shall then be marked accordingly. This operation may be carried out during the following accounting period in order to avoid an additional account. This provision shall not be applied beyond 2006.

6 As soon as two designated operators have agreed on the number of reply coupons exchanged in their reciprocal relations, the creditor designated operator shall prepare and send in duplicate to the debtor designated operator for approval a statement conforming to the annexed specimen CN 03bis, if the balance exceeds 74 SDR and if a special regulation has not been provided for between the designated operators in question. If, within one month from the date of dispatch of the statement, the creditor designated operator has received no comment, the amount on this statement shall be regarded as fully accepted.

7 When the balance between two designated operators does not exceed 74 SDR, this balance shall be brought forward to that of the following year by the creditor designated operator. If the balance is less than 74 SDR in the final account of reply coupons exchanged up to 30 June 2006, no payment shall be made.

8 Payment shall be made as quickly as possible and at the latest within six weeks from the date of acceptance or of notification that the balance to be settled is fully accepted.

9 International reply coupons of a type issued before 1 January 2002 shall no longer be exchanged at post offices after 31 August 2007.

## Article R XXIV

## Adjustment of debts arising from the settlement of accounts through the final liquidation account for international reply coupons distributed before 1 January 2002

1 Debts payable to a designated operator as a result of the settlement of accounts through the International Bureau's final liquidation account for international reply coupons distributed before 1 January 2002 which are overdue after 1 April 2003 may be adjusted against credits from any other designated operator due to the debtor concerned. Before taking such a step, the International Bureau shall consult the creditor concerned and send a reminder to the defaulting debtor. If no payment is made within a period of one month from the date of this reminder, the International Bureau shall be competent to make the necessary accounting adjustments unilaterally after informing all the concerned parties. The consent of the defaulting debtor shall not be necessary.

2 When making these accounting adjustments, the International Bureau shall only offset accounts that have been accepted by both the defaulting debtor and the designated operator owing money to the defaulting debtor.

3 The defaulting debtor shall have no claim against the designated operator owing money to it for any credits attributed by the International Bureau to the creditor in accordance with the procedure prescribed in paragraph 1.

#### Article R XXV

Adjustment of debts arising from the settlement of accounts through the International Bureau clearing system in effect before 1 January 2001

1 Debts payable as a result of the settlement of accounts through the International Bureau clearing system in effect before 1 January 2001 by any designated operator which are overdue after the deadline for settlement of the final clearing system statements from the last quarter of 2000 may be adjusted against credits due to the debtor from any other designated operator. Before undertaking such a step, the International Bureau shall consult the creditor concerned and send a reminder to the defaulting debtor. If no payment is made within a period of one month from the date of this reminder, the International Bureau is competent to unilaterally make the necessary accounting adjustments after informing all the parties concerned.

2 When making these accounting adjustments, the International Bureau will only offset accounts that have been accepted by both the defaulting debtor and the designated operator owing money to the defaulting debtor.

3 The defaulting debtor will have no claim against the designated operator owing money to it for any credits attributed by the International Bureau to the creditor in accordance with the procedure described in paragraph 1.

#### Article R XXVI

Treatment of items wrongly admitted

1 Afghanistan, Angola, Djibouti and Pakistan shall not be obliged to comply with the provisions laid down in article **19-102.4**, according to which "This notification shall clearly indicate the prohibition under which the item falls and the articles which gave rise to its seizure."

2 Afghanistan, Angola, Argentina, Australia, Azerbaijan, Canada, Dem. People's Rep. of Korea, Djibouti, Estonia, Kazakhstan, Kyrgyzstan, Nepal, Sudan, Tajikistan, Turkmenistan, Ukraine, Uzbekistan and Viet Nam reserve the right to provide the information about the reasons for the seizure of a postal item only within the limits of the information provided by the customs authorities and in accordance with internal legislation.

3 The United States of America reserves the right to treat as wrongly admitted, and to deal with according to its domestic legislation and customs practice, any item containing controlled substances, as defined in section 1308 of Title 21 of the U.S. Code of Federal Regulations.

4 France shall apply the provisions of article **19-102.6** only to items for the blind, without prejudice to its national regulations.

#### Article R XXVII

Redirection

1 The provisions in article **19-103.2** shall not apply to the designated operators of Denmark, Finland and Sweden, whose equipment during the sorting process automatically redirects mail to an addressee that has changed address.

Article R XXVIII  
Special transit charges

- 1 Greece reserves the right to raise by 30% the land transit charges and by 50% the sea transit charges given in article **27-103.1**.
- 2 Australia, Finland and Singapore reserve the right to raise by 50% the land and sea transit charges given in article **27-103.1**.
- 3 The Russian Federation shall be authorized to collect a supplement of 0.65 SDR in addition to the transit charges indicated in article **27-103.1** for each kilogramme of letter-post items conveyed in transit over the Trans-Siberian route.
- 4 Egypt and Sudan shall be authorized to collect a supplement of 0.16 SDR in addition to the transit charges indicated in article **27-103.1** for each bag of letter post in transit via Lake Nasser between Shallal, Egypt, and Wadi Halfa, Sudan.
- 5 Panama (Rep.) shall be authorized to collect a supplement of 0.98 SDR to the transit charges mentioned in article **27-103.1** for each bag of letter post in transit through the Isthmus of Panama between the ports of Balboa on the Pacific Ocean and Cristobal on the Atlantic Ocean.
- 6 Finland shall be authorized to collect a supplement for each kilogramme of letter-post items addressed to the Åland Islands.
  - 6.1 For airmail and priority mail the supplement shall be the relevant land transit charge and the relevant air conveyance charge.
  - 6.2 For surface mail and non-priority mail the supplement shall be the relevant land transit charge and the relevant sea conveyance charge.
- 7 Exceptionally, Panama (Rep.) shall be authorized to collect a charge of 0.65 SDR per bag for all mails stored or transhipped in the port of Balboa or Cristobal, provided that it does not receive any payment in respect of land or sea transit for those mails.
- 8 Notwithstanding article **27-103.1**, the designated operator of Afghanistan shall be authorized provisionally, because of its special difficulties as regards means of conveyance and communication, to effect the transit of closed mails and à découvert correspondence across its territory on terms specially agreed with the designated operators concerned.
- 9 Notwithstanding article **27-103.1**, the Syria–Iraq motor services shall be considered as extraordinary services giving rise to the collection of special transit charges.
- 10 Denmark shall be authorized to collect a supplement for each kilogramme of letter-post items addressed to the Faroe Islands or Greenland.
  - 10.1 For airmails, priority mails and S.A.L. mails, the supplement shall be the relevant charges for handling transit airmails and the relevant air conveyance charge.
  - 10.2 For surface mails and non-priority mails, the supplement shall be the relevant land transit charges and the relevant sea transit charges.
  - 10.3 For airmails, priority mails, surface mails, non-priority mails and S.A.L. mails in transit à découvert to the Faroe Islands or Greenland, Denmark shall be authorized to collect transit charges (conveyance and handling costs and terminal dues increments) as calculated in article **27-106.1**.
- 11 Ukraine reserves the right to increase by 50% the sea transit charges set out in article **27-103.1**.
- 12 Germany shall have the right to raise by 50% the sea transit charges given in article **27-103.1**.

Article R XXIX

Submission and acceptance of CN 55, CN 66 and CN 67 statements, CN 51 detailed accounts and CN 52 general accounts

1 Notwithstanding article **33-105.6**, accounts submitted to the designated operators of the United States of America and the Lao People's Dem. Rep. shall not be considered accepted, nor shall payment be due, until six weeks after those accounts are received, unless the accounts are received within seven days of the date they are dispatched by the creditor designated operator.

2 Notwithstanding article **33-105.6** and **7**, accounts submitted to the designated operators of Australia, China (People's Rep.), **Iraq** and Saudi Arabia shall not be considered accepted until two months after those accounts are received, nor shall payments be due until six weeks after those accounts are received, if the direct billing system is applied, unless the accounts are received within seven days of the date they are dispatched by the creditor designated operator.

Article R XXX

Accounting for bulk mail

1 Notwithstanding article **34-008**, accounts submitted to the designated operators of Australia, Canada and the United States of America shall not be considered accepted, nor shall payment be due, until six weeks after those accounts are received, unless the accounts are received within seven days of the date they are prepared by the creditor designated operator.

Volume III

Parcel Post Regulations

Article R XXXI

Provision of the postal parcels service

1 Australia, Latvia and Norway reserve the right to provide the postal parcels service either as laid down in the Convention or, in the case of outward parcels and after bilateral agreement, by any other means which is more favourable to their customers.

Article R XXXII

Special conditions relating to limits of weights for parcels

1 Notwithstanding article **17-203**, Canada shall be authorized to limit to 30 kilogrammes the maximum weight of inward and outward parcels.

Article R XXXIII

Formalities to be complied with for the merchandise return service

1 Notwithstanding the provisions of article **17-211**, Australia reserves the right to apply formalities for the provision of the merchandise return service for parcels, either as laid down in the Regulations or by any other means, including bilateral agreements.

Article R XXXIV

Merchandise return service for the original seller

1 Notwithstanding the provisions of article **18-201**, Australia reserves the right to apply terms and conditions, including outward land rates and air conveyance rates, for the provision of the merchandise return service for parcels, either as laid down in the Regulations or by any other means, including bilateral agreements.



Article R XXXV

Treatment of parcels wrongly accepted

1 Australia, Azerbaijan, Canada, Dem. People's Rep. of Korea, Georgia, Kazakhstan, Kyrgyzstan, New Zealand, Tajikistan, Ukraine, Uzbekistan and Viet Nam reserve the right to provide information about the seizure of a postal parcel or part of its contents only within the limits of the information provided by the customs authorities and in accordance with their internal legislation.

2 The United States of America reserves the right to treat as wrongly accepted, and to deal with according to its domestic legislation and customs practice, any parcel containing controlled substances, as defined in section 1308 of Title 21 of the U.S. Code of Federal Regulations.

Article R XXXVI

Parcels automatically retained

1 Notwithstanding **19-204**, the designated operator of Canada is not obligated to prepare a CP 78 verification note regarding parcels automatically retained in its service.

Article R XXXVII

Transmission in closed mails

1 Notwithstanding **17-221.11**, Bahamas, Barbados and Canada shall be authorized to limit to 30 kilogrammes the maximum weight of inward and of outward bags and other receptacles containing parcels.

Article R XXXVIII

Routeing of mails

1 Having regard to article **17-226.1**, the designated operators of France, Greece, Italy, Senegal, Thailand and the United States of America will forward closed mails only on the conditions laid down in article **17-226.4**.

Article R XXXIX

Discrepancies of weight or size of parcels

1 Notwithstanding article **17-236.2**, Australia reserves the right to only respond to verification notes in relation to ordinary parcels with discrepancies in weight greater than one kilogramme.

Article R XL

Determination of average rates

1 The United States of America shall be authorized to establish average land and sea rates per kilogramme based on the weight distribution of parcels received from all designated operators.

Article R XLI

Exceptional transit land rates

1 For the time being, the countries listed in the table below shall be authorized to collect the exceptional transit land rates indicated therein, in addition to the transit rates mentioned in **27-201.1**.

No.	Authorized country	Amount of the exceptional transit land rate		
		Rate per parcel	Rate per kg of gross weight of the mail	
1	2	3	4	
		SDR	SDR	
1	Afghanistan	0.48	0.45	
2	Bahrain (Kingdom)	0.85	0.55	
3	Chile		0.21	
4	Hong Kong, China		0.12	
5	Egypt		0.40	
6	France	1.00	0.20	
7	Greece	1.16	0.29	
8	India	0.40	0.51	
9	Malaysia	0.39	0.05	
10	Russian Federation	0.77	Twice the amount per kg shown in column 3 of the table in article <b>27-201.1</b> for the distance concerned	
11	Singapore	0.39	0.05	
12	Sudan	1.61	0.65	
13	Syrian Arab Rep.		0.65	
14	Thailand		0.27	
15	United States of America		According to distance step:	
			Up to 600 km	0.10
			Above 600 up to 1,000 km	0.18
			Above 1,000 up to 2,000 km	0.25
			Above 2,000 km for each additional 1,000 km	0.10

2 Denmark and Finland reserve the right to increase by 50% the transit land rates provided for in article **27-201**.

Article R XLII

Supplementary rates

1 Every parcel sent by surface or air addressed to the French Overseas Departments, the French Overseas Territories and the Communities of Mayotte and Saint Pierre and Miquelon shall be subject to an inward land rate not exceeding the corresponding rate for France. When such a parcel transits metropolitan France it shall, in addition, give rise to the collection of the following supplementary rates and dues:

1.1 "surface" parcels

1.1.1 the French transit land rate;

1.1.2 the French sea rate corresponding to the distance step between metropolitan France and each of the Departments, Territories and Communities in question;

1.2 air parcels

1.2.1 the French transit land rate for parcels in transit à découvert;

1.2.2 the air conveyance dues corresponding to the airmail distance between metropolitan France and each of the Departments, Territories and Communities in question.

2 Egypt and Sudan shall be authorized to collect a supplementary rate of 1 SDR over and above the transit land rates laid down in article **27-201** for each parcel in transit via Lake Nasser between El Shallal (Egypt) and Wadi Halfa (Sudan).

3 Every parcel sent in transit between Denmark and the Faroe Islands or between Denmark and Greenland shall give rise to the collection of the following supplementary rates:

3.1 "surface" parcels

3.1.1 the single rate per item laid down for parcels in transit à découvert in article **27-201.2**;

3.1.2 the Danish transit land rate;

3.1.3 the Danish sea rate corresponding to the distance step between Denmark and the Faroe Islands or between Denmark and Greenland, respectively;

3.2 air parcels and S.A.L. parcels

3.2.1 the single rate per item laid down for parcels in transit à découvert in article **27-201.2**;

3.2.2 the charges for handling transit airmails;

3.2.3 the air conveyance dues corresponding to the airmail distance between Denmark and the Faroe Islands or between Denmark and Greenland, respectively.

**4 For the conveyance of parcels to Greenland, Denmark shall be authorized to collect a supplementary charge of 8.95 SDR per item for air parcels.**

5 Chile shall be authorized to collect a supplementary rate of 2.61 SDR per kilogramme at most for the conveyance of parcels to Easter Island.

6 Every parcel sent by surface or by air, in transit between metropolitan Portugal and the autonomous regions of Madeira and the Azores, shall give rise to the collection of the following supplementary rates:

6.1 "surface" parcels

6.1.1 the Portuguese transit land rate;

6.1.2 the Portuguese sea rate corresponding to the distance step between metropolitan Portugal and each of the autonomous regions in question;

6.2 air parcels

6.2.1 the Portuguese transit land rate;

6.2.2 the air conveyance dues corresponding to the airmail distance between metropolitan Portugal and each of the autonomous regions in question.

7 Every parcel addressed to the Åland Islands shall in addition to the inward land rate for Finland be subject to the following supplementary rates:

7.1 surface parcels

7.1.1 the single rate per parcel laid down for parcels à découvert in article **27-201**;

7.1.2 the Finnish transit land rate;

7.1.3 the Finnish sea rate corresponding to the appropriate distance step to the Åland Islands from the office of exchange in Finland;

7.2 air parcels

7.2.1 the single rate per parcel laid down in article **27-201**;

7.2.2 the air conveyance dues corresponding to the appropriate distance step to the Åland Islands from the Office of Exchange in Finland.

8 In addition to the increase allowed for in article **32.3.2** of the Convention, Thailand shall be authorized to collect a supplementary sea rate of 0.28 SDR per kilogramme and per distance step.

Article R XLIII  
Sea rates

1 The following countries reserve the right to increase by 50% at the most the sea rates provided for in article **27-203**: Antigua and Barbuda, Argentina, Australia, Bahamas, Bahrain (Kingdom), Bangladesh, Barbados, Belgium, Belize, Brazil, Brunei Darussalam, Canada, Chile, Colombia, Comoros, Congo (Rep.), Cyprus, Denmark, Djibouti, Dominica, Finland, France, Gabon, Gambia, Germany, United Kingdom of Great Britain and Northern Ireland, Overseas Dependent Territories of the United Kingdom, Greece, Grenada, Guyana, India, Italy, Jamaica, Japan, Kenya, Kiribati, Madagascar, Malaysia, Malta, Mauritius, Netherlands, Nigeria, Norway, Oman, Pakistan, Papua New Guinea, Portugal, Qatar, Saint Christopher and Nevis, Saint Lucia, Saint Vincent and the Grenadines, Seychelles, Sierra Leone, Singapore, Solomon Islands, Spain, Sweden, Tanzania (United Rep.), Thailand, Trinidad and Tobago, Tuvalu, Uganda, Ukraine, United Arab Emirates, United States of America, Vanuatu, Yemen and Zambia.

Article R XLIV  
Calculation of air conveyance dues for the merchandise return service

1 Notwithstanding the provisions of article **33-202**, Canada reserves the right to apply air conveyance dues for outbound parcels sent via the merchandise return service either as laid out in the Regulations or by any other means.

2 Notwithstanding the provisions of article **33-202**, Australia reserves the right to apply terms and conditions, including air conveyance rates, for the provision of the merchandise return service for parcels, either as laid down in the Regulations or by any other means, including bilateral agreements.

Article R XLV  
Preparation of accounts

1 Notwithstanding article **34-013**, accounts submitted to the designated operators of Canada, the People's Republic of China and the United States of America shall not be considered accepted, nor shall payment be due, until two months after those accounts are received, unless the accounts are received within seven days of the date they are dispatched by the creditor designated operator.

2 Notwithstanding article **34-013**, accounts submitted to the designated operator of Saudi Arabia shall be regarded as accepted if the creditor designated operator does not receive any notice of amendment within three months. Similarly, the designated operator of Saudi Arabia shall not be obliged to send its payments to the creditor designated operator in accordance with paragraph 7 within a period of two months but within a period of three months.

Done at Berne, on **31 March 2017**.

For the Postal Operations Council:



Masahiko Metoki  
Chairman



Bishar A. Hussein  
Secretary General

## Annexes: Forms